







#### "Lessons" from CAFNET:

An international project documenting environmental services of coffee agroforestry in Central America, India and East Africa.

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#### **CAFNET**



CAFNET: Connecting, enhancing and sustaining environmental services and market values of coffee agroforestry in Central America, East Africa and India.

Funded by EU "Environment in Developing countries" 2007-2011

Europe: Cirac 30 researchers were involved in this project

35 Masters students and 12 PhD students

from Latin America, East Africa, India and

India: Univer Europe

& Institut Français Letters.

f India



Central America: Catie, Promécafé (Costa Rica, Nicaragua, Guatemala)



East Africa: Icraf, Coffee institutes (Kenya, Uganda et Rwanda)



#### **Context**

Common interests for enhancing viability of coffee sector via agroforestry in all 3 regions: Central America, East Africa & India

- Agroforestry management as key for coffee plantation sustainability
- Role of shade trees in coffee quality, central for farm economic viability through diversification of farmers' revenues (timber, fuel wood, NTPs, fruits ..)
- Documentation & valuing of environmental services (including biodiversity) to insure economic reward to farmers via eco-certification, national and international schemes













#### Context ...

Multiplicity of isolated initiatives and "good practices" schemes (Starbucks, Rainforest, UTZ Certified, Organic, Bird-friendly, Fair Trade, Nestlé Nespresso, 4C)

Contrasting contexts between regions (> 50% of coffee farms ecocertified in Costa Rica and <0.5% in India)

Pilot schemes on Payment for Environmental Services

Lack of effective channels for synthesizing and transferring agroforestry research findings to stakeholders across continents







#### **Overall objectives**

- 1) to link sustainable management and environmental benefits of coffee agroforestry systems with appropriate remuneration for producers through better access to eco-markets and payment for environmental services;
- 2) to improve livelihoods for coffee farming communities while conserving natural resources in three major coffee regions located in world hotspots for biodiversity.









### Plan of presentation



A few definitions



Highlight results of Cafnet in terms of documentation of environmental services



Tools developed for selecting & promoting tree on farms



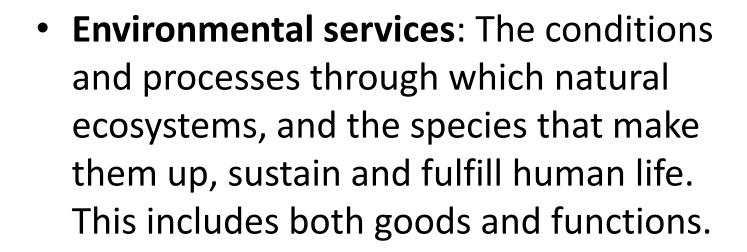
Incentives and schemes for promoting tree on farms



Concluding remarks

### **Definitions**

 Agroforestry: A system of land use in which trees or shrubs are grown among or around crops or on pasture













Services	Services	Services		
Products obtained From ecosystems  •Food •Fresh water	Benefits obtained from Regulation of ecosystem processes  •Climate regulation •Hydrological regimes	Material and non- Material benefits of ecosystems  •Spiritual and Inspirational •Recreational		
•Fuel	<ul> <li>Reduction of natural hazards</li> </ul>	<ul><li>Aesthetic</li><li>Educational</li></ul>		
<ul><li>Fiber</li><li>Biochemical Products</li></ul>	<ul><li>Pollution control</li><li>Detoxification processes</li></ul>	• Historical		
	<ul><li>Pollination</li><li>Pests &amp; diseases control</li></ul>	<ul><li>Traditional Livelihoods and knowledge</li></ul>		
Supporting Services				
Services necessary for the production of all other ecosystem services:				
•Soil Formation	Nutrient Cycling	Primary production		

Regulating

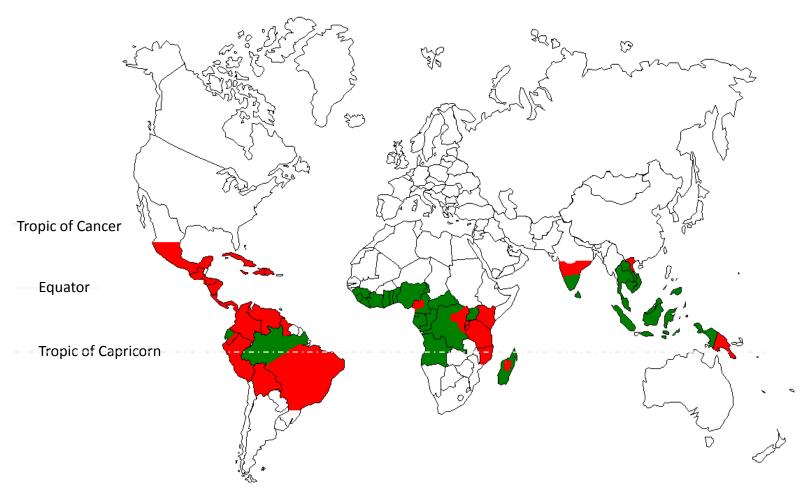
Cultural

MEA 2006

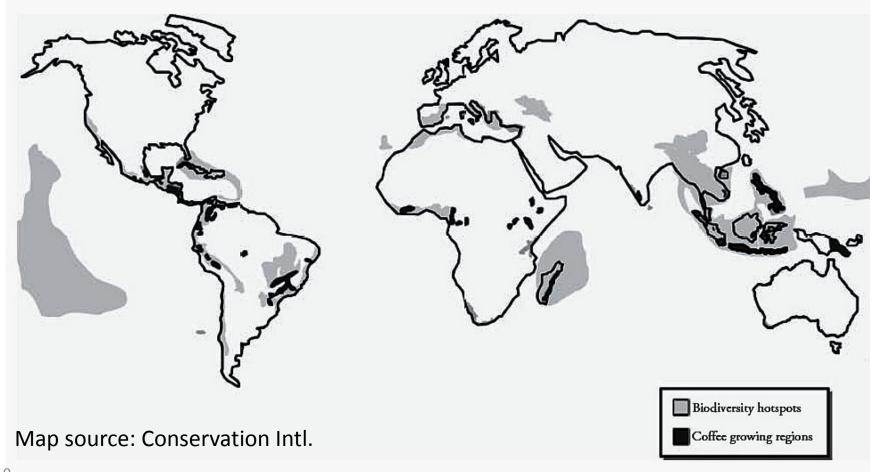
Provisioning

#### **Coffee cultivated areas**

11 m Ha = 7 m Arabica + 4 Canephora (annual rate of deforestation ~15 m Ha) In 60 countries and ~25 m coffee households >80% coffee produced by small farms (<3 Ha)



Coffee is grown on 11 million ha >95% within biodiversity hotspots, where many endemic and threatened species live.



Coffee agroforestry is generally associated in the public mind to traditional or "rustic" coffee agroforests that harbor high biodiversity, but produce little coffee.

However, agroforestry systems are very diverse and range from highly

productive systems to traditional multi-strata sys

A COMMENT OF THE PARTY	MANAGEMENT SYSTEM	%SHADE <sup>*</sup> COVER	SHADE TREE*
	RUSTIC	71-100	> 50
В	TRADITIONAL POLYCULTURE	41-70	21 <b>-</b> 50
	COMMERCIAL POLYCULTURE	31-40	6-20
	SHADED MONOCULTURE	10-30	1-5
E	UNSHADED (SUN) MONOCULTURE	0	0



(Perfecto et al., 2005, modified from Moguel and Toledo, 1999)

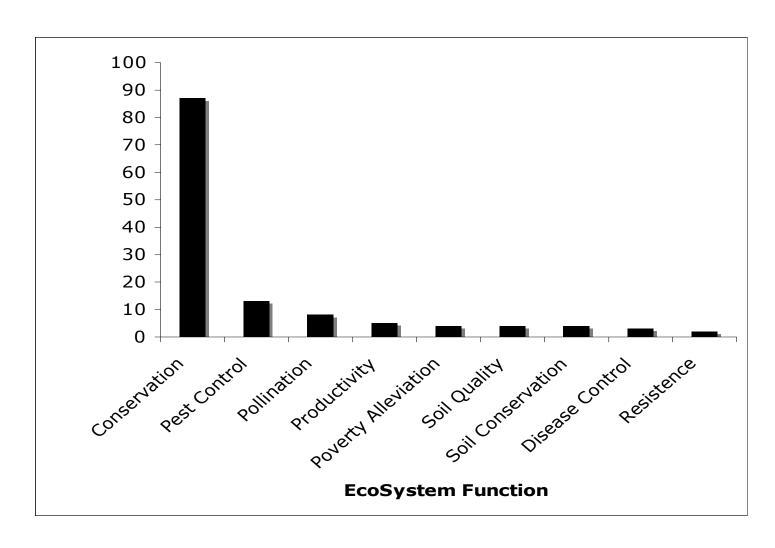


#### Which Ecosystems Services have been studied in coffee AFS?

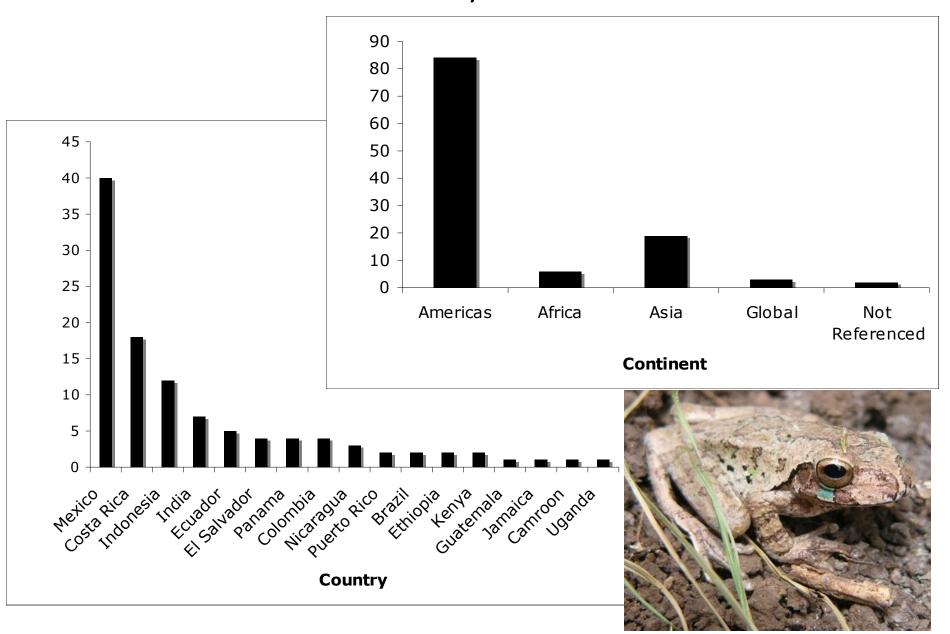








#### Published studies on "Biodiversity and Coffee"



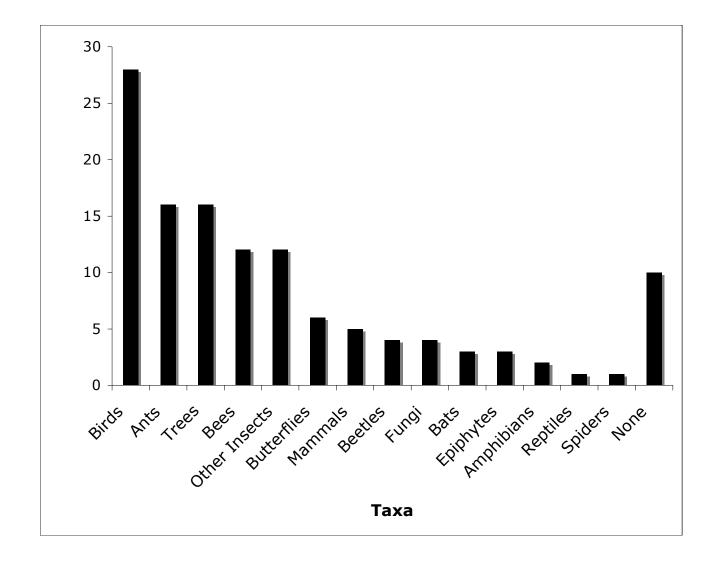








#### Which taxonomic groups have been studied?





### Effects of shade trees on coffee production



 "Shade is not universally beneficial. The need for shade is a function of climate (it is especially important in hot and dry climate)" Look 1888



General trends observed on "controlled" trials



In optimum conditions Coffee production decreased by 20-40% when "optimal" shade level in the range of 20-40% But alternate bearing pattern reduced and coffee productive life span increased



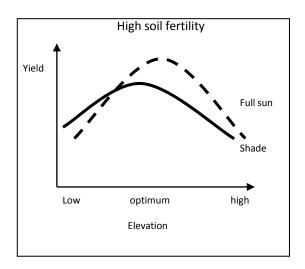
In sub-optimal conditions (prevailing worldwide) Coffee production increased by 10-50% when "optimal" shade level in the range of 30-50%

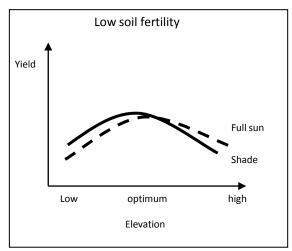








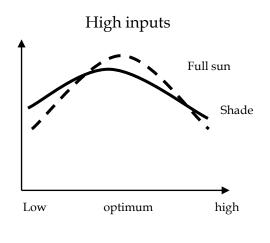


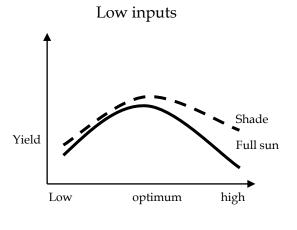






#### Theoretical response of coffee yield to shade and Management intensity





Elevation

Elevation









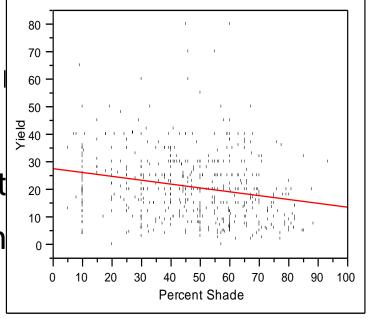
• From large surveys in CA, India, East Africa, no clear trend due to many factors:

Heterogeneous tree col

Altitudinal range

Difference in soil fertilit

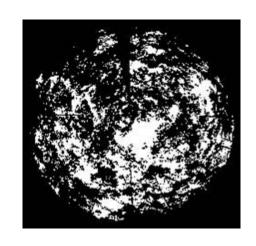
Difference in managem pruning...)



 So that it is interesting to focus on "outliers"



# Shade and coffee ecophysiology

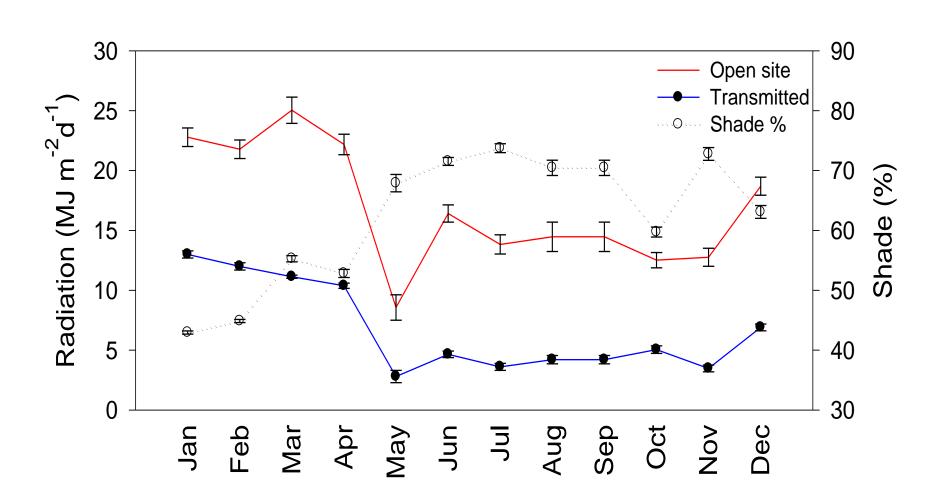


- Shade trees modify the microclimate
  - Light, air and leaf temperature, VPD
- Coffee physiology and production
  - Flowering, photosynthesis, carbon allocation, production pattern and yield,
- Shade tree modify water fluxes
  - Transpiration, interception, runoff, soil water
- Coffee quality
  - Bean size, bean content & cup quality

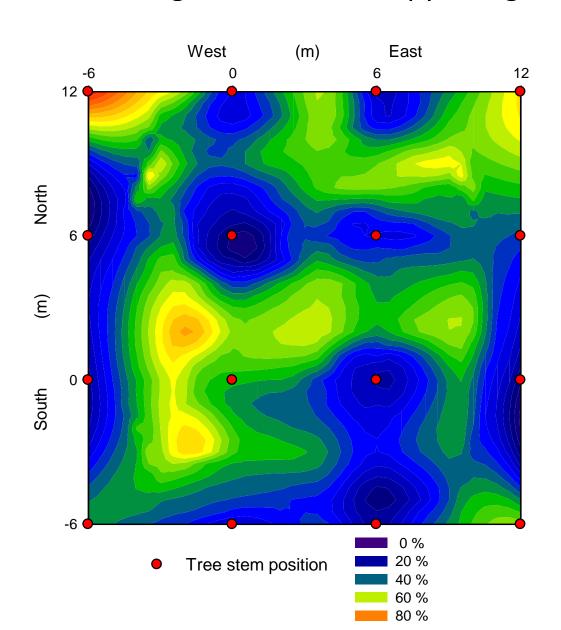




#### Influence of trees on transmitted radiation

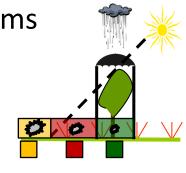


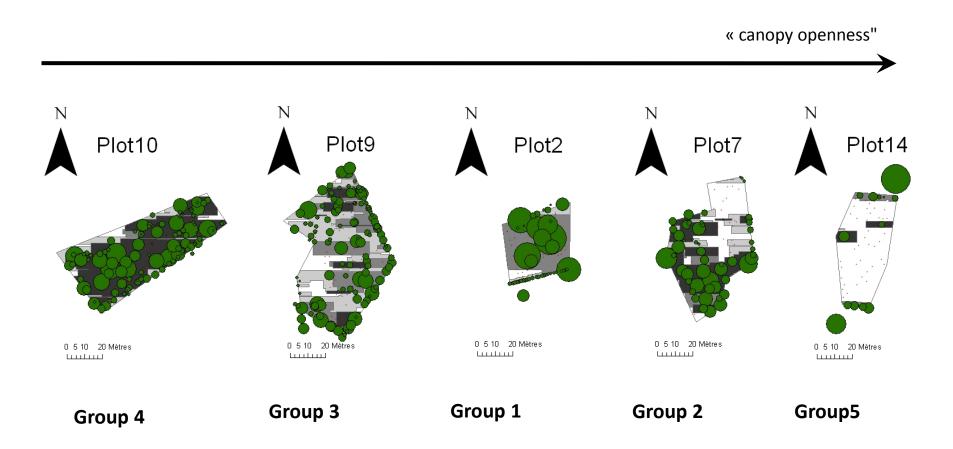
## Spatial variation in the percentage of transmitted radiation through the shade canopy of Inga



large variability in tree spatial arrangement in coffee systems (Kenya)

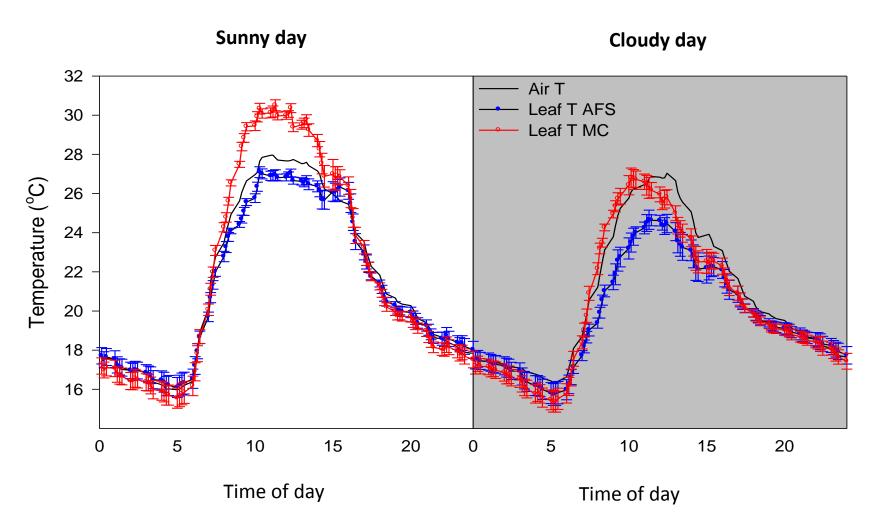
Difference in canopy porosity between tree species and hence light irradiance experienced by coffee plants





# Effect of shade tree on mean diurnal courses of coffee leaf temperature

 Reduction of maximal leaves temperatures under shade by up to 6°C, with an average reduction of 1 to 3.5°C



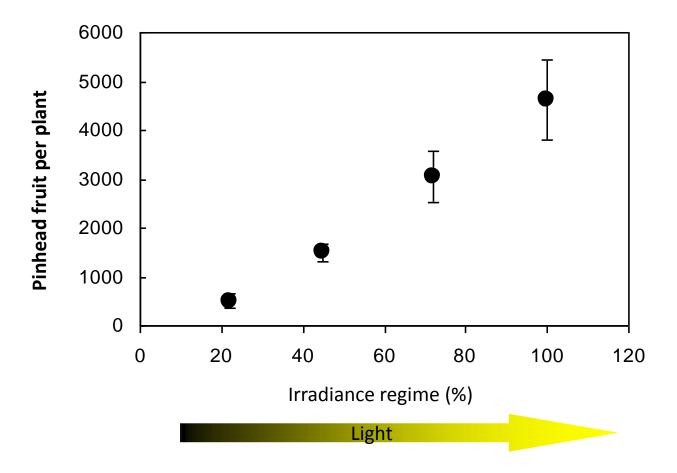








#### Strong negative effects of shade on flowering/fruit set



With increasing shade, longer internodes and fewer flowers per node

manipulate shade at flowering: tree pruning & mix of trees with different phenology

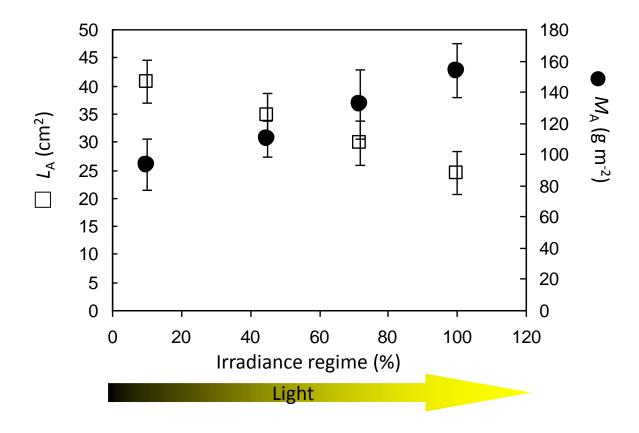








Shade effects on leaf area  $(L_A)$   $\square$  and specific leaf weight  $(M_A)$ 



Shade effect on leaf life span

6-8 months in full sun

10-12 months in shade

→ stronger carbon sink in full sun





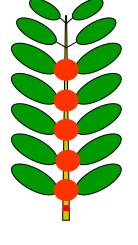


- Coffee phenological changes with light (acclimatizing of leaf/plant to shade)
- •Competition for C between fruits and vegetative sinks (alternate bearing)
- and limitations in :
- Stomatal conductance (gs) to Temperature & VPD
- Photo-inhibition (Pi)
- Feedback of fruit load on Pn

• Integration of the Pn model from leaf to plant and plot











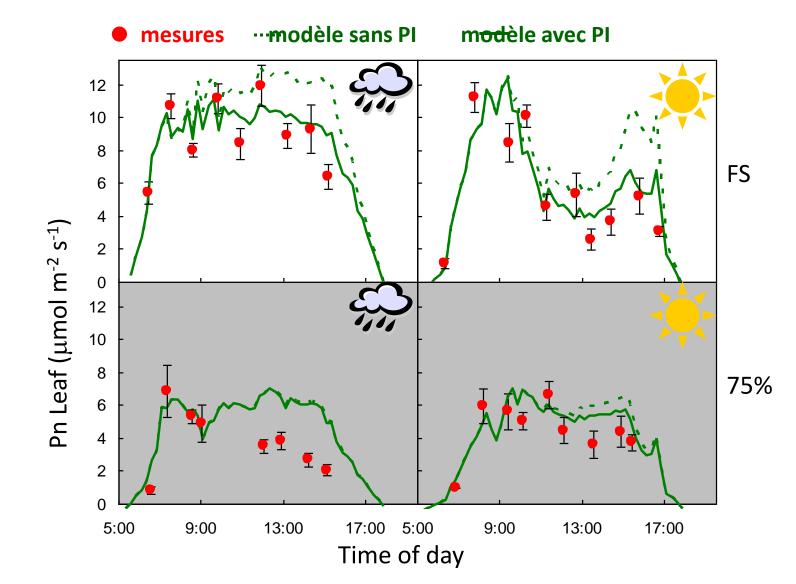




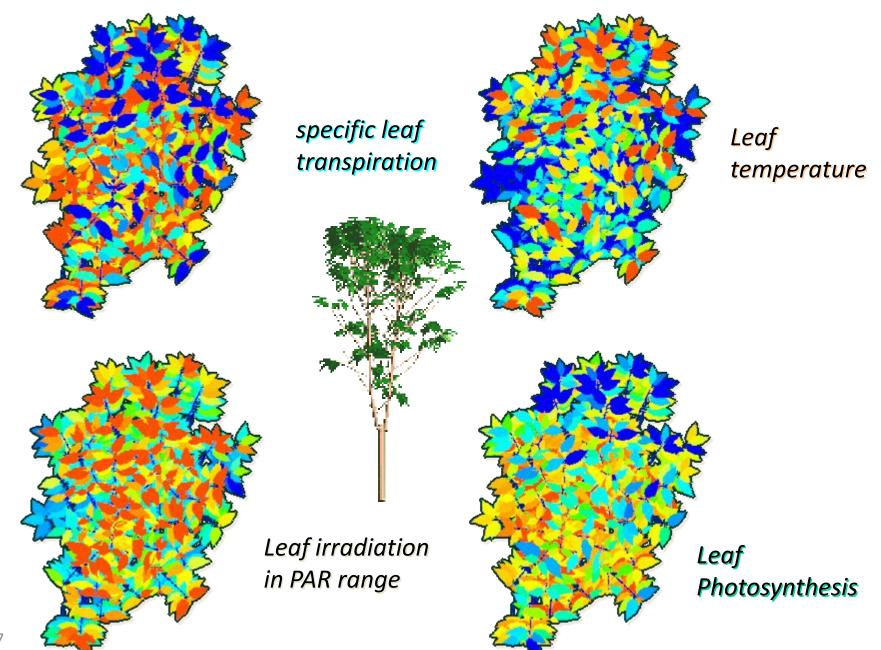




Modelling of coffee leaf net photosynthesis ( $Pn-g_s-PI$ ) with adapted plants under contrasted light regimes



#### 3-D model with AMAP-CIRAD (J. Dauzat)

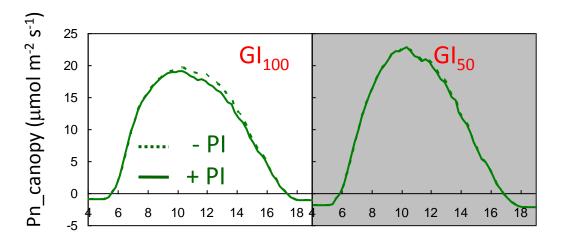


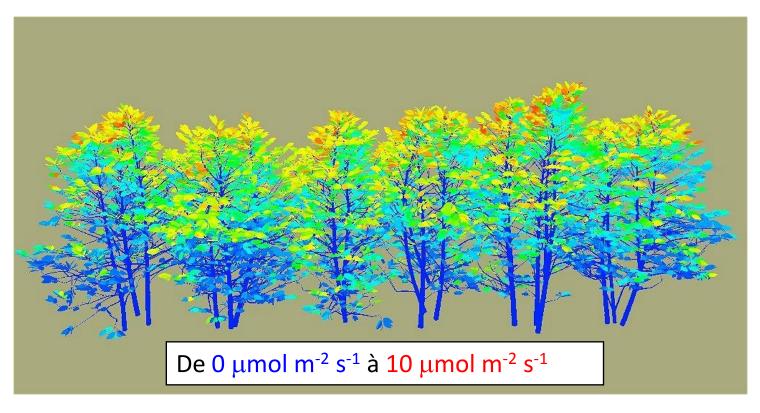












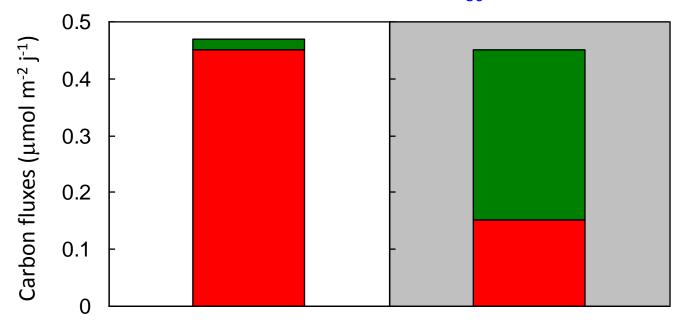
Pn for 50% shade at 2:00 pm



Comparison between C production and demand over a production cycle

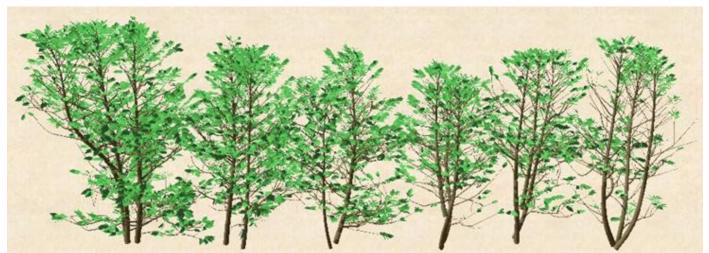
and decision tool on shade management

Full Sun – Full Fruit Load Shade<sub>50</sub>-FullFruit Load



C demand by coffee berries

**C** Production



Full Sun



Fruit load



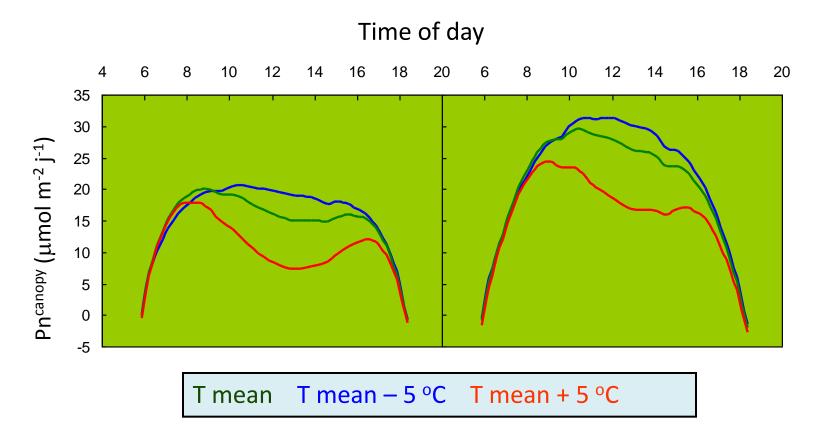
Shade 50%





#### Exploring the effect of climate change on coffee photosynthesis

Effect of increasing/decreasing air temperature



→ Role of shade trees in buffering air temperature (0.8°C per 100 m)



### Coffee quality

Shade improves quality in 2 ways:

Reduction in fruit load, hence lower competition between fruits, resulting higher coffee bean size, bean filling and beverage quality

reduction in light exposure and temperature leads to slower and longer berry maturation period, thus better bean filling and higher complex sugars accumulation.

Coffee quality of AFS at 1000 m equivalent to Sun full coffee at 1300 m

Climate change
Rise in temperature likely to affect negatively coffee quality

→ Displacement of high-quality zone to higher altitude or shade

#### what happens with climate change?





Coffee and crops grown with coffee

New coffee planting - Deforestation issues?

Coffee and crops grown with coffee

With gradients of shade intensity (Full sun partial shade, full shade)

With gradients of shade intensity (Full sun partial shade, full shade)

Post coffee landscapes.

Conversion to:

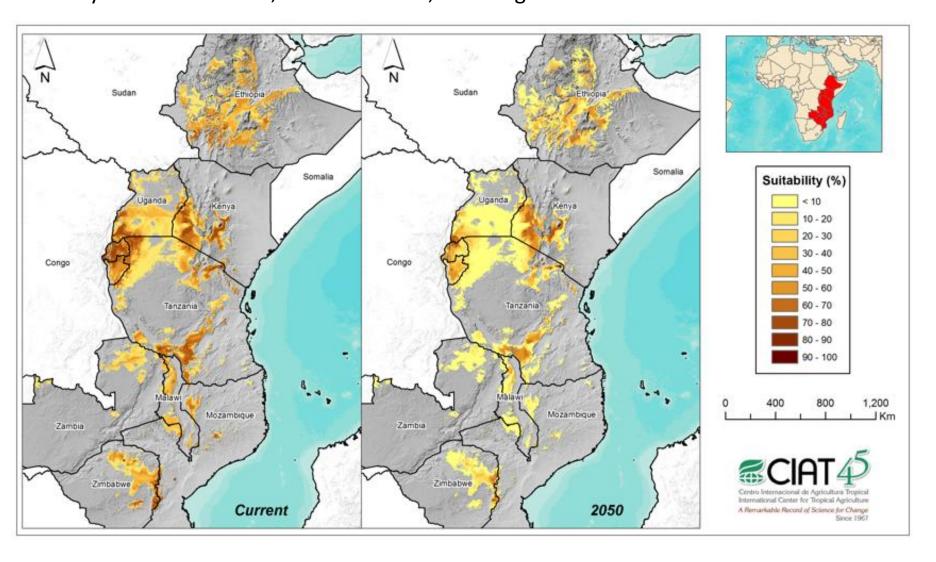
- -Pasture
- -Annual crops
- -Urban
- -Abandonment

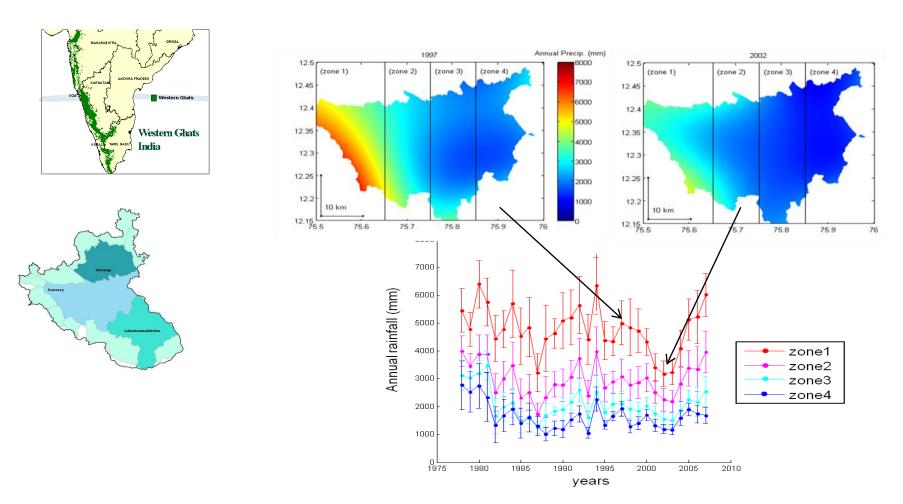
Low Altitude

Now

**Future** 

Specialty Coffee Managing Quality Edited byThomas Oberthür, Peter Läderach,H. A. Jürgen Pohlan and James H. Cock





There is a strong fluctuation of annual rainfall with an apparent cycle of 12-14 years,

The length of the rainy season has been decreasing by 14 days over the last 35 years.

Higher proportion of "heavy rains"



# Water dynamics in coffee systems



- Water issues
- Climate change and irregular rainfall pattern (lengthening of dry season)
- Competition vs complementarity
- Ideally, associate trees with deep-rooted system t tap water below coffee root zone
- Possible hydraulic lift

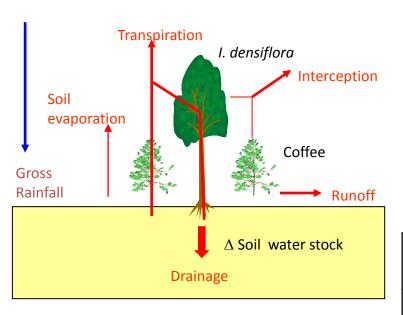
Water balance components in full sun and AFS

- -Rainfall interception by canopy
- -Soil water
- -Transpiration
- -Runoff

Drainage







# Order of magnitude of various components

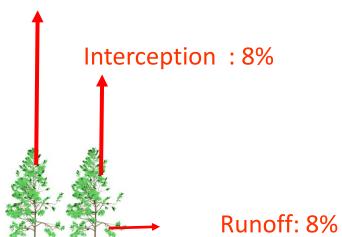


	AFS	MC
Throughfall	77%	83%
Tree Stemflow	1%	-
Coffee Stemflow	10.5%	7%
Interception	11.5%	10%
Transpiration	34%	25%
Runoff	3%	8%
Drainage (>200 m)	50.5%	57%

### Water dynamics in coffee systems

### <u>Monoculture</u>

Transpiration: 24%



Drainage: 63%

### <u>Coffea arabica + Inga densiflora</u>

Transpiration: 31% - Coffee: 17% - Tree: 14%

Interception: 12%

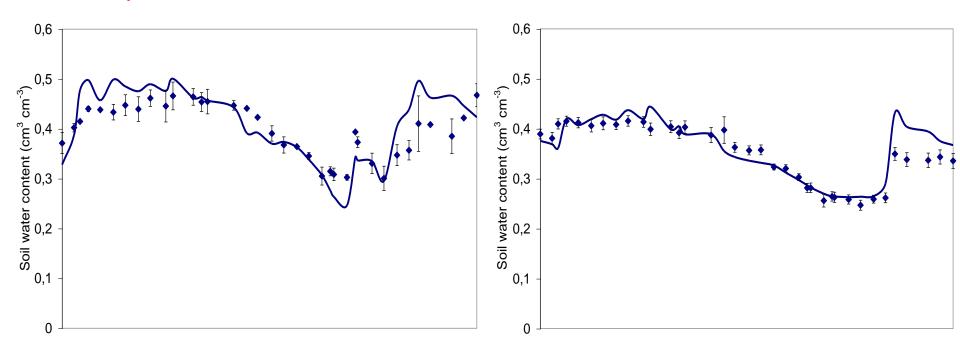
→ Runoff: 4%

Drainage: 56%

(Siles, Vaast, Dreyer, Harmand, 2010; J. Hydrology)
(Cannavo, Sansoulet, Harmand, Siles, Dreyer, Vaast, 2011; Agr. Eco. Env.)

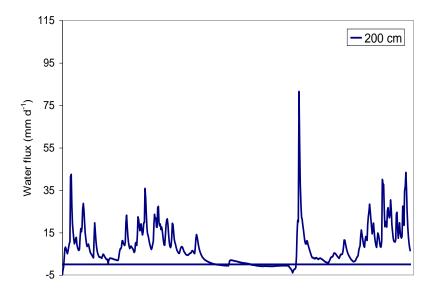
### Adaptation of Model "HYDRUS"

Comparison of simulated (solid line) and observed (circles) soil volumetric water contents in the 0-30 and 60-90 cm soil layers in AFS with allocation of water uptake in the various soil layers according to root density



0-30 cm soil layer in AFS

60-90 cm soil layer in AFS

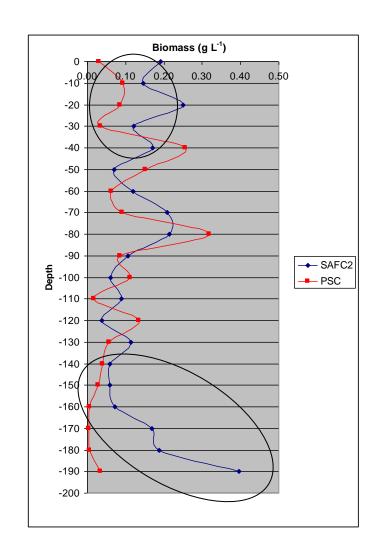


Water drainage (in mm d<sup>-1</sup>) at 200 cm soil depth in AFS

#### Explore climatic scenarios with model

- Rainfall reduced to 40% of the actual rainfall regime (i.e. ~1300 mm yr<sup>-1</sup>)
- → Severe reduction in drainage, but without water competition between coffee and shade trees,
- 1. Dry season extended by 4 to 6 weeks
- → Water competition between coffee and shade trees

# Competition/complementarity for water between coffee and shade trees



# Effects of Trees on coffee Pests and Diseases

- Highly dependent on pest or disease, and not "clear cut"
- Positive effects
- White stem borer of Arabica (Coffee Board India) => cooler microclimate
- Leaf miner => cooler and more humid microclimate
- CBD of Arabica => rain interception by tree canopy (Mouen, Cilas et al in Cameroun)
- Nematodes => higher OM content and antagonistic soil micro-flora
- Negative effects
- Coffee berry borer negative at plot level, but microclimate favorable to antagonists (Beauveria), and tree barrier to spread at landscape level
- Leaf rust (and other fungal diseases) => enhanced development due more humid microclimate but fruit load effect, and to some extent tree barrier effect at landscape level



## Effects of trees on soil fertility



Via pruning and/or leaf fall, shade trees contribute to soil OM



Important for physical properties

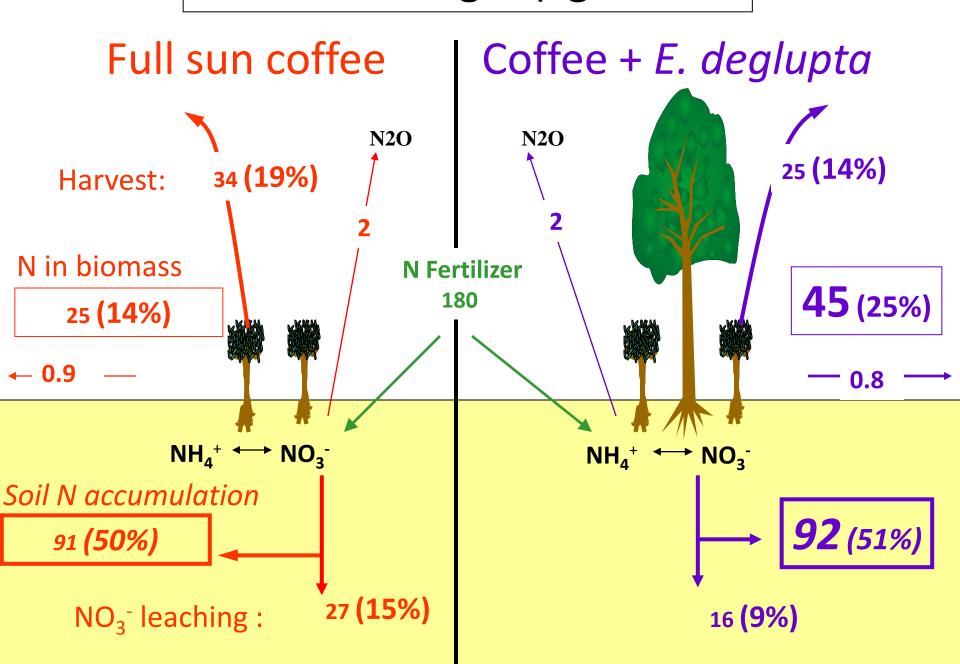


and via decomposition => nutrient cycling

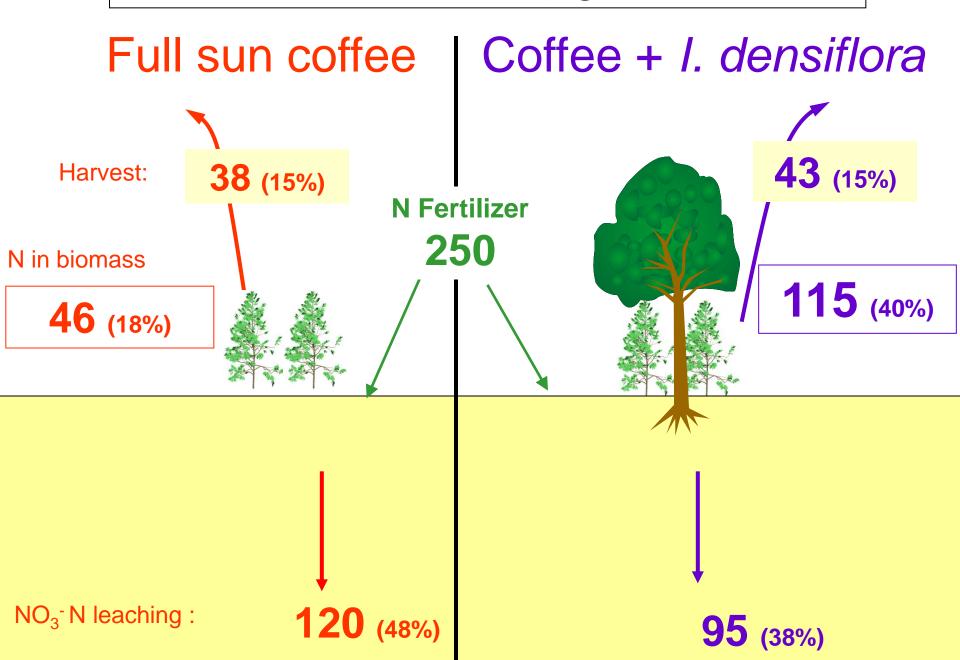


Due to high N coffee demand, a focus on fate of N fertilization and contribution of legume (N-fixing) trees

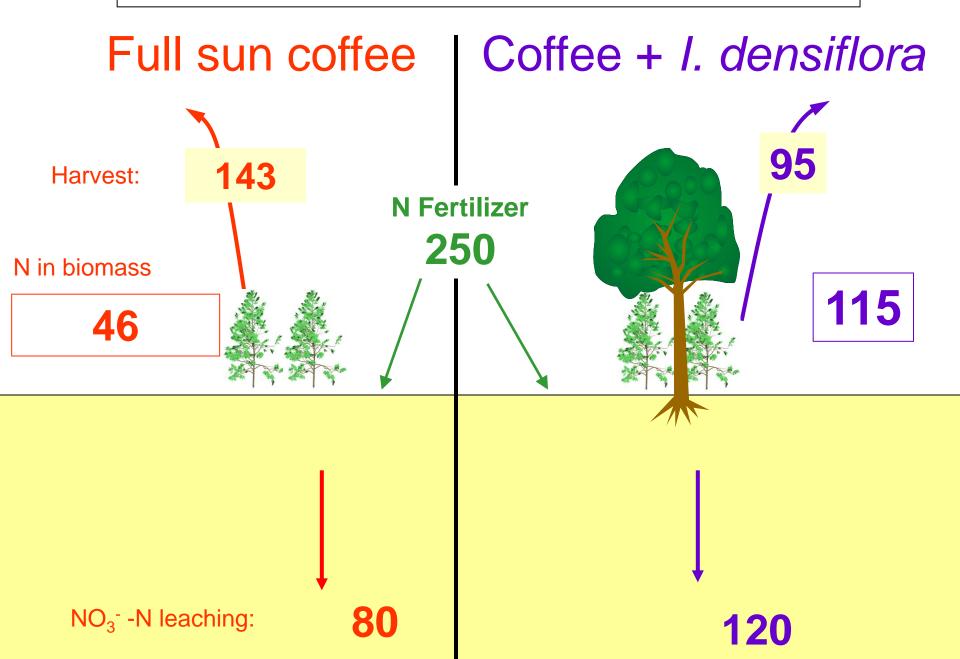
## Annual N budget (kg N ha<sup>-1)</sup>



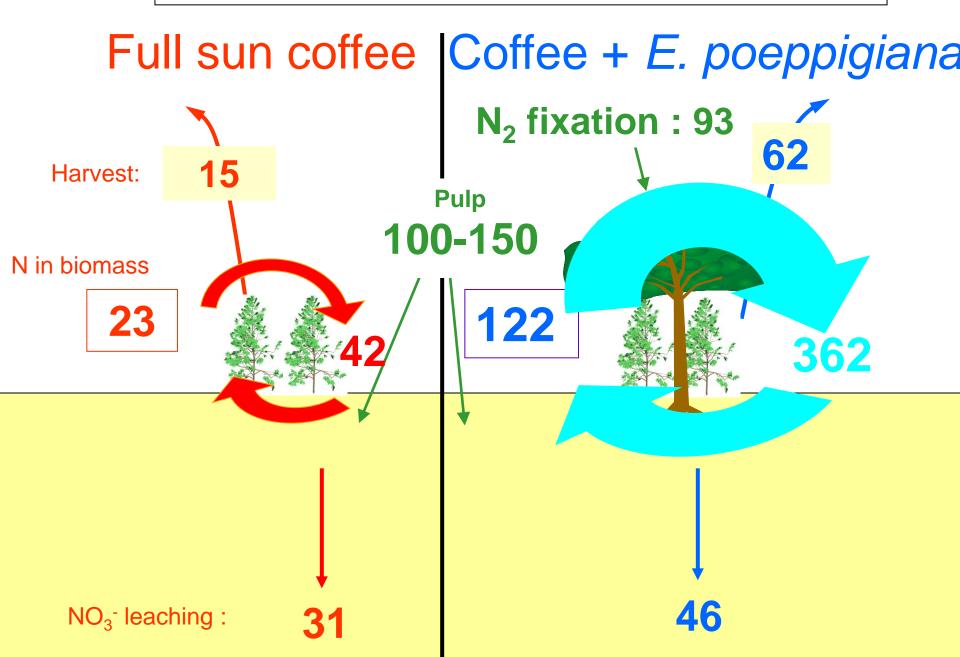
## N measured fluxes (kg N ha<sup>-1</sup>) Yr1



## N measured fluxes (kg N ha<sup>-1</sup>) Yr2



# N budget (kg N ha<sup>-1</sup>) Organic plot



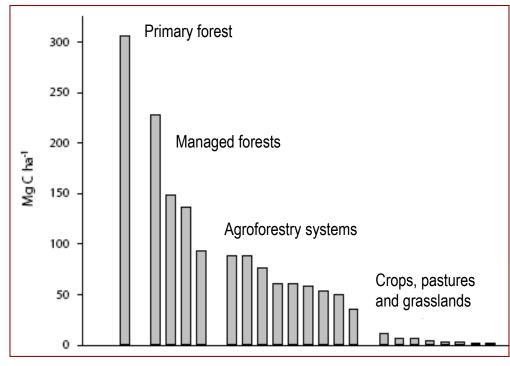


### Role of Coffee AFS in mitigation of Climate Change









Verchot et al. (2005)



# Carbon sequestration in coffee systems

	Carbon stocks (t C ha-1)							
	Coffeea	Shade trees <sup>b</sup>	Litter	Weeds	Total ABG	Roots	Soil <sup>c</sup>	Total System
Range	5-16	0-120	1-12	0-10	10-150	1-10	10-220	35-350

<sup>&</sup>lt;sup>a</sup> Coffee planting densities between 1250 and 6340 trees ha<sup>-1</sup>

### Importance of previous land use

<sup>&</sup>lt;sup>b</sup> Shade trees planting densities between 50 and 800 trees ha<sup>-1</sup>

<sup>&</sup>lt;sup>c</sup> Soil sampled between 0 and 45 cm depth.





Carbon (t/ha)			_		
System	Tree	Coffee	Soil	Litter	Total
Forest	97	-	97	2,4	196
Arabica Native	88	4,8	112	1,6	206
Arabica Exotic	73	3,3	105	2,2	183
Robusta Native	78	13,0	90	1,8	182
Robusta Exotic	47	10.1	78	1.9	138

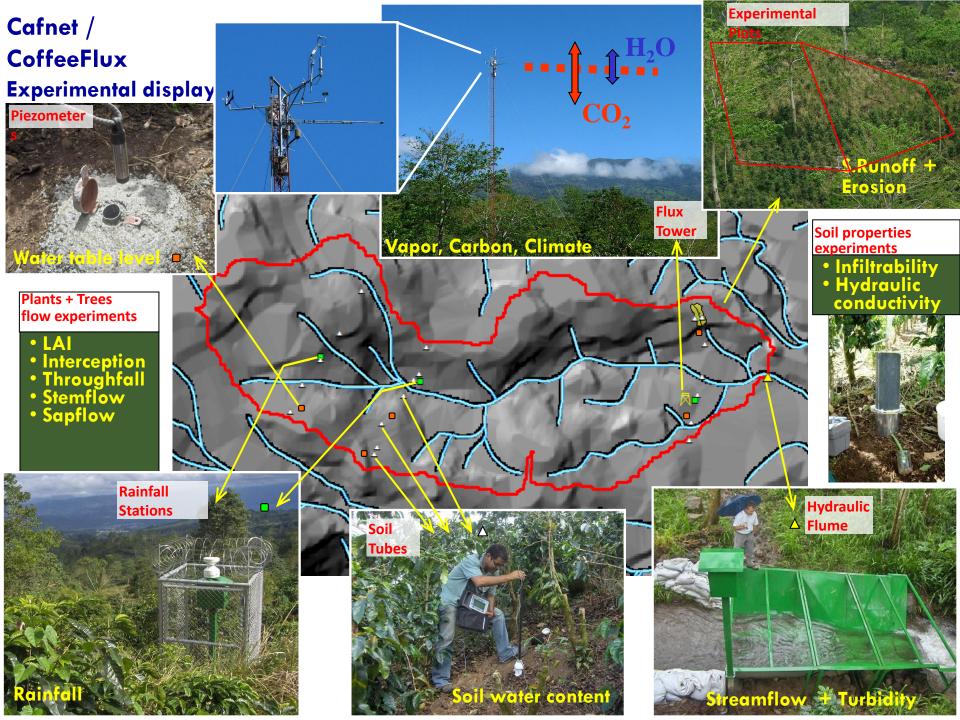
Native coffee AFS >300 trees/ha and 50 species "Exotic" coffee AFS >200 trees/ha and 20 species Mean yield Arabica 600-900 kg green bean/ha Mean yield Robusta 800-1200 kg green bean/ha



# N<sub>2</sub>O emission in coffee systems with legume trees (Hergoualc'h et al 2007 & 2012)

Fertilization	Coffee system	N <sub>2</sub> O effluxes	C ABG	Net C rate	
		t CO <sub>2</sub> -eq ha <sup>-1</sup> yr <sup>-1</sup>	t CO <sub>2</sub> -eq ha <sup>-1</sup> yr <sup>-1</sup>	t CO <sub>2</sub> -eq ha <sup>-1</sup> yr <sup>-1</sup>	
Mineral Fertilizer 250 kg N ha <sup>1</sup> yr <sup>1</sup>	AFS – Inga densiflora	2.7 (0.2)	13.2 (0.3)	10.5 (0.4)	
	Monoculture	2.0 (0.0)	5.5 (0.6)	3.4 (0.6)	
Organic Fertilizer 150 kg N ha¹yr¹	AFS - Erythrina poeppigiana	1.7 (0.7)	12.7 (0.5)	11.0 (0.9)	
	Monoculture	0.9 (0.4)	3.1 (0.2)	2.2 (0.4)	

Higher N<sub>2</sub>O emission in coffee with legume shade trees than full sun coffee But much higher net C sequestration rate in coffee AFS



# New approach & Tools for selecting & promoting tree on farms

- Impossibility of long-term testing of all candidate tree species
   => research in farmers' fields
- => combine research with farmers' traditional knowledge
- Modeling of farmers' behaviors to economical or legal drivers
- Prioritization of eco-hotspots

Tree Ranking



### Role Playing Game



# Farmers' tree knowledge Why rank and not score?

- Farmer's knowledge is comparative – they are comfortable with comparisons
- Farmers can rank 10 trees for 12 attributes in a one hour session.
- Only rank trees that they have had direct experience of.



### Physical attributes to rank trees against

#### General (for all trees)

- Crown spread (which trees have the widest crowns and which have the narrowest?
   Widest/narrowest)
- **Crown density** (which trees let a lot of sunlight through their leaves and branches, and which ones don't let sunlight come through? Least dense/most dense)
- Easiness to prune (which trees are easy to shape and which trees are not so easy to prune? Easiest/hardest)
- **Growth after pruning** (which trees can grow again easily once pruned and which ones do not grow well after pruning? Fastest/slowest)
- Rooting depth (which trees root deeply and which have shallower roots?
   Deepest/least deep)
- **Rooting spread** (which trees have the most spread out roots and which have roots that don't cover a big area underground? Widest/narrowest)
- **Growth rate** (which trees grow fastest and reach maturity the quickest and which trees are slow growing? Fastest/slowest)

#### Specific (for trees of a specific use)

#### **Firewood**

• **Burn length** (which wood burns for the longest time and which for the shortest time? Longest/shortest)

#### **Timber**

- **Strength** (which are the strongest and which are the weakest?)
- **Durability** (resistant to insect attack and rotting) (which wood lasts the longest and which rots and is attacked by insects easiest?)

#### Mulch

- **Leaf decomposition rate** (which are the fastest to decompose and which are the slowest? Fastest/slowest)
- Benefit to the soil (which are the best for soil and which are the worst?
   Highest/lowest)

### List of trees (~30) used in Kenya

- Acacia mearnsii
- Azadirachta indica
- Bridelia micrantha
- Callistemon citrinus
- Carica papaya
- Commiphora zimmermannii
- Cordia africana
- Croton megalocarpus
- Cupressus lusitanica
- Ehretia cymosa
- Eriobotrya japonica
- Erythrina abyssinica
- Eucalyptus saligna
- Euphorbia tirucalli
- Ficus natalensis
- Grevillea robusta
- Leucaena leucocephala
- Macadamia tetraphylla
- Mangifera indica
- Markhamia lutea
- Musa sapientum
- Neoboutonia macrocalyx
- Newtonia buchananni
- Persea americana
- Podocarpus falcatus
- Prunus africana
- Psidium guajava
- Sapium ellipticum
- Trema orientalis

### Attribute ranking

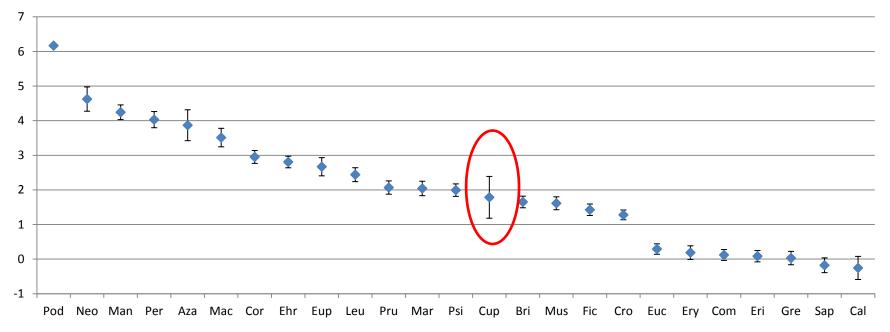




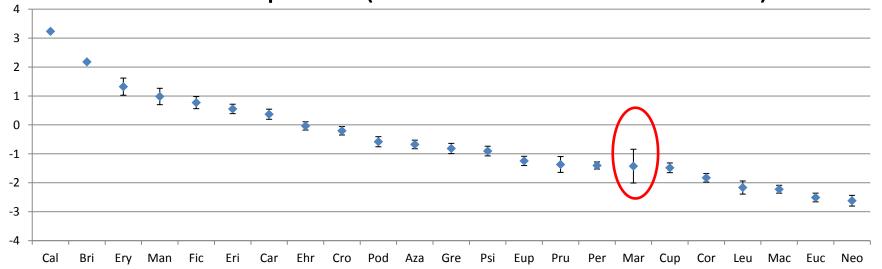
a such association at			0 11 0	n 1: 1 11		0 11 1
Crown spread	Crown density	Easiness to prune	Growth after pruning	Rooting depth	Rooting spread	Growth rate
Widest	Least dense	Easiest	Fastest	Deepest	Widest	Fastest
Persea americana	Commiphora zimmermanii	Musa sapientum	Grevillea robusta	Eucalyptus saligna	Eucalyptus saligna	Musa sapientum
Mangifera indica	Eucalyptus saligna	Persea americana	Persea americana	Grevillea robusta	Cupressus Iusitanica	Eucalyptus saligna
Neoboutonia macrocalyx	Grevillea robusta	Grevillea robusta	Acacia mearnsii	Persea americana	Persea americana	Grevillea robusta
Grevillea robusta	Acacia mearnsii	Acacia mearnsii	Musa sapientum	Macadamia tetraphylla	Mangifera indica	Persea americana
Acacia mearnsii	Musa sapientum			Acacia mearnsii	Grevillea robusta	Mangifera indica
Cupressus lusitanica	Cupressus Iusitanica			Cupressus Iusitanica	Acacia mearnsii	Neoboutonia macrocalyx
Macadamia tetraphylla	Neoboutonia macrocalyx			Neoboutonia macrocalyx	Neoboutonia macrocalyx	Acacia mearnsii
Eucalyptus saligna	Mangifera indica			Musa sapientum	Macadamia tetraphylla	Cupressus lusitanica
Musa sapientum	Persea americana				Musa sapientum	Commiphora zimmermanni
Commiphora zimmermannii	Macadamia tetraphylla			ė.		Macadamia tetraphylla
Narrowest	Most dense	Hardest	Slowest	Least deep	Narrowest	Slowest

Comments and farmers' answers to questions to be recorded here: The farmer reported that commiphora doesn't have a tap root hence can't be include in rooting depth.

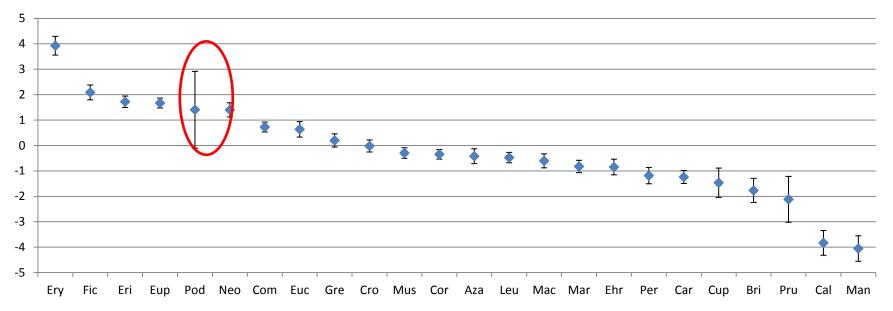
### Crown density (from least dense to most dense)



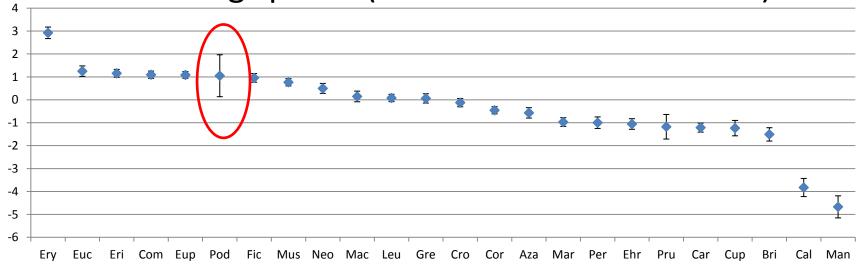
### Crown spread (from widest to narrowest)



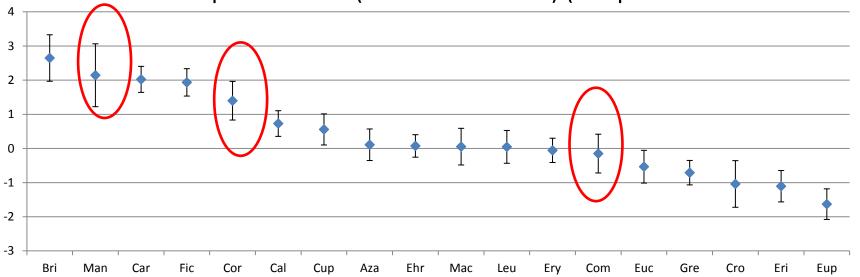
### Rooting depth (from deepest to shallowest)



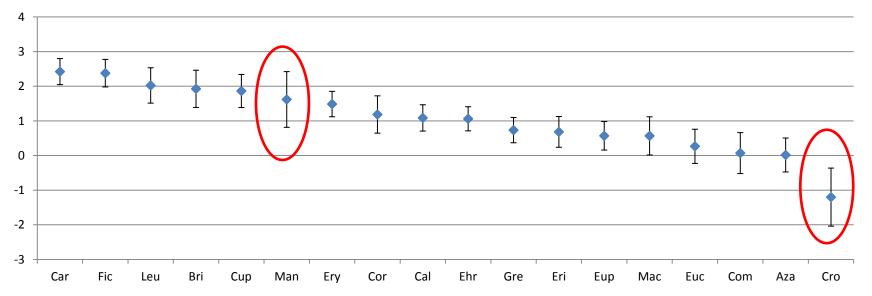
### Rooting spread (from widest to narrowest)



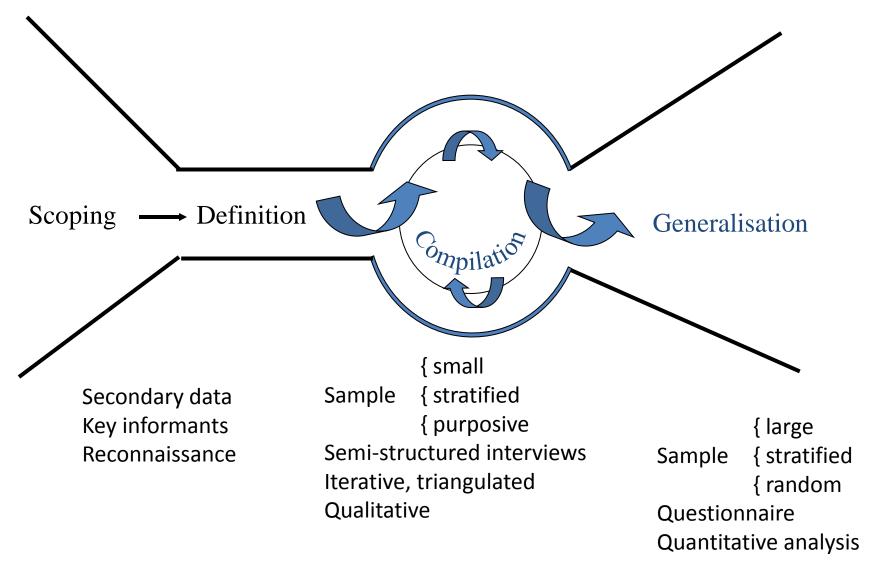
### Mulch – leaf decomposition rate (fastest to slowest) (18 species ranked for mulch)



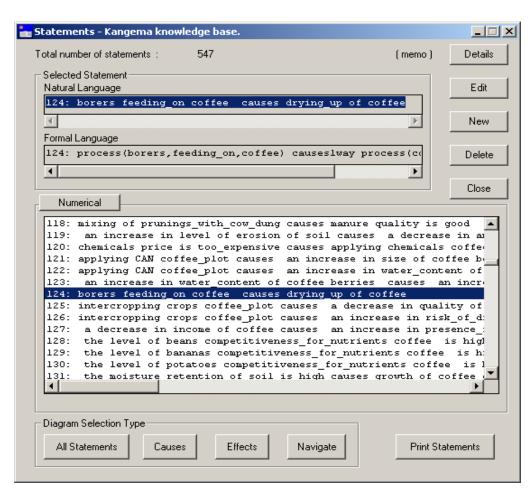
### Mulch – benefit to soil (highest to lowest)



## AKT (UW Bangor)- Acquisition strategy

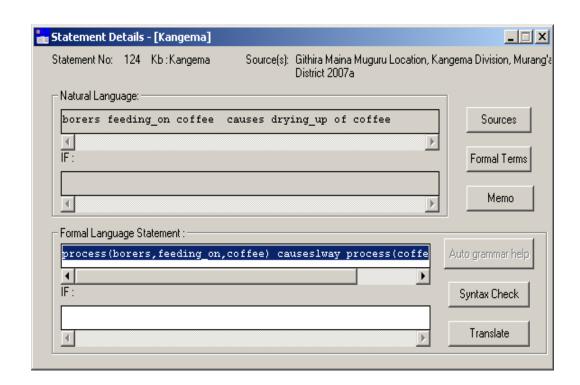


- Dissagregation
  - unitary statements
  - formal grammar
- Context
  - source
  - conditionality
  - local definitions and taxonomies of terms
  - images
  - diagrams showing connections amongst statements



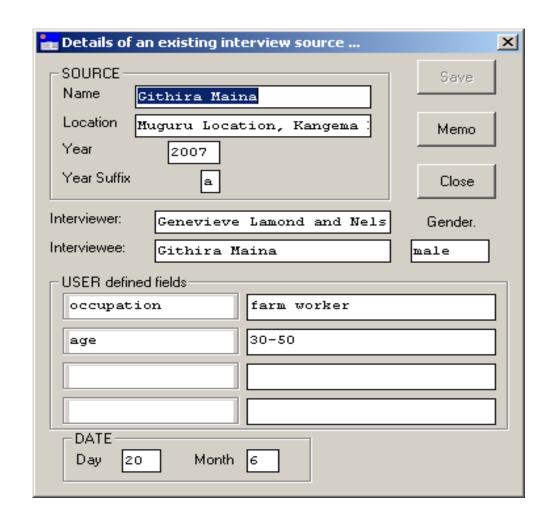
Borers feeding on coffee causes it to dry up

- Dissagregation
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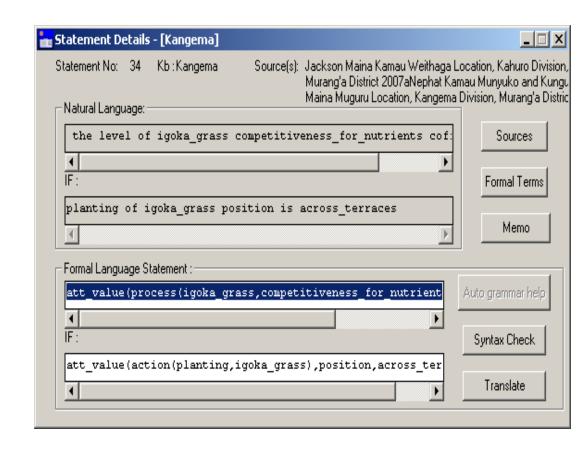


process(borers,feeding\_on,coffee) causes1way
process(coffee,drying\_up)

- Dissagregation
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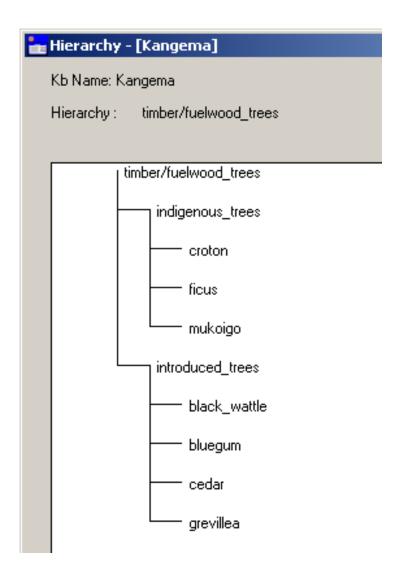


- Dissagregation
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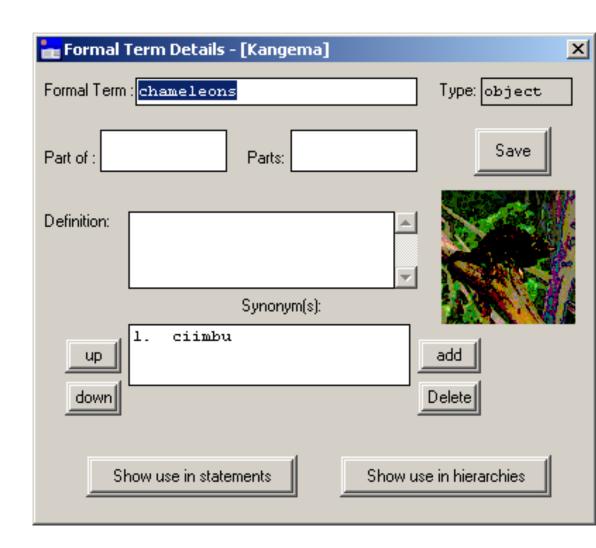


competitiveness of igoka grass for nutrients with coffee is high **IF** igoka grass is planted across terraces

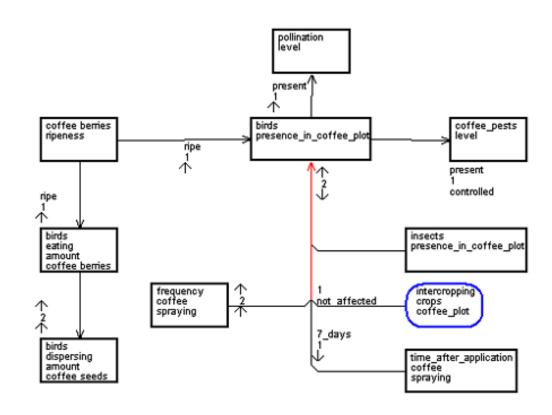
- Dissagregation
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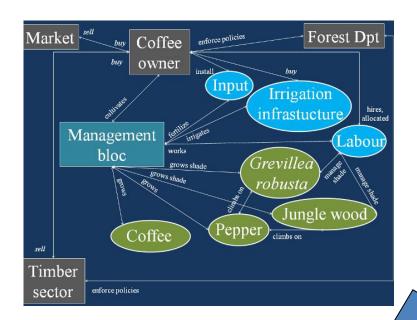
- Dissagregation
  - unitary statements
  - formal grammar
- Context
  - source
  - conditionality
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- Dissagregation
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### **Conceptual Model**





### **Role Playing Game**

No Tree Rights except exotic species Complete tree ownership Low coffee price High pepper price



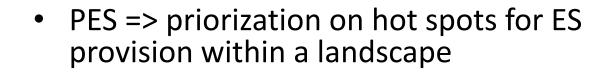




# Eco-certification and Payment for environmental services

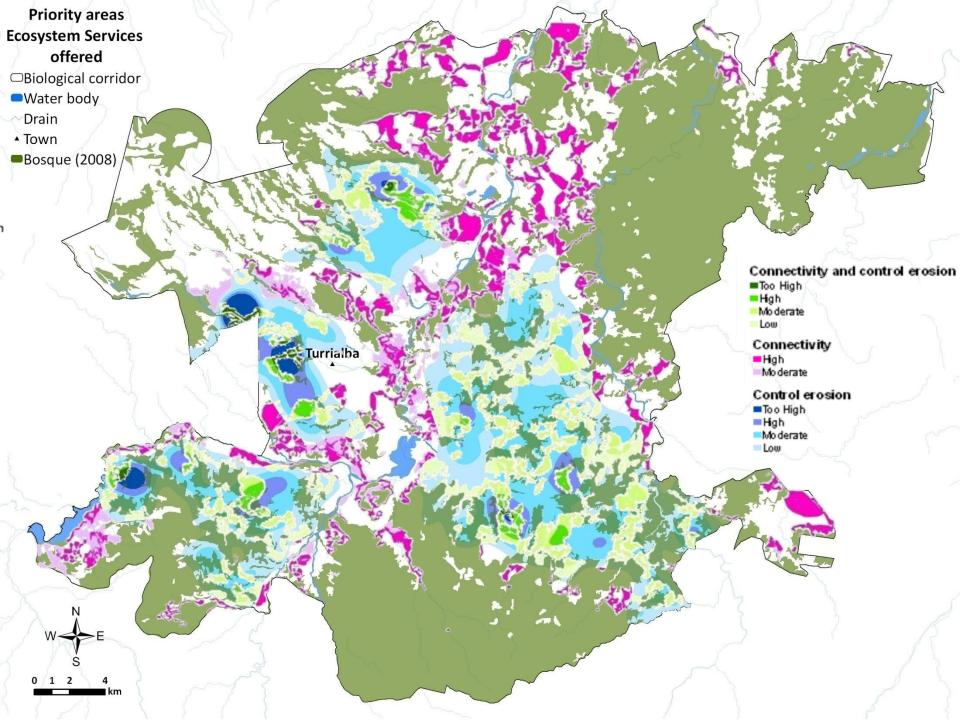


- Eco-certification =>
  - Increasing environmental awareness
  - Better practices (yield) & promoting AFS
  - Low adoption (outside Latin America)
  - Too low economic reward
  - Lack of flexibility to local conditions









## Concluding remarks (1)

- Traditional coffee agroforests important to preserve bidiversity, but priority is to promote "intensified" coffee agroforestry systems to improve ES provision (including coffee production)
- "Managed" Coffee AFS above world coffee yield average (examples of Costa Rica and India)



Contribution to diet via fruits
Traditional medicine

# Concluding remarks (2)

- Trees on coffee farms are important for:
   Adaptation (temp, rainfall pattern) to climate change
   Mitigation (carbon sequestration) of climate change
- Coffee AFS have an important role at the landscape level:
   i.e. buffer zone, corridor, water yield, eco-tourism...



- Eco-certification not strong enough of a driver on its own to promote AF
   Good impact in terms of social and environmental awareness,
   too "vague" regarding environmental criteria
   Not enough in terms of eco-incentives (premium 1-10%)
- Combining rewards for eco-certification with PES
  - International =>carbon, local => water
  - Farmers' organization for eco-certification => transaction costs (verification)

Prioritization





Many thanks to the ASIC Organizing Committee for invitation



