



# Evolutionary journey of the retroviral restriction gene *Fv1*

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Edited by Stephen P. Goff, Columbia University Medical Center, New York, NY, and approved August 17, 2018 (received for review May 18, 2018)

**Both exogenous and endogenous retroviruses have long been studied in mice, and some of the earliest mouse studies focused on the heritability of genetic factors influencing permissivity and resistance to infection. The prototypic retroviral restriction factor, *Fv1*, is now understood to exhibit a degree of control across multiple retroviral genera and is highly diverse within *Mus*. To better understand the age and evolutionary history of *Fv1*, a comprehensive survey of the Muroidea was conducted, allowing the progenitor integration to be dated to ~45 million years. Intact coding potential is visible beyond *Mus*, and sequence analysis reveals strong signatures of positive selection also within field mice, *Apodemus*. *Fv1*'s survival for such a period implies a recurring and shifting retroviral burden imparting the necessary selective pressures—an influence likely also common to analogous factors. Regions of *Fv1* adapt cooperatively, highlighting its preference for repeated structures and suggesting that this functionally constrained aspect of the retroviral capsid lattice presents a common target in the evolution of intrinsic immunity.**

restriction factor | evolution | host–virus interactions | retrovirus

**W**hile a variety of viruses occasionally integrate as endogenous viral elements (1), the absolute requirement for an integrated proviral stage is the defining feature of retroviral replication. When infection occurs within a germ cell, endogenous retroviruses (ERVs) may be inherited in a Mendelian manner and hence, form a partial “fossil record” of historic viral burdens. Although originally unappreciated, retroviruses, as filterable, transmissible pathogens, have been studied since the late 1800s. The earliest breeding of inbred animals both facilitated and was necessitated by the study of ERVs and exogenous retroviruses as the agents of “heritable cancer” (2). Research developing these themes in mice led to the description of *Friend virus susceptibility 1 (Fv1)*, a dominant locus conferring protection from otherwise lethal challenges with murine leukemia virus (MLV) (3, 4). Within common laboratory lines, two alleles can be observed, *Fv1<sup>b</sup>* and *Fv1<sup>n</sup>*, that were identified in BALB/c and NIH-Swiss mice, respectively. Each allele confers resistance to virus of the opposing N and B tropism and may be additively combined (5, 6).

The molecular cloning of *Fv1* revealed its derivation from a retroviral *gag* gene (7, 8). While many examples of such co-options for host defense have been reported, these are most frequently products of *env* operating through receptor blockade (9). *Fv1*'s presumably more unique mode of restriction, indirectly determined to be through capsid (CA) binding (10), has remained elusive. Similarly, while its domain organization has been characterized, the protein has not proven amenable to crystallization, and all studies to date have had a necessarily genetic basis. Nevertheless, recent work has expanded the scope of restriction beyond the gammaretroviruses to lenti- and spumaviruses (11).

Based on instances of absence within certain *Mus* species and on its absence in *Rattus*, previous estimates have placed integration of *Fv1*'s progenitor virus at 4–7 Mya (12, 13). Despite

this apparently recent ancestry, the *pol* gene of the progenitor virus is lacking, and neither LTR has been discerned (8). Searches for intact representatives of the progenitor revealed no closely related ERVs, and *Fv1* shares only 43% amino acid identity with its nearest neighbor in the mouse genome, MuERV-L (ERV with a leucine tRNA primer binding site) (8). This paradox may result from incomplete representation of exogenous viruses among those endogenized and fixed but, equally, may suggest a longer and more complex evolutionary history. Indeed, Southern blotting revealed hybridizing digestion fragments within the genus *Mastomys* (12), although this was never further studied.

Here, we have sought to more accurately determine the origin of *Fv1* and to use a phylogenetic approach to inform on the historical selection pressures that have shaped its restriction specificities and preserved the gene through evolutionary time.

## Results

**Resolving the History of the *Fv1* Locus.** Within *Mus*, *Fv1* (GRCm38 Chr4:147,868,979–147,870,358) is located in an ~5-kb region between *Migration and invasion inhibitory protein (Miiip)* and *Mitofusin 2 (Mfn2)* (Fig. 1). The shared direction and relative separation of this pair are common among assembled genomes from humans and mice through to chickens (diverging ~310 Mya),

## Significance

**We have charted the evolution of the capsid-binding retroviral restriction factor *Fv1* through murid evolution, extending its age to ~45 million years. Functionality can be found outside of the genus *Mus*, and shared signatures of positive selection are visible across species. Modeling suggests that maintenance for these extended periods can only be parsimoniously explained by repeated selection events—waves of retroviral infection throughout murid evolution. Our results complement and extend findings with TRIM5 $\alpha$  and suggest that conserved features of retroviral capsid lattice assemblies may be common targets in convergent evolution of intrinsic defenses to retroviral infection. Functional constraints on capsid structure may prevent effective escape of host factors and result in cyclical coevolution, which is visible in the evolution of *Fv1*.**

Author contributions: G.R.Y., J.R.M., S.J.S., and J.P.S. designed research; G.R.Y. and M.W.Y. performed research; J.R.M. and S.J.S. contributed new reagents/analytic tools; G.R.Y. and M.W.Y. analyzed data; and G.R.Y. and J.P.S. wrote the paper.

The authors declare no conflict of interest.

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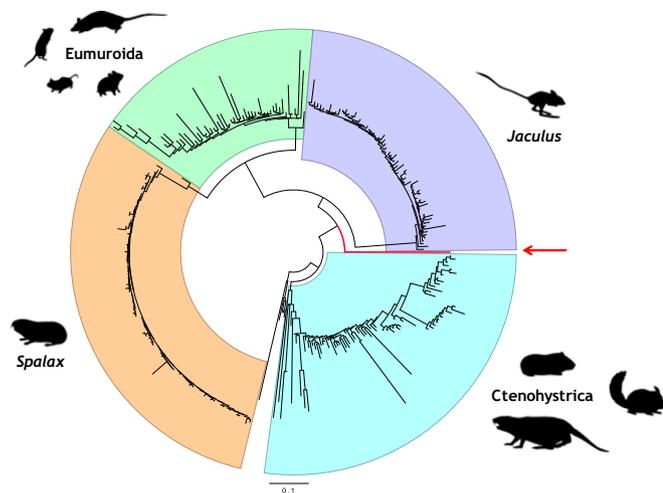
Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. MH001948–MH001969 and MH727610–MH727614).

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1808516115/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1808516115/-DCSupplemental).

Published online September 17, 2018.





**Fig. 2.** An *FvI* consensus sequence is basal to the *gag* regions of the ERV-L elements of the Muroidea. Circular representation of the ML tree (LogL under a generalized time reversible model (GTR+CAT) = -33,109, scale as substitutions per site) of ERV-L elements obtained from all available rodent assemblies (black branches) alongside an *FvI* consensus (thick red branch). Highlighted are the Ctenohystrica (cyan) and the Myodonta (Fig. 1) represented by *Jaculus* (purple), *Spalax* (orange), and the Eumuroidea (green). The tree is rooted on the ERV-L elements of the Lagomorpha (unshaded).

ERV-L-like provirus within *Spalax galili* that surrounded *FvI*, including remnants of the 5' LTR (Fig. 1). While only this species was found to contain such regions, it nevertheless remained feasible to use consensus data to better represent the ancestral *gag* gene from which *FvI* derives. We thus conducted a more detailed search of basal genera within the Muroidea.

*FvI* was amplified and sequenced for the murines *Phloeomys pallidus* and *Chiropodomys gliroides* and the nesomyine *Nesomys audeberti*. Separately, the region was assembled from published whole genome sequencing (WGS) data for the cricetomyine *Cricetomys gambianus*. Again, no intact ORFs were determined, but together, these complete and fragmentary sequences were aligned with those previously identified and used to build a consensus model with a 1,320-bp ORF (Dataset S1). In parallel, we implemented an in silico approach to screen for ERVs more closely related to *FvI*'s progenitor virus. Genome assemblies previously obtained (SI Appendix, Table S1) were masked with RepeatMasker, and all ERV-L-derived regions were extracted and clustered for each species in isolation. Consensus sequences were built for each resulting cluster and queried by BLASTn with the ancestral *FvI* model; within those hit, the regions corresponding to *FvI* were aligned and used to form a maximum likelihood (ML) tree (Fig. 2).

Four groups of ERV-L elements radiate from those of the basal Glires: those of the Ctenohystrica, those of the Eumuroidea, and two clades representing separate expansions within *J. jaculus* and *S. galili* (Fig. 2). Notably, the *FvI* consensus represented a transitional point at the base of the Myodonta, providing an independent corroboration of *FvI*'s age—~45–50 Mya—and supporting a point of integration toward the far end of this range. In a separate tree, the *FvI* genes of *Mus* clustered with the *FvI* consensus formed here rather than with the ERV-L elements of the Eumuroidea, confirming this unique position and ruling out the potential that restrictive capacity was achieved later through a recombination event. As such, nucleotide homology peaked at 73.9% to a cluster from *S. galili* and at 73.5% to a cluster from *J. jaculus*. Similarly, as no clusters grouped closely with *FvI*, it is unlikely that alternate integrations of the progenitor virus or multiple copies of *FvI* are present within the species sampled. Indeed, duplication of *FvI* has been described only once in the literature (13).

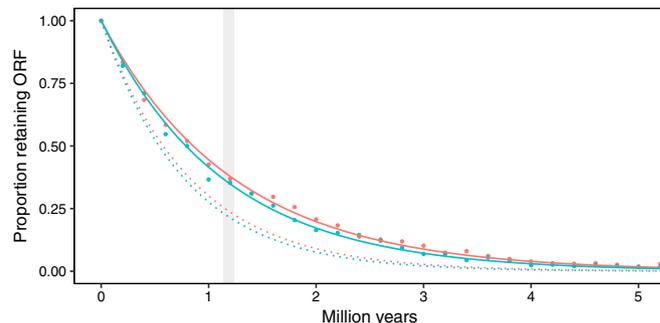
**The 45 My of *FvI*.** Overall, comparatively few genera retained *FvI* ORFs, and mutational inactivation or deletion was common. This apparent propensity has previously been noted within *Mus*, where both gene loss and disruption have occurred (13). Despite this, the observation of any intact coding potentials over such extended periods can likely only be parsimoniously explained by their uninterrupted existence. We thus sought to assess the requirement for and frequency of selection events on *FvI* retention by modeling the likelihood of ORF loss under neutral pressure. Such periods (realized through alterations in the viral burden) may gradually occur as a result of geographic movement, habitat change, or changes in association with other species or more rapidly through the involvement of other cellular factors or through receptor escape (16).

The probability of survival of a monoxenic 1,380-bp ORF (for *FvI*<sup>b</sup>) can be modeled with an exponential decay function. Using empirical estimates of background substitution rates for the mouse [ $\mu_{sub} = 5.94e^{-9}$  per site per year,  $\mu_{indel} = 2.88e^{-10}$  per site per year (17)] and rat [ $\mu_{sub} = 6.31e^{-9}$  per site per year,  $\mu_{indel} = 3.14e^{-10}$  per site per year (17)] as representative examples, half-life values of 0.576 and 0.538, respectively, were calculated (Fig. 3). As these were generic values, we also explicitly simulated the mutation of *FvI*<sup>b</sup> with the above  $\mu$ , yielding half-life values of 0.856 and 0.788, respectively (Fig. 3). Using even these higher values, a mean lifetime of 1.14–1.23 My was calculated, and probability of ORF retention fell below 0.05 within 3.5–3.7 My, reaching  $1.5e^{-16}$  at 45 My.

The maintenance of an *FvI* ORF in any lineage over such extended time periods thus necessitates either continuous or intermittent selection reoccurring at a frequency not regularly exceeding ~1.2 My.

**The *FvI*s of *Apodemus* Reveal Signatures of Positive Selection.** To determine the range of genera retaining coding potential, we conducted a larger survey of the Murinae, with a specific focus on the African murines, a frequently recovered evolutionary grouping containing both the Murini and the Apodemini, which is estimated to have diversified 8.3–10.1 Mya (14, 18) (SI Appendix, Table S2). Positive selection has previously been noted in comparisons of the *FvI* genes of *Mus* (13), but selective pressures operating over the newly determined timescales will necessarily result in differences visible not only between species but also, between more distantly diverged groups. The *Apodemus* genus is similarly sized to *Mus* and represented a useful comparator for determining and comparing signatures of positive selection. We thus sequenced the *FvI* genes for 15 species of *Apodemus*, obtaining complete ORFs for 11 (SI Appendix, Table S2).

Nucleotide sequence identity was lower within *Apodemus* (median of 94.2%) than within *Mus* (96.8%), suggesting a greater sequence diversity. Signatures of positive selection were

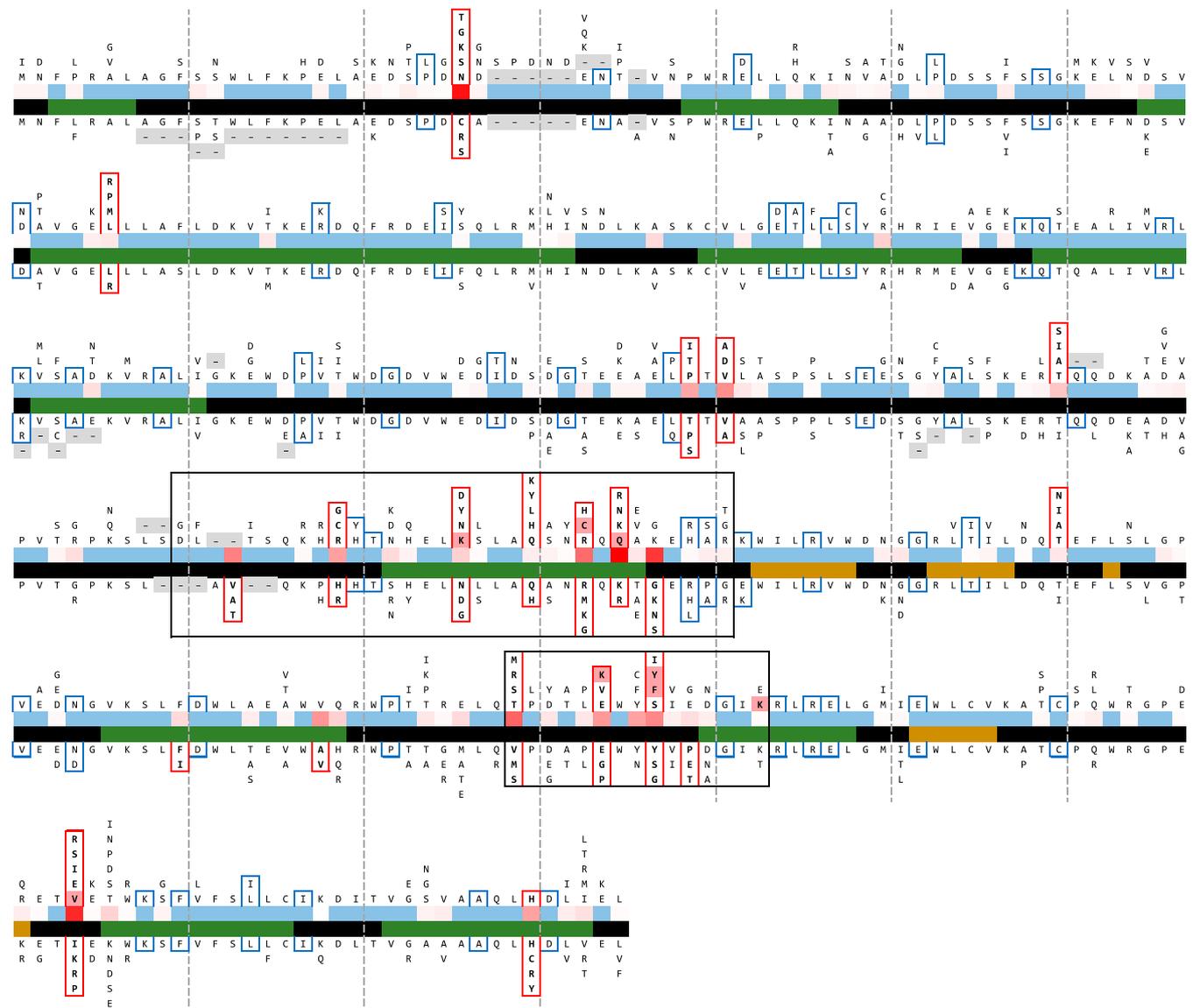


**Fig. 3.** Modeling of ORF loss through time. Modeling (dotted lines) and explicit simulations (solid lines) of the mutation of *FvI*<sup>b</sup> using mutation rate and generation times for *Mus* (red) and *Rattus* (green). The range of mean lifetime estimates from the explicit models is shaded gray.

visible in both genera; overall, 14 sites were subject to pervasive positive selection, with another 7 sites under episodic positive selection within a subset of species of either genus (Fig. 4). Pervasive selection analyses with FEL and FUBAR assume that selection pressures for each site are constant throughout a phylogeny, assessing selection across all branches, whereas episodic selection analysis, with MEME, determines if individual sites have been subject to selection within a subset of branches. Likely due to the increased statistical power afforded by the larger number of sequences, an increased number of sites displayed positive selection within this analysis in comparison with previous assessments (13). Supporting the observation of increased variability within *Apodemus*, five of seven instances of episodic selection were within this genus.

Two known hypervariable areas,  $V_A$  (Fv1<sup>p</sup> residues 248–276) and  $V_B$  (344–358) (11), were again prominent and together, included 11 of 21 positively selected residues. A third region,  $V_C$  (374–401), while variable within *Mus*, was relatively invariable

within *Apodemus* and contained only a single residue under positive selection. Continued support for the annotation of  $V_A$  and  $V_B$  alone was warranted, therefore, and suggested that these two regions likely form the main contact with CA, with individual downstream residues potentially in structural proximity. To explore this further, we sought to determine a metric for “residue involvement”—the frequency of a particular residue pair changing in combination repeatedly (*SI Appendix, Fig. S1A*)—and hence, to determine potential linkages. This might occur where alteration in the size or charge of a residue necessitates a corresponding supporting alteration of another residue in close structural proximity. An alignment of *Fv1* genes and calculated nodal sequences was walked to determine all pairs of changes at each branch or leaf where one or both residues were under positive selection. This revealed linkages both within and between  $V_A$  and  $V_B$ , with residues frequently found to change in



**Fig. 4.** *Fv1* variability in *Mus* and *Apodemus*. Collapsed representation of the multiple sequence alignment of *Mus* (extending upward) and *Apodemus* (extending downward), with the most frequent residue toward the center. Alignment gaps are shaded gray. Sites under pervasive positive selection are boxed red above and below, and those under episodic positive selection are boxed red on one side only. Sites under pervasive negative selection are boxed blue above and below. For comparison, residues linked to specific restriction activities are shaded red (11). Central coloring represents residue involvement (*SI Appendix, Fig. S1B*) [above: low (blue) to high (red)] and structural predictions from Jpred4 [below: no prediction/unstructured (black), alpha helix (green), and beta sheet (gold)]. The previously identified variable regions,  $V_A$  and  $V_B$  (11), are boxed.

combination (*SI Appendix, Fig. S1B*). Again, however, this did not support the continued annotation of  $V_C$ .

Analyses of likely secondary structures with this larger dataset confirm previous  $\alpha$ -helix predictions within the N-terminal region, thought to form a coiled coil required for dimerization, as well as the presence and length of the unstructured linker region (19) (Fig. 4). Overall, 10 of 14 and 6 of 7 sites under pervasive and episodic positive selection, respectively, were within the C-terminal region, which has been shown to confer restriction specificity (19). Only sparse residue involvement was observed within the N-terminal  $\alpha$ -helices, highlighting their likely conserved structural function. Indeed, where nucleotide variation was observed within this region, it revealed many instances of negative (purifying) selection of corresponding residues (Fig. 4), and while length differences were observed upstream of the N-terminal  $\alpha$ -helices and downstream within the linker, none were within the region predicted to form a coiled coil. Length adjustment within the linker was otherwise observed within eight species, with an additional three-residue difference separating the genera. Length adjustments within this area were also determined within other genera within the Murinae, which extend up to 15 residues in size in *FvI* sequences described separately (20).

## Discussion

Comparisons of viruses with differing sensitivities to restriction revealed FvI to be a CA-binding factor (10, 21). More recently, ordered assemblies of CA have been shown to direct FvI binding (22). Here, a requirement for multimerized CA strikes parallels to the CA-binding factor TRIM5 $\alpha$ , also shown to interact only with regular arrays of CA (23–25). Both factors exhibit similar domain organizations—an N-terminal facilitating multimerization and a C-terminal conferring restriction specificity (22, 24)—and hybrid factors have activity in vitro (26). Now approaching 50 y since its discovery, however, the mechanism of *FvI* restriction has not been elucidated beyond the achievement of a block between reverse transcription and nuclear entry (27). In fact, no definitive modes of action have yet been described for any CA-binding restriction factors, but mechanisms to promote degradation by the proteasome or to sequester, stabilize, or destabilize the viral core after entry are most widely suggested. Indeed, CA mutants with increased or decreased lattice stability display somewhat equivalent infectivity impairments (28).

In the absence of mechanistic or accurate structural detail, which would facilitate understanding of specific interactions and allow prediction or design of restriction capacity, great efforts have been made to better understand genetic variation at the *FvI* locus. This has revealed a scope of restriction extending beyond the gammaretroviruses (11), suggesting that a variety of viruses have historically contributed to positive selection of the gene within *Mus* (13). Here, we have sought to more accurately detail the evolutionary journey of *FvI* and present a number of sequences, many with intact ORFs, for species outside the genus *Mus*. An ML tree built with all complete gene sequences determined here and to date within the literature (11, 13, 20) confirms the widespread distribution of the *FvI* gene across the Eumuroidea (*SI Appendix, Fig. S2*). Accurate field identification of wild-caught animals is an issue pervasive across this and other published studies, and we note a number of potential inconsistencies between suggested identities and the position of certain sequences within this tree both for sequences described herein and for those from the literature. Regardless, their presentation with caveats can only benefit *FvI* research, and we include them accordingly (*SI Appendix, Table S2*).

Previously accepted to have integrated  $\sim 7$  Mya (13), we have now dramatically extended this timeframe to  $\sim 45$ –50 Mya, a conclusion supported by other recent research (20). Assuming Dollo parsimony, where a complex trait arises once but can be lost multiple times, the retention of the *FvI* ORF for such extended periods highlights a role for a continuous or frequently reoccurring selective advantage. In

turn, this implies repeated waves of infection by novel viruses, possibly as a result of cross-species transmissions. In the absence of such a pressure, *FvI* would be expected to have a mean lifetime of only  $\sim 1.2$  My. In support of this, we have found *FvI* to be frequently lost within the Muroidea, and even within *Mus*, *FvI* is deleted or the ORF lost in several instances (11). This further suggests that the progenitor viral *gag* itself conferred a selective advantage at the point of integration or that its co-option was both unconvoluted and rapid, especially given that, in the absence of such a selective advantage, intact proviruses are otherwise expected to be deleterious.

A requirement for swift transference or adaptation of restriction potential in the face of successive waves of retroviral infections necessitates significant plasticity in FvI's mechanism of CA recognition, complicating attempts to predict target specificity from primary sequence alone or to link restriction specificities to specific variations in the endogenous retroviral complement of host lineages. Nevertheless, linkage analyses suggest a previously unappreciated role for cooperative change across the protein, especially within and between  $V_A$  and  $V_B$ , inside of which positive selection is also largely confined. Together, these data point toward restriction determination by a face composed largely of these two regions, and indeed, many conversions between restriction capacities require only single-residue substitutions within these areas (11). Repeated change at certain sites may derive from functional constraints on the restriction factor but also from multifactorial constraints on the viral target, leading to the resampling of specific residues and cyclical host–virus coevolution (29). Together, this likely suggests that shared properties of CA lattice structures, rather than the specific properties of any individual CA monomer, are the primary means of recognition.

FvI<sup>n</sup>, an  $\sim 52$ -kDa monomer, has previously been shown to be divided into two  $\sim 20$ -kDa fragments separated by a protease-sensitive linker (19). The N-terminal domain has extensive  $\alpha$ -helix predictions; in support of a conserved role in coiled coil formation, N-terminal deletions extending past residue 32 are not tolerated, and at least the first 158 residues are required to confer function in hybrid factors (26, 30). Accordingly, we now reveal frequent negative (purifying) selection within this region. In contrast, extensive deletions can be made within the linker, suggesting that its length is of greater importance than its sequence (30). Correspondingly, we now present evidence of length variations within this region, perhaps suggesting a means of fine-tuning C-terminal domain positioning according to differences in the size or curvature of presented CA lattice structures. This again parallels TRIM5 $\alpha$ , where regulated positioning of the SPRY domain is central to attainment of an avid interaction with CA (31, 32).

Positive selection of TRIM5 $\alpha$  has previously been shown to have occurred over at least  $\sim 30$  My (33, 34) and to have been shaped by lentiviruses over the last 11–16 My (35). Here, we now show that extended coevolution of host factors and their viral partners is also common to murids and undoubtedly necessitates a shifting and reoccurring burden to maintain selection over such timescales. Such fluid interactions likely also present in the TRIM5 gene of the cotton top tamarin, *Saguinus oedipus*, which has also been shown to restrict multiple genera of retroviruses (36–38). CA-binding restriction factors have evolved multiple times in mammals (39), and it might be hypothesized that analogous factors may be common to any host adaptation to retroviral infection or indeed, to any pathogen presenting regularized structures on infection of a cell. Indeed, it is possible that such historic interactions have also shaped both *FvI* and *Trim5 $\alpha$* . Drawing a parallel to the recognition of LPS from diverse Gram-negative bacteria (40), CA-binding retroviral restriction factors may represent another class of pattern recognition receptors.

## Materials and Methods

**Modeling ORF Half-Life.** In standard genetic code, 22 of 549 possible alterations of the 61 amino acid-encoding codons produce stop codons, assuming an equal rate of mutation across the alphabet:  $\mathbb{A} \ni \{A, C, G, T\}$ . Base

transition is, however, twice as frequent as transversion among de novo single-nucleotide variants (41), and only 4 of 183 base transitions produce stop codons. Thus, the probability,  $P_{stop}$ , of substitution resulting in stop codon acquisition can be somewhat more accurately represented (42):

$$P_{stop} \sim ((2 \times 4) + 18) / ((2 \times 183) + 366) \sim 26 / 732 \sim 0.0355.$$

Allowing three substitutions per codon, incorporating the background per-base substitution rates,  $\mu_{sub}$ , and the length of the ORF,  $\ell$ , allows derivation of the decay constant  $\lambda_{sub}$ . Similarly, the decay constant  $\lambda_{indel}$  is derived from  $\mu_{indel}$ ,  $\ell$ , and the probability,  $P_{shift}$ , of an indel not being a multiple of the codon length [empirically estimated at 0.83 (43)]:

$$\lambda_{sub} = \mu_{sub} \times 3 \times P_{stop} \times \ell \quad \lambda_{indel} = \mu_{indel} \times P_{shift} \times \ell.$$

The half-life,  $t_{1/2}$ , and mean lifetime,  $\tau$ , are thus calculated with standard formulas:

$$t_{1/2} = \ln(2) / (\lambda_{sub} + \lambda_{indel}) \quad \tau = 1 / (\lambda_{sub} + \lambda_{indel}).$$

**Mutation Simulation.** Two Python programs, mutator (commit c9ae773) and orf\_scanner (commit 7d70b14), were written to simulate mutational processes

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