



# **Understanding the diversity of institutions for Ecosystem Services provision: A tentative integrative conceptual framework**

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# Rational

- Many framework around ES
  - Diversity of instrument to promote ES provision
- ➔ Importance of institutions to provide ES
- ➔ Which institution / instruments to better enable / facilitate / maintain / secure biodiversity and ES provision?

# Objective

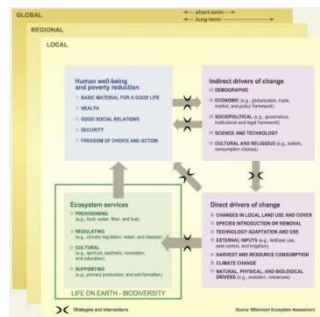
- Propose a framework to understand the diversity of instrument regarding ES provision from ES characteristics and societal variable
  - ➔ In which conditions, do an instrument is prone to be adopted? (analytical position)?
  - ➔ Which can be the “best” solution in specific context (prescriptive position)?

# Methodology

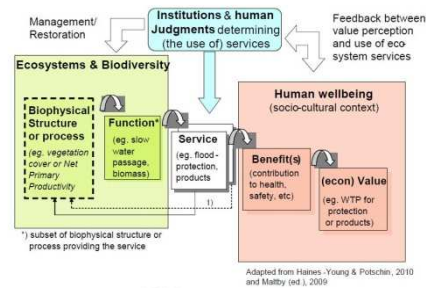
- Revision of the existing frameworks
- Define and classify instruments  
(as unit of analysis)
- Construction of a integrative tentative framework, using existing frameworks
- Illustration y testing on existing cases

# Frameworks review (1)

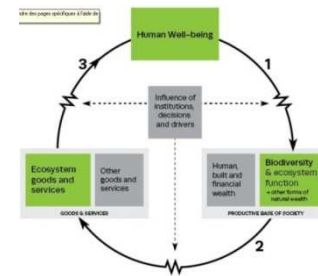
## • Linking ES → Well being



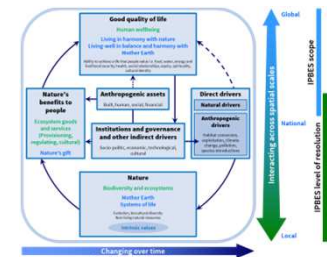
MEA, 2005



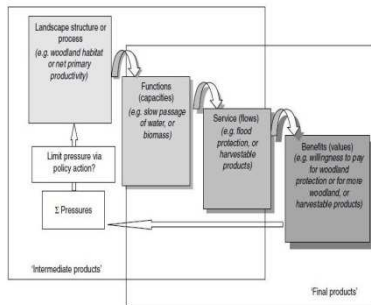
TEEB, 2010



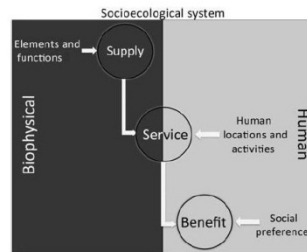
IPBES, 2012



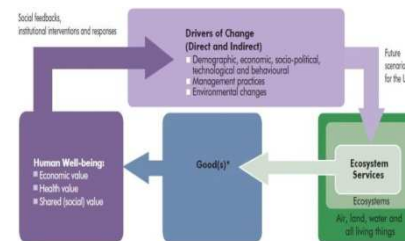
IPBES, 2014



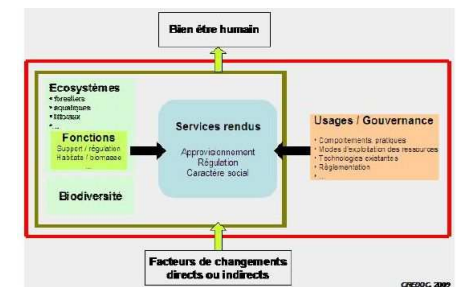
Haines-Young & Potschin, 2009



Tallis et al., 2012



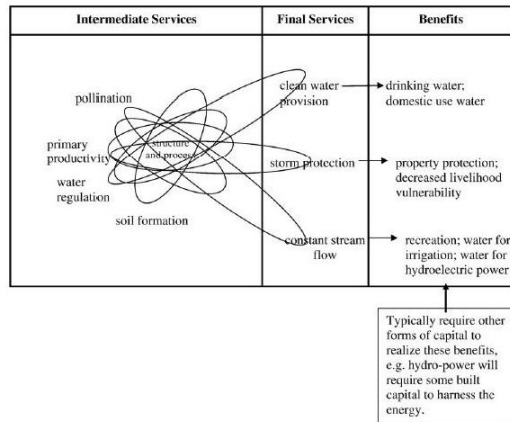
Royaume-Uni, 2011



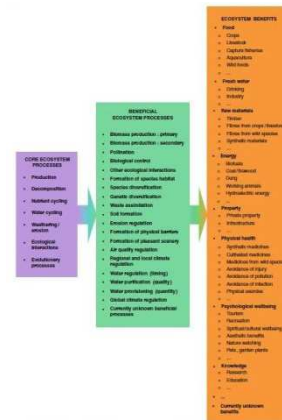
France, 2009

# Frameworks review (2)

## • Refining link between ES → benefit

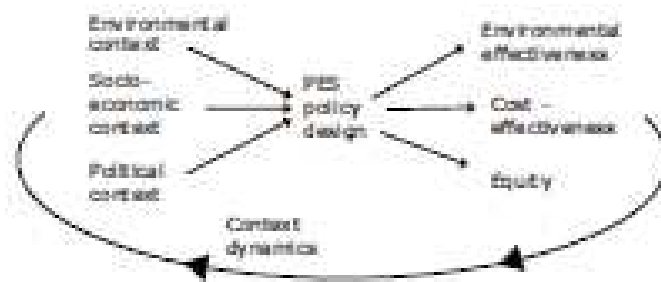


Fisher et al., 2009

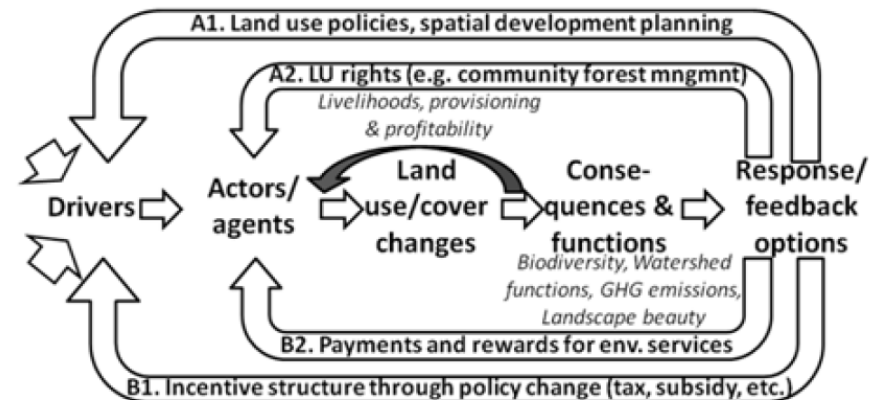


Balmford et al., 2010

## • Analyzing effect or diversity of instruments



Jack et al, 2008



Van Noordwijk et al., 2012

# « Limits » of existing frameworks

- Institutions are not present
- Institutions as driving force
  - but their choice are not explain
- Explain policy / instruments diversity
  - but loose track of ES characteristics

# Instruments on ES

- Strong attention to PES / RES and then MBI instruments
    - Debate on conceptualization (inclusive to restrictive definition) – (e.g. Wunder 2008; Muradian et al, 2010; Taconi, 2012; Van noordwijk et al, 2012; Sattler & Matzdorf, 2013; ....)
    - Various typologies of PES and MBI (Wunder et al, 2008; Laurans et al, 2012; Muradian et al, 2010; Pirard; 2012; Sattler et al, 2013,...)
  - Specific attention on governance structure for ES management and PES (Market / Hierarchy, and hybrid) (Muradian & Rival, 2012; Vatn 2010, 2014)
  - Relationship between ES economic characteristics and policy instrument (Farley and Costanza, 2010; Kemkes et al, 2010)
- ➔ Empirically, a wide range of instruments and combinaison of instrument “policy mixes” “instruments mixes”



# Instruments

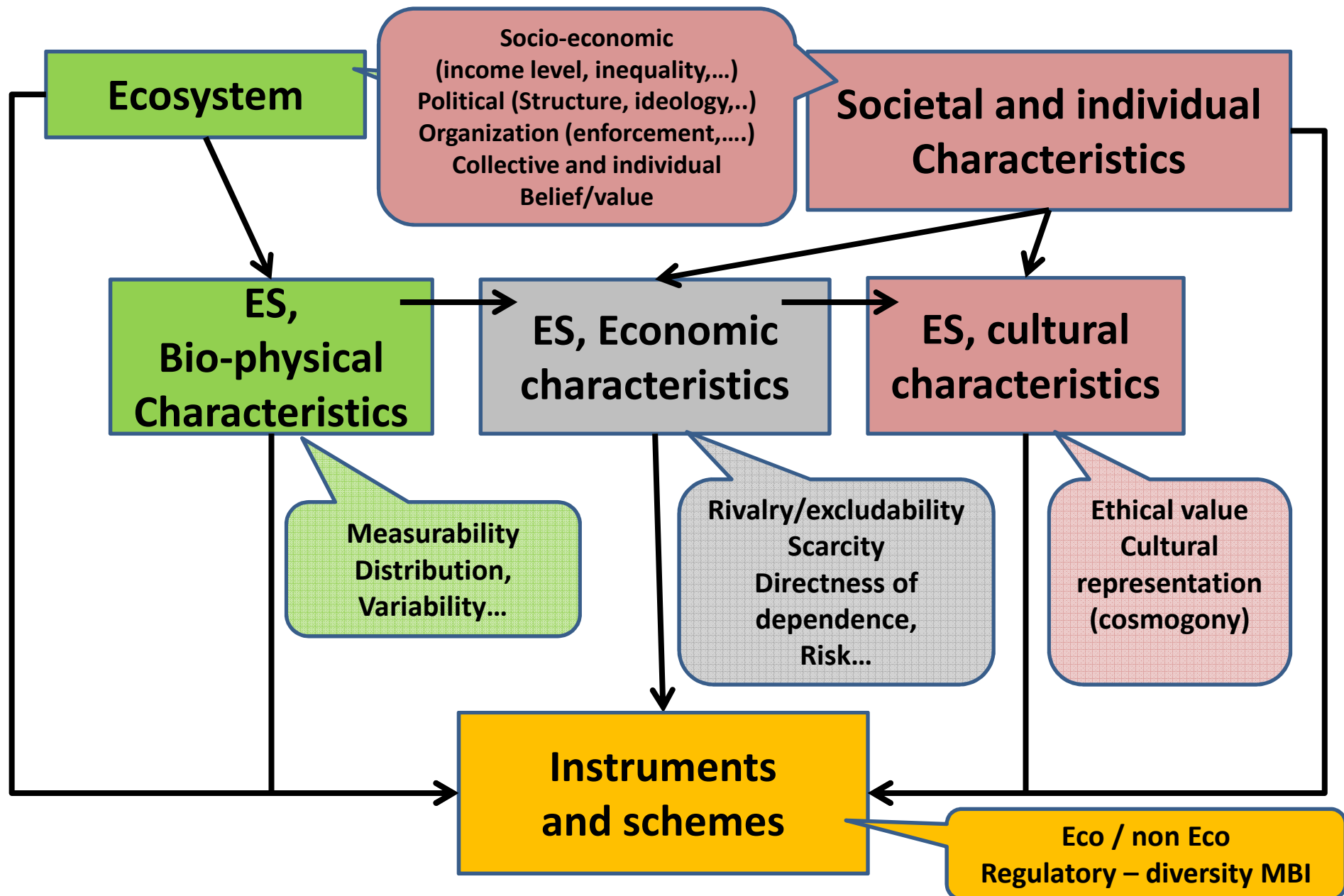
## No Economic

- **Public rules**
  - Environmental regulation  
Zoning, land use, species regulation (e.g. prohibition of land use change, land use planning, protected area, ...)  
Permit (e.g. Standards (Organic, iso9002)
  - information/education/ awareness raising
- **Collective rules**
  - Local regulation  
Community based zoning, regulation...
- **Private rules and preference**
  - Corporate Social and environmental responsibility
  - Standards (NAAS)
  - Norms (consumer preference)
  - Information/education/ awareness raising

## Economic

- **Transfer of property rights**  
(e.g. Land acquisition)
- **Voluntary price signal**  
(Labeling e.g. Rainforest Alliance, 3AAA, Starbucks)
- **Private Contractual agreement**  
(e.g. Coasian type PES agreement)
- **Public private contract**  
(e.g. “Pigouvian” PES type)
- **Regulatory price changes / Taxes and subsidies / Pigouvian instruments**
- **Earmarked taxes and tariffs**
- **Environmental financing**  
(e.g. Water tariff)
- **Tradable permits**  
(e.g. biodiversity or Carbon offset)
- **Auctioning** (e.g. landscape auctioning)
- **Derived market** (e.g. Ecotourism)
- **Donation**

# A tentative integrative causal framework



# Preliminary conclusion and further steps

- Preliminary conclusions
  - A possible added value to “graft” ecological, economic and policy approaches
  - Potential to identify relevant characteristics for instrument design
  - A dimension of “instruments mix” whereas a stand alone instruments analysis
- On going process, further step
  - Integration of diverse cases to further identify specific explicative factors and interaction between factors
  - Integrate a dynamic dimension (feed back)

Thanks for attention  
and comments !!

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