

# MULTI-TEMPORAL OBSERVATIONS OF SUGARCANE BY TERRASAR-X IMAGES

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## ABSTRACT

The objective of this study is to investigate the potential of TerraSAR-X (X-band) in monitoring sugarcane growth on Reunion Island. Multi-temporal TerraSAR data acquired at various incidence angles (17°, 31°, 37°, 47°, 58°) and polarizations (HH, HV, VV) were analyzed in order to study the behaviour of SAR (synthetic aperture radar) signal as a function of sugarcane height. The potential of TerraSAR for mapping the sugarcane harvest was also studied. Radar signal increased quickly with crop height until a threshold height, which depended on polarization and incidence angle. Beyond this threshold, the signal increased only slightly, remained constant, or even decreased. The threshold height is slightly higher with cross polarization and higher incidence angles (47° in comparison with 17° and 31°). TerraSAR data showed that after strong rains the soil contribution for the backscattering of sugarcane fields can be consequent for canes with heights of terminal visible dewlap (htvd) less than 50cm (total cane heights around 155cm). Finally, TerraSAR data at high spatial resolution were shown to be useful for monitoring sugarcane harvest when the fields are of small size or when the cut is spread out in time. The comparison between incidences of 17°, 37° and 58° shows that 37° is more suitable to monitor the sugarcane harvest. The cut is easily detectable on TerraSAR images for data acquired less than two or three months after the cut. The radar signal decreases of about 5dB for images acquired some days after the cut and of 3dB for data acquired two month after the cut (VV-37°). The difference in radar signal becomes negligible (<1dB) between harvested fields and mature canes for sugarcane harvested since three months or more.

## 1. INTRODUCTION

Sugarcane is one of the most important crops in the tropics, with a global production estimated at 1,250 million tons a year and a cropped area of about 20 millions hectares. One of the main needs expressed by sugarcane industries is to have information on the harvest progress throughout the harvest season. The dynamic mapping of sugarcane harvest on a large spatial scale allows optimized cutter deployment, transport operations, efficiency of factories, and finally permits a better estimation of the effective yield.

The use of optical images is sometimes limited because of atmospheric conditions and cloud cover. Indeed, the interval between two cloud-free images is sometimes too long (more than 2 months); this makes difficult the discrimination between a standing crop and the regrowth in a field harvested at the beginning of the harvest campaign. On the contrary, Synthetic Aperture Radar (SAR) provides measurements day and night, regardless of meteorological conditions. With their frequent revisits, SAR sensors are very useful remote sensing data sources for agriculture monitoring in tropical regions. The new generation SAR sensors, such as TerraSAR-X, allow the acquisition of images at very high spatial resolution (~1 m). Moreover, its short revisit interval makes it possible to monitor the harvest with high temporal frequency (daily to weekly).

This study examined the relationship between TerraSAR signal and sugarcane height as a function of instrumental parameters (polarization and incidence), and precipitation. In addition, the potential of TerraSAR-X for mapping harvested sugarcane crop was studied.

## 2. STUDY SITE AND DATABASE

The study site covers a sugarcane farm located at the south of Reunion Island, close the town of Saint Pierre (latitude: 21°19' S - longitude: 55°31' E; Figure 1). The study site is composed mainly of agricultural fields intended for growing sugarcane. Fifteen sugarcane fields of an average size of 9 ha were studied: {2, 3, 4, 5, 6.1, 6.2, 12.1, 12.2, 12.3, 15, 16, 18, 19.1, 19.2, 20}. These training fields extend on 4.5km length approximately, between 100m to 500m altitude. TerraSAR-X images were acquired over our study site. The images belong to the KALIDEOS database set up by the CNES (French space Agency) (CNES, 2007; DeBoissezon and Sand, 2006).

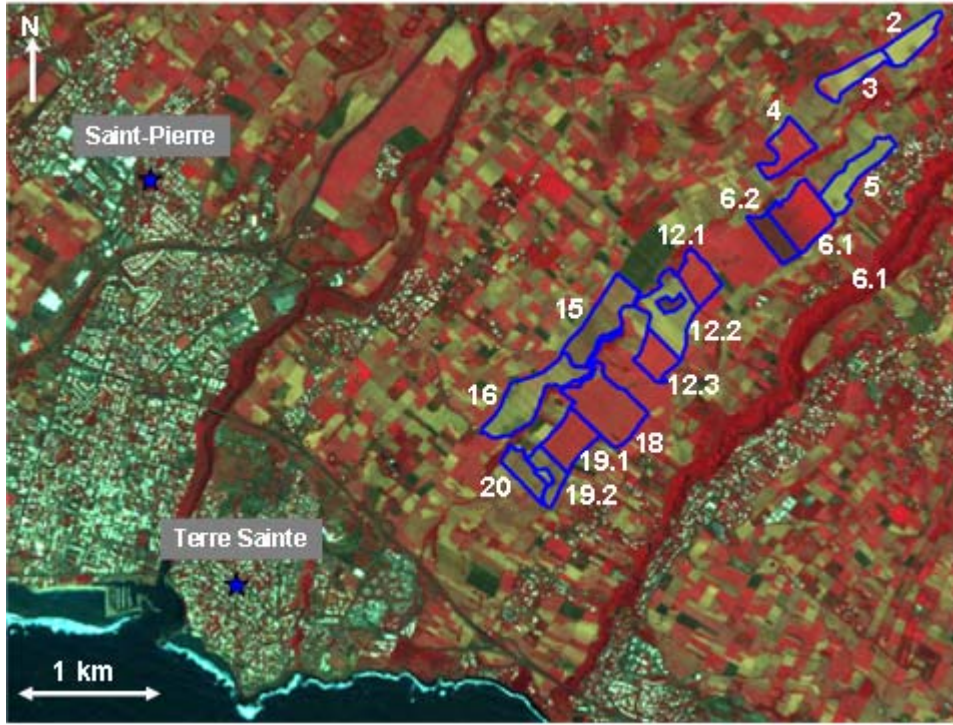


Figure 1. A false color composite of a SPOT-5 image acquired over the study site in Reunion Island on October 21, 2008 (Red: band-3; Green: band-2; Blue: band-1). Reference sugarcane fields are outlined in blue.

64 TerraSAR-X images (X-band ~ 9.65 GHz) were acquired between 14<sup>th</sup> of December 2008 and 20<sup>th</sup> of January 2010 with a great range of incidence angle (17°, 31°, 37°, 47° and 59°), and in mono- and dual-polarization modes (HH, VV, HH/VV, HH/HV, VH/VV). The imaging modes used were Spotlight and Stripmap. The pixel spacing of TerraSAR images was between 1 and 3m.

Radiometric calibration using MGD (Multi Look Ground Range Detected) TerraSAR images was carried out in using the following equation (Fritz, 2007):

$$\sigma_i^\circ (dB) = 10 \log_{10}(Ks DN_i^2 - NEBN) + 10 \log_{10}(\sin \theta_i) \quad (1)$$

This equation transforms the amplitude of backscattered signal for each pixel ( $DN_i$ ) into a backscattering coefficient ( $\sigma_i^\circ$ ) in decibels. The calibration coefficient  $Ks$  (scaling gain value) varies within the range of  $7.7 \cdot 10^{-6}$  to  $1.2 \cdot 10^{-5}$ , depending on radar incidence angle ( $\theta_i$ ) and polarization (low values for cross-polarizations or high incidences).  $NEBN$  is the Noise Equivalent Beta Naught ( $Ks DN_i^2$ ). It represents the influence of different noise contributions to the SAR signal. The  $NEBN$  is described using a polynomial scaled with  $Ks$ . The polynomial coefficients are derived from the TerraSAR product file. All TerraSAR images were then georeferenced using GPS points.

The  $NEBN$  varies from -26.8 to -22.3dB for HH-17° and VV-17° in mono- and dual-polarization modes. For images at 31°, the  $NEBN$  varies from -26.4 to -23.9dB for HH and VV polarizations in mono-polarization mode, and from -19.1 to

-12.2dB for HH, HV, and VV polarizations in dual-polarization mode. For 59°, the NEBN varies from -21.9 to -21.4dB for HH and VV polarizations in mono-polarization mode, and from -20.0 to -13.3dB for HH, HV, and VV polarizations in dual-polarization mode. In Spotlight mode, the NEBN varies between -26.8 to -18.6dB whereas in Stripmap mode, it varies between -19.1 to -12.2dB.

The strong values of NEBN found for images acquired in Stripmap mode did not allow a calibration of many pixels because the term  $K_s \cdot DN^2$  was lower than the noise NEBN. This problem is very frequent for pixels corresponding to smooth areas (specular reflexion), such as harvested fields. Moreover, the results show that the influence of the noise is stronger for cross-polarizations than for co-polarizations because even if the NEBN is of the same order of magnitude for cross- and co-polarizations, the term  $K_s \cdot DN^2$  is weaker for cross-polarizations. Many pixels impossible to calibrate was also observed at high incidences. These aberrant pixels ( $K_s \cdot DN^2 < NEBN$ ) were not used in the calculation of the statistics (for certain images, nearly 30% of aberrant pixels were found what represents a strong loss of information).

In practice, the mean backscattering coefficients were calculated from calibrated SAR images by averaging the linear  $\sigma^0$  values of all pixels within reference fields or (sub-fields in the case where only a part of field is harvested).

Table 1. Main characteristics of TerraSAR-X images used in this study.

Incidence Angle (°)	Polarization	Imaging mode	Date (dd/mm/yyyy)
17	HH	Spotlight	16/03/2009 ; 07/04/2009 ; 29/04/2009 ; 10/05/2009
	VV	Spotlight	01/06/2009 ; 23/06/2009
	HH/VV	Spotlight	04/07/2009 ; 15/07/2009 ; 26/07/2009 ; 06/08/2009 ; 17/08/2009 ; 28/08/2009 ; 08/09/2009 ; 19/09/2009 ; 30/09/2009 ; 27/12/2009 ; 07/01/2010
31	HH	Spotlight	18/03/2009 ; 01/05/2009 ; 23/05/2009
	VV	Spotlight	25/06/2009
	VH/VV	Stripmap	20/12/2008 ; 11/01/2009 ; 24/02/2009 ; 02/02/2009 ; 20/01/2010
	HH/HV	Stripmap	22/01/2009 ; 13/02/2009 ; 18/12/2009
37	VV	Stripmap	01/08/2009 ; 03/09/2009 ; 06/10/2009 ; 17/10/2009 ; 28/10/2009 ; 08/11/2009 ; 11/12/2009 ; 13/01/2010
47	HH	Spotlight	17/05/2009
	VV	Spotlight	08/06/2009 ; 30/06/2009
	VH/VV	Stripmap	14/12/2008 ; 25/12/2008 ; 27/01/2009 ; 18/02/2008 ; 14/01/2010
	HH/HV	Stripmap	16/01/2009 ; 07/02/2009 ; 14/01/2010
59	HH	Spotlight	17/03/2009 ; 08/04/2009 ; 30/04/2009 ; 11/05/2009
	VV	Spotlight	02/06/2009 ; 24/06/2009
	HH/VV	Spotlight	05/07/2009 ; 27/07/2009 ; 09/09/2009 ; 01/10/2009 ; 28/12/2009 ; 08/01/2010
	VH/VV	Spotlight	16/07/2009 ; 07/08/2009 ; 29/08/2009 ; 20/09/2009

Ground truth measurements of sugarcane height were performed on several reference fields from November 07, 2008 to June 06, 2009. On each reference field, two experimental areas of 1.5m x 1.5m were used to collect the sugarcane height, number of stems and leaves. Ground measurements showed that the sugarcane in our study site grows about 25cm per month during the five first months, 40cm between the 6<sup>th</sup> and 9<sup>th</sup> month, and then of about 10-20 cm per month until reaching the mature height of the cane. The ground measurements of the sugarcane height correspond to the height of terminal visible dewlap (htvd). They exclude the leafy tops which have heights of the order of 55cm for sugarcane with htvd of 20cm, 105cm for htvd of 50cm, and of 125cm for htvd between 100 and 180cm. Beyond htvd of 180cm, the leafy top height is about 135cm. For our reference fields, the mean number of stems and leaves was about 17 and 77 per m<sup>2</sup>, respectively (with a standard deviation of about 7 and 30, respectively).

In addition, the farmer of our reference fields also provided the harvesting dates of each reference field. Daily precipitation data recorded at four meteorological stations located on the farm were also used: Bérive-2, Isautier-Bérive,

Isautier-Foyer, and Isautier-Ringuin. The effect of soil moisture content was taken into account in this study using precipitation data. Indeed, soil moisture measurements were difficult to carry out because the terrain is inaccessible in rainy weather and the soil is covered with mulch (dead leaves).

### **3. RESULTS**

#### **3.1. Sensitivity of radar signal to sugarcane height**

The sensitivity of TerraSAR-X signals has been analyzed as a function of sugarcane height (htvd). Results show that the radar signal increases with the sugarcane height for the fields at the beginning of growth (htvd and total cane height respectively lower than 50 cm and 155 cm, depending on incidence angle and polarization) (Figure 2) (Baghdadi et al., 2010). The growth of the sugarcane leads to increase of its height, number and size of leafs, and number and size of stems. This involves an increase of volume backscattering coefficient as well as attenuation of radar signal. However, the increase and decrease of backscatter caused by volume scattering and attenuation at the same time make radar signal reach saturation and then decrease when plant height is larger than 50 cm (Lin et al., 2009). The dynamic of radar signal with the sugarcane height is slightly higher at 47° than at 31°. A dynamic of 5 dB for 47° and 2.5 dB for 31° is observed for cane heights between 0 and approximately 50 cm. Results show that  $\sigma^\circ$  is strongly influenced by the soil moisture since a clear increase in the radar signal is observed after rainy episodes, in particular for young canes.

Results showed that the radar signal is very dependent on the precipitation particularly at low and medium incidence angles and for young canes. Indeed, at low and medium incidences, the soil contribution (influenced by soil moisture) to total backscattering could be important for cane heights lower than 95 cm (Figure 2). The soil effects are small for images acquired at high incidence angles and for sugarcanes with vegetation well developed. The decrease in radar signal for harvested fields could be reduced of 3–4 dB on images acquired after rainy period.

#### **3.2. Temporal backscatter and sugarcane harvest detection**

This study also examined the potential of different TerraSAR-X incidence angles and polarizations for mapping sugarcane harvests. Harvested fields are easily detected on SAR images if the image acquisition date is close to harvest date (ideally less than two months). Indeed, the harvest involves a decrease in the signal that can reach 7 dB (VV-37°) if the observation radar is relatively close to the harvesting date (few days). The incidences of 17° and 58° allow only partially the detection of the harvest because the decrease of radar signal after the cut is about 3 dB (Figure 3). Figure 3b also shows that HH and VV polarizations are strongly correlated. The general trend is that the HH response is slightly higher than the VV (on the order of 1 dB). This confirms the effect of higher attenuation at the VV polarization for sugarcanes with a vertical structure (Le Toan et al., 1989).

Figure 4 shows segments of TerraSAR-X images acquired between August 01, 2009 and January 13, 2010 in VV polarization and with an incidence angle of 37°. The interpretation of TerraSAR images shows that the difference between the backscatter of mature cane and of harvested cane is well pronounced at medium incidence angles (37°). The images show high  $\sigma^\circ$  for mature canes and low  $\sigma^\circ$  for harvested fields. The discrimination between harvested fields or young canes (less than two months old) and canes of more than two months old is better with TerraSAR at 31°-37°.

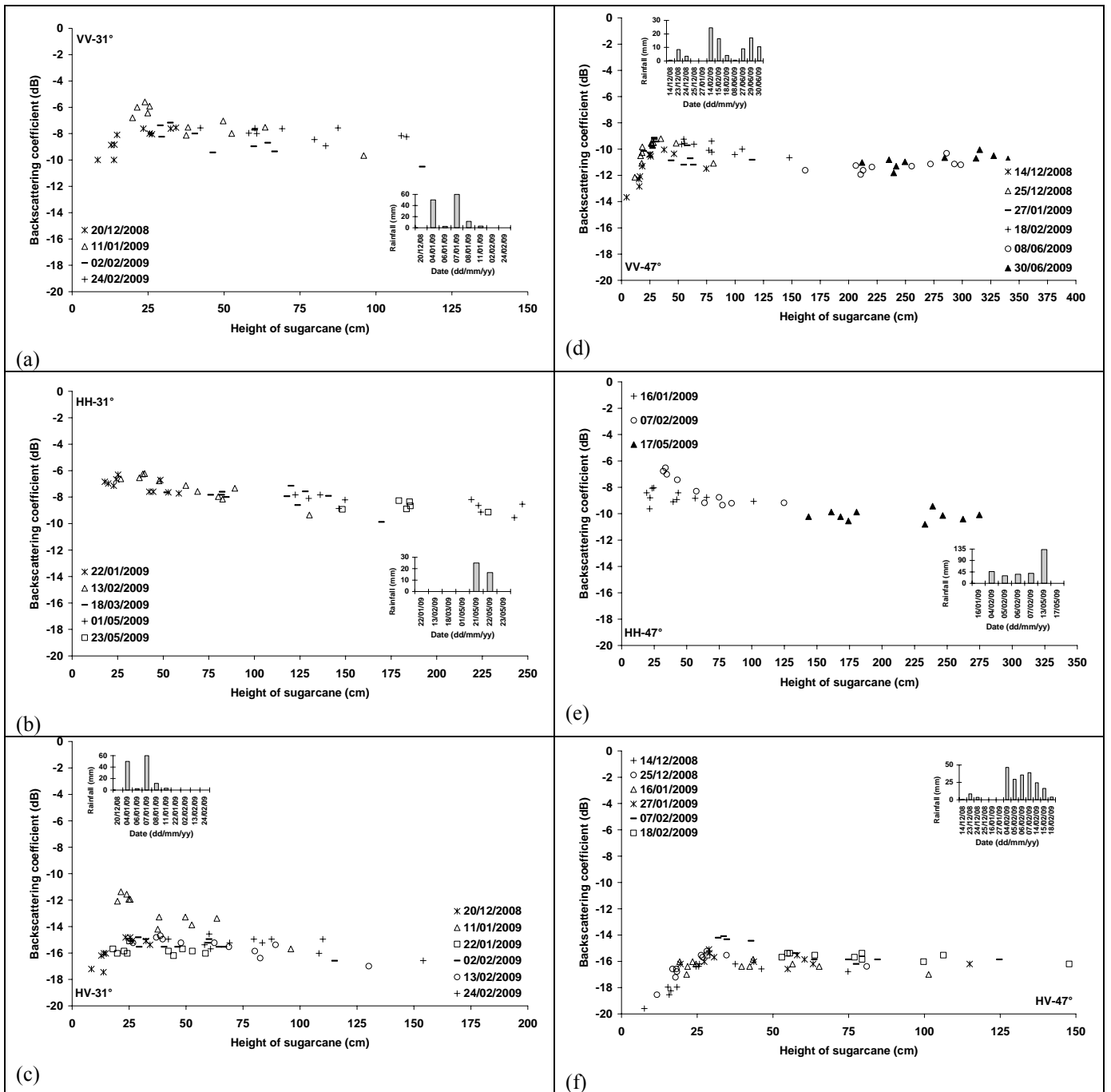


Figure 2. Radar backscattering coefficient as a function of plant height for VV, HH, and HV polarizations and incidence angle of 31° and 47°. The sugarcane height corresponds to the height of terminal visible dewlap (*htvd*).

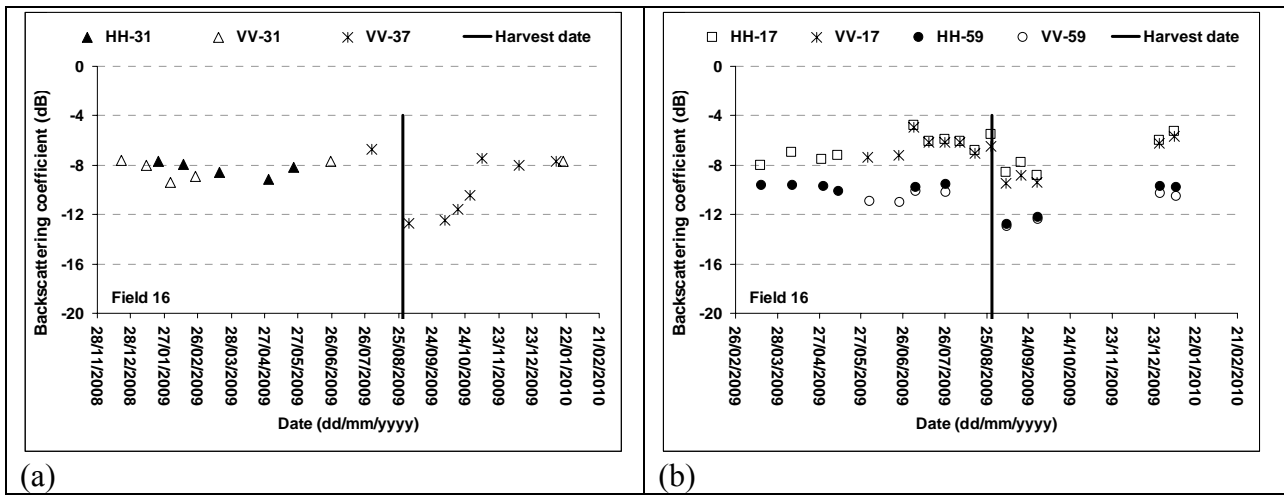


Figure 3. Temporal variation of TerraSAR signal for the reference sugarcane field 16. (a) 31 and 37°, (b) 17° and 59°. Field 16 was harvested on August 29, 2009.

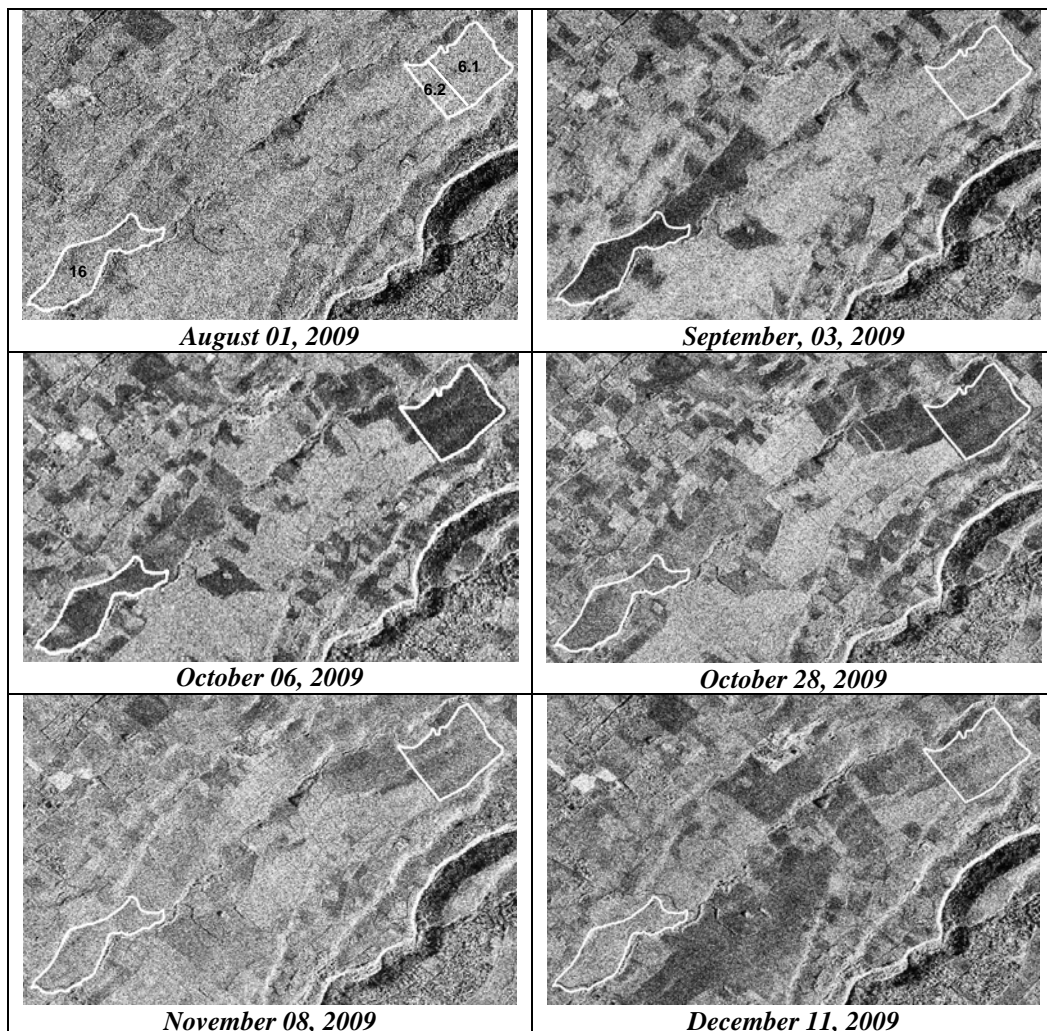


Figure 4. Comparison of several TerraSAR image segments for reference sugarcane fields (6.1, 6.2, and 16). All images were acquired at incidence of 37° and in VV polarization. Fields 6.1, 6.2, and 16 were harvested on September 10, October 03, and August 29, 2009, respectively.

#### 4. CONCLUSION

The objective of this study was to analyze the behaviour of TerraSAR signal as a function of sugarcane height. The radar backscattering coefficient of sampled fields was studied using ground truth measurements of sugarcane height, SPOT images, and harvest dates. The increasing trend of  $\sigma^{\circ}$  as a function of sugarcane height is observed until a height htvd around 50 cm, corresponding to total cane height around 155 cm (depends on incidence and polarization). The discrimination between young and mature canes is limited to fields harvested less than 2-3 months earlier (cane heights htvd between 0 and 50 cm).

This study also examined the potential of different TerraSAR-X incidence angles and polarizations for mapping sugarcane harvest. Harvested fields are easily detected on SAR images if the image acquisition date is close to harvest date (ideally less than two months). Indeed, the harvest involves a decrease in the signal that can reach 7 dB (VV-37°) if the observation radar is relatively close to the harvesting date (few days). The incidences of 17° and 58° allow only partially the detection of the harvest because the decrease of radar signal after the cut is about 3dB.

Results showed that the radar signal could be very dependent on the soil moisture particularly at low and medium incidence angles and for young canes. Indeed, at low and medium incidences, the soil contribution (influenced by soil moisture) to total backscattering could be important for cane heights lower than 95 cm. The soil effects are small for images acquired at high incidence angles and for sugarcanes with vegetation well developed. The decrease in radar signal for harvested fields could be reduced of 3-4dB on images acquired after rainy period.

The very high spatial resolution (metric) of TerraSAR-X offers great potential for mapping harvested sugarcane crop. This SAR provides a diagnosis suited to agricultural areas where the parcels are of small size. The spatial resolution of TerraSAR images, between 1 and 3 m (for Spotlight and Stripmap modes) are well suited for sugarcane production areas dominated by small farmers as in Reunion Island with fields areas of about 1 ha on average. These results appear promising for the development of simplified algorithms for monitoring sugarcane harvest regardless of meteorological conditions, which are the main limitation with optical sensors.

#### 5. ACKNOWLEDGMENTS

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#### 6. REFERENCES

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