1 **Coupling of pressure-driven membrane technologies for concentrating, purifying and**
2 **fractionizing betacyanins in cactus pear (*Opuntia dillenii* Haw.) juice**

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13

Abstract

15

16 An integrated process coupling crossflow micro and ultra or nanofiltration was applied to
17 separate the betacyanins in cactus pear juice (30°C). Four microfiltration ceramic membranes
18 (0.1-0.2 µm, 1.8-3.3 bar) and 4 ultra/nanofiltration organic membranes (0.2-4.0 kDa, 5-30
19 bar) were tested. **Microfiltration** was a first step to remove insoluble solids with low
20 retention of soluble solids. By coupling with enzymatic liquefaction, permeate flux Jp was
21 increased by 2 and the retention of betacyanins was limited. **Ultra/nanofiltration** was then
22 used for solute separation. Retentions of solutes could be modulated by varying
23 membrane/pressure combinations that favor rather the concentration of all the solutes or
24 rather the purification of the betacyanins with respect to the total dry matter. Retention of
25 individual betacyanins could be a little different which also made possible fractionation.
26 **Simulations** using simple models allowed to evaluate the interest of the process for
27 concentrating, purifying and fractionating betacyanins with a possible diafiltration step.

28

29 **Keywords**: *Opuntia dillenii* ; betacyanins ; concentration ; purification ; fractionation ;
30 microfiltration ; ultrafiltration ; nanofiltration

31

32   **Industrial relevance**

33   Betacyanins are natural colorants that can be obtained from cactus pear juice, a crop of

34   increasing interest for its agricultural potential in sahelian regions. The aim of this study was

35   to evaluate a new integrated process based on membrane separation allowing to concentrate or

36   separate betacyanins from other solutes at low temperature and with a limited environmental

37   impact. This process associates a first step to clarify the cactus pear juice by microfiltration

38   after enzymatic liquefaction and a second step to concentrate or purify betacyanins by ultra or

39   nanofiltration. By choosing different membrane / transmembrane pressure combinations in

40   the 2nd step, solute retentions could be modulated in order to favour rather the concentration

41   of all solutes or rather the separation of betacyanins from total soluble solids or even rather

42   the fractionation of betacyanins themselves.

43

44   **Highlights**

45   -   Coupling micro and ultra/nanofiltration to separate betacyanins in cactus pear juice
46   -   Microfiltration with enzymatic liquefaction for clarifying with low solute retention
47   -   Ultra/nanofiltration for concentrating or purifying betacyanins
48   -   Separation pattern modulated thanks to different membrane/pressure combinations

Notation

C_i	concentration of compound i ($g\ kg^{-1}$)	
CF_i	concentration factor of compound i	
DV	diavolume (or dilution ratio)	
J_p	permeate flux ($kg\ h^{-1}\ m^{-2}$)	
MRR	mass reduction ratio	
MWCO	molecular weight cut-off	
R_i	retention of compound i	
$SF_{i/j}$	separation factor of compound i from compound j	
TDM	total dry matter ($g\ kg^{-1}$)	
TMP	transmembrane pressure (bar)	

βc	total betacyanins	
βn	betanin	
$i\beta n$	isobetanin	
$n\beta n$	neobetanin	

1   Introduction

Natural products with beneficial effects on human health, used as colorants and antioxidants, have attracted a lot of attention. Recently, there is a large amount of natural colorants, extracted from various natural raw materials, rich in compounds such as anthocyanins, carotenoids, chlorophylls and betalains. Betalains are water-soluble vacuolar chromoalkaloids found in plants of the order Caryophyllales as well as in some Basidiomycetes (Azeredo, 2009; Herbach, Stintzing, & Carle, 2006; Stintzing & Carle, 2004).

Structurally, betacyanins are characterized by a cyclo-Dopa structure with additional substitutions through varying glycosylation and acylation patterns at C_5 or C_6 while betaxanthins are condensation products of betalamic acid and various amino compounds. Betacyanins can be classified according to their chemical structures into 4 types: betanin-type, amaranthine-type, gomphrenin-type and bougainvillea-type (Cai, Sun, & Corke, 2005; Stintzing, et al., 2004). The sources of betanin used for food-colouring contain, amongst other substances, a mixture of betanin and its epimer isobetanin (Gonçalves, et al., 2012).

Betalains have a number of health properties. Infusions of betalains from the bracts of Bougainvillaea mixed with honey, for example, are used to treat coughs in some regions of Mexico (Heinrich, 2003). Certain anticancer, antiviral, antibacterial and antioxidant activities has been attributed to betalains (Cejudo-Bastante, Chaalal, Louaileche, Parrado, & Heredia, 2014; Hilou, Nacoulma, & Guiguemde, 2006). They are widely used as natural red food colorant as well as potential antioxidant (Chauhan et al. 2012). Betalains are less commonly used than anthocyanins and carotenoids, although these water-soluble pigments, stable between pH 3 and 7, are well suited for coloring low-acid food (Neelwarne, 2012).

The main source of betalains, especially betanins, is the beet root (*Beta vulgaris*), classified as additive E-162 (EU) and 73.40 (FDA, USA), mainly used to color foods, such as dairy products, confectionery, ice cream, desserts, drinks and sausages (Obón, Castellar, Alacid, & Fernández-López, 2009). Nevertheless, the preparations obtained from this root have undesirable earthy flavors (R. Castellar, Obón, Alacid, & Fernández-López, 2003) and the presence of high concentrations of labile betaxanthins limits their use as a food coloring (Calvo & Salvador, 2000; Nemzer, et al., 2011).

The purple cactus pear is an interesting alternative as a source of betacyanins for the production of food colouring (R. Castellar, et al., 2003; Delgado-Vargas, Jiménez, & Paredes-

López, 2000; Saénz, Tapia, Chávez, & Robert, 2009). Recently, the study of cactus pear has become increasingly important because of its high content of betalains and other phenolic compounds (Cejudo-Bastante, et al., 2014). The cactus pear fruits are characterized by various colors due to the combination of two betalain pigments, purple betanin and yellow-orange indicaxantin (Fernandez-Lopez & Almela, 2001). Cactus pear (*Opuntia sp*) is classified as a specy with high hydric stress tolerance, its cultivation is adequate in arid and semi-arid regions and for poor soils. Research has also indicated that the cactus pear has anti-inflammatory, diuretic, antispasmodic activity (Ballero, Poli, Sacchetti, & Loi, 2001; Loi, Poli, Sacchetti, Selenu, & Ballero, 2004; Palmese, Manganelli, & Tomei, 2001). Cactus pear fruit is a source of nutrients and vitamins (Sawaya, Khatchadourian, Safi, & Al-Muhammad, 1983; Teles, Stull, Brown, & Whiting, 1984).

Numerous studies have revealed the strong potential of membrane processes for the concentration and separation of thermosensitive bioactive compounds in fruit juices (Bhattacharjee, Saxena, & Dutta, 2017). Among the various studies available in the literature, the separation of low molecular weight solutes using a low molecular weight cut-off ultrafiltration or a high MWCO nanofiltration is gaining increasing interest (Acosta, Vaillant, Pérez, & Dornier, 2014; Conidi, Cassano, Caiazzo, & Drioli, 2017; Nath, Dave, & Patel, 2018). Indeed, even if this process requires pretreatment to avoid rapid fouling of the membrane, it could be used to achieve separations at low temperature, i.e. without damaging the potential of raw material, and with a fairly low environmental impact.

In recent years, some studies have focused on separation of betalains by membrane technologies. For example, (Vergara, Cancino-Madariaga, Ramírez-Salvo, Sáenz, Robert, & Lutz, 2015) clarified a purple cactus pear juice by microfiltration. They obtained very clear permeates, free of turbidity, 70% of betalains retention and also containing polyphenols and high antioxidant activity. Ultrafiltration process has also been used to clarify yellow and red cactus pear pulp (Alfredo Cassano, Conidi, & Drioli, 2010). Mereddy, Chan, Fanning, Nirmal, & Sultanbawa (2017) increased the total betalain content in red beetroot extract up to 46% of the total soluble solids in the concentrated juice after three diafiltrations. In this context, this study aimed to evaluate a new integrated membrane-based process to concentrate betacyanins, but also to purify or fractionate them. The method selected consisted of a first clarification step by microfiltration and a second step for solute separation by ultra or nanofiltration. It was evaluated by applying these pressure-driven technologies to the treatment of a cactus pear juice of *Opuntia dillenii* (Ker Gawl.) Haw. from Senegal.

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2   Materials and methods

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2.1   Cactus pear juice preparation

The juice was obtained from purple cactus pears (*Opuntia dillenii* Haw.) harvested from a plantation in Saint-Louis, Senegal. The fruits (45.5 kg) were manually washed with water and peeled. The peeled fruit (22.2 kg) was then refined using a horizontal pulper Auriol PH3 (Marmande, France) with 0.5 mm mesh at room temperature in order to separate the seeds from the juice. The 18.2 kg of refined juice obtained were frozen at -18°C until use. All the juice used in the experiments was produced at the same time.

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2.2   Enzymatic liquefaction and clarification by microfiltration

Part of the juice was enzymatically pretreated using 300 mg.kg^{-1} of Ultrazym AFP-L (Novozymes, Bagsvaerd, Denmark). The mixture was stirred for 30 min at 30°C without any pH adjustment.

Microfiltration experiments were performed using a laboratory pilot already described by (Polidori, Dhuique-Mayer, & Dornier, 2018). The pilot consisted of a 3 L feed tank, 4 tubular ceramic membranes with an effective filtration area of 55 cm², and a tubular heat exchanger to keep the juice temperature at 30±1°C. The details of the 4 microfiltration membranes used throughout the experiment have been listed in Table 1.

For the comparison of operating conditions (membrane and transmembrane pressure TMP), the feed composition was kept constant by recycling the entire permeate in the feed tank as usual (MRR mass reduction ratio, defined as the ratio between the total mass of the feed and the mass of the retentate in the circulation loop, kept close to 1). The best operating conditions were selected on the basis of the highest permeate flux (Jp) and the lowest retention of betacyanins.

The juice was then clarified according to a classical batch concentration procedure. In that case, the permeate was separately collected keeping the mass of the retentate constant in the circulation loop with the addition of raw juice to the feed tank. Four similar tubular membranes were connected to obtain a total effective surface of 220 cm². The system was implemented to clarify the juice up to a MRR mass reduction ratio of 5. This clarified juice was subjected to a second separation step performed by ultra or nanofiltration.

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165   and standard deviation evaluated with 3 or 4 measurements.

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167 **2.3 Separation by nano/ultrafiltration**

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169 **2.3.1 Equipment and experimental procedure**

170 Nano/ultrafiltration experiments were carried out using the pilot unit already described by

171 (Cissé, Vaillant, Pallet, & Dornier, 2011), which incorporated a Sepa CF II Membrane Cell

172 System (GE Osmonics, Minnetonka, MN, USA) with an effective membrane surface of 155

173 cm^2. The temperature was maintained at 30 ± 0.5°C using a Julabo F12-ED cryostat

174 (Seelbach, Germany) which fed the double jacket of the feed tank. The permeate mass flux

175 was determined by weighing the amount of permeate extracted Vs. time with a Precisa XL

176 1200C electronic scale (Dietikon, Switzerland). For each trial, 2.5 kg of extract was used.

177 Extracted permeate weight, transmembrane pressure and temperature were recorded every 2

178 min. Four flat-sheet membranes characteristics were used in this experiment and their

179 respective water permeability values are reported in Table 1.

180 Before filtration, membranes were pre-conditioned during 60 min, using deionized water

181 (conductivity < 5 $\mu S.cm^{-1}$) at 30°C, at 20 bar of transmembrane pressure and 0.3 $m.s^{-1}$ of

182 crossflow velocity. Water flux from the last 10 min of preconditioning was used to calculate

183 membrane permeability. Experiments were performed immediately after preconditioning. The

184 permeate and retentate fractions were recycled to the feed tank to maintain a constant feed

185 concentration (MRR ≈ 1).

186 The transmembrane pressures tested for each membrane were 5, 10, 15, 20, 25 and 30 bar,

187 with each pressure level being maintained for 50 min with the except of the Nadir 4 kDa

188 membrane where pressures were limited to 15 bar. All permeate flux values were recorded

189 during the last 10 min for each pressure set point and 30 mL of permeate samples were

190 collected. Samples, feed juice and permeates, were immediately frozen and kept at -18 °C

191 until analysis.

192 Membrane performance was evaluated by its permeate flux (Jp) and its selectivity towards

193 total betacyanins βc, betanin βn, isobetanin iβn and neobetanin nβn. The retention of the

194 compound i R_i was calculated according to Eq. 1. where C_{pi} and C_{fi} the concentrations in g.kg⁻

195 ¹of the compound i in the permeate and in the feed, respectively.

$$R_i = 1 - \frac{C_{pi}}{C_{fi}}$$

Eq. 1

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2.3.2. Modeling betacyanins concentration and separation

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From mass balance, assuming that the retentions are constant and the system behaves like an ideal stirred reactor, concentration factor of an element i in the retentate CFᵢ after nanofiltration up to a mass reduction ratio MRR and diavolume DV (defined as the ratio between the volume of water added during the diafiltration phase and the volume of retentate), could be evaluated using Eq. 2 with Cᵢ the concentration of the compound i in the retentate and Rᵢ the retention of i (Acosta, et al., 2014; Polidori, et al., 2018; L. Wang, Yang, Xing, & Xu, 2008; X.-L. Wang, Zhang, & Ouyang, 2002). In order to evaluate the ability of the process to separate two solutes i and j, a separation factor SFᵢ/ⱼ was also defined according to Eq. 3. In the case where the compound i was the most retained, the higher the SFᵢ/ⱼ the more efficient the separation between i and j. Considering betacyanins for i and total dry matter TDM for j, this separation factor corresponds to a purification factor. Considering i and j two different betacyanins, it can be defined as a fractionation factor.

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$$CF_i = \frac{C_i^{final}}{C_i^{initial}} = MRR^{R_i} e^{DV(R_i-1)}$$

Eq. 2

$$SF_{i/j} = \frac{CF_i}{CF_j} = exp\left[\left(R_i - R_j\right)(ln\,MRR + DV)\right]$$

Eq. 3

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213

2.4   Analysis

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2.4.1   Physicochemical characterization
Total soluble solids (TSS) were measured using a refractometer Atago PAL-3 (Tokyo, Japan). The total dry matter (TDM) was measured in a vacuum oven at 30 mbar and 70°C according to (AOAC, 1990) procedure.

Conductivity (mS.cm⁻¹) and pH were measured at room temperature using a conductimeter WTW LF 197 (Weilheim, Germany) and a Schott Titroline apparatus (St. Gallen,

Switzerland). Turbidity measurements were performed with a turbiditymeter Hanna LP 2000 (Rhode Island, USA).

The colour was measured using the L*, a*, and b* coordinates of CIE Lab with a chromameter Minolta CR-410 (Tokyo, Japan). The index L* is related to the luminosity, varying between white and black; the color coordinates varying between greenish and reddish colours (a*) and bluish and yellowish colours (b*) (Sant'Anna, Gurak, Marczak, & Tessaro, 2013).

Glucose, fructose and citric acid contents were determined by HPLC using a UPLC –1290 system Infinity II (Agilent, Santa-Clara, USA) equipped with RI and UV detectors. A C18 column (SHODEX SH1011, 300x8 mm; Tokyo, Japan) with a mobile phase of H_3PO_4 (0.1%) in water was used, with isocratic elution program at a flow rate of 0.7 mL.min^{-1} and 40°C. Injection volume was 10 µL and spectrophotometric detection was set at 210 and 245 nm.

2.4.2 Betalain analysis

2.4.2.1 Spectrophotometry analysis

The total betacyanins were measured according to (A Cassano, Conidi, Timpone, D'avella, & Drioli, 2007), in which juice samples were analysed using spectrophotometer Specord 600 (analytik Jena, Jena, Germany) at 535 nm. Betacyanin contents (βc, mg kg^{-1}) were calculated according to the Beer-Lambert law using 60 000 L.mol^{-1}.cm^{-1} as molar extinction coefficient and after diluting until absorbance between 0.2 and 0.8.

2.4.2.2 HPLC analysis

HPLC separation and identification of betalains were performed with the same Agilent chromatographic system previously described for sugars and organic acid analysis. The samples were filtered through a 0.45 µm nylon filter. All analyses were conducted in triplicate. Betalains identification was carried out using 1% formic acid in water (v/v, eluent A) and acetonitrile (eluent B). Betalains were separated in a Kinetex XB-C18 column (150 × 4.6 mm, 2.6 µm particle size, Phenomenex, California, USA) maintained at 30°C, at a flow rate of 1 mL min^{-1}. The injection volume for all extracts was 10 µL. Betalain compounds were separated starting with 95% A, followed by a linear gradient from 5 to 10 % B in 5 min, then a linear gradient from 10 to 20% B in 5 min, and from 20 to 5% B in 2 min. To re-establish

254 the initial conditions, this condition was maintained (5% B and 95% A) during 3 min.

255 Betacyanins and betaxanthins were monitored at 535 and 484 nm, respectively. The

256 identification of each chromatographic peak was tentatively assigned by their visible spectral

257 characteristics in comparison with standard (betanin and isobetanin only) and retention times.

258

2.4.2.3 LC-MS and NMR analysis

259

260 LC-MS analysis were performed on an Acquity H-Class UPLC system (Waters Corp.,

261 Milford, MA), using a Kinetex C18 100 A 100 × 2.1 mm, 2.6 µm column (Phenomenex)

262 coupled with an Acquity PDA detector and with a mass spectrophotometer Synapt G2-S

263 HDMS system (Waters Corp., Milford, MA) with electrospray ionization source operating in

264 high resolution mode. The elution gradient was set as follow: from 95% formic acid 0.01%

265 and 5% acetonitrile to 100% acetonitrile in 10 min, flow rate was fixed at 0.4 ml/min. The

266 Synapt parameters were optimized as follow: the sample cone was set at 20 V, the source and

267 desolvation temperature were set at 140°C and 450°C, respectively. Each sample were

268 processed with MassLynx (V4.1) software.

269 Fractions for NMR were collected with a semi-preparative HPLC using Ultimate 3000 LC

270 system (Thermo) equipped with an autosampler (WPS3000TFC) and then evaporated to

271 dryness. NMR spectra were recorded at 298 K on a Bruker Avance III 600 MHz NMR

272 spectrometer, using TCI Cryoprobe Prodigy. Spectra were processed and visualized with

273 Topspin 3.5 (Bruker Biospin) on a Linux station. Deuterium Oxide was purchased from

274 Eurisotop, France.

275

276 **3   Results et discussion**

277

278 **3.1   Cactus pear juice composition**

279 The solutes mainly present in the cactus pear juice are glucose, fructose and citric acid (Table

280 2, raw juice). Reducing sugars accounted for 70% of the total dry matter. The high proportion

281 of citric acid in the dry matter, 19%, explains the very acid character of the juice. The pH

282 value was similar to that obtained by (M. Castellar, Obón, Alacid, & Fernández-López, 2008;

283 Medina, Rodríguez, & Romero, 2007) who respectively obtained 3.30 and 3.34 but less than

284 the pH values of 5.3 – 7.1 reported for the cactus pear *Opuntia ficus-indica*, the most studied

285 and cultivated *Opuntia* (A Cassano, et al., 2007; Moßhammer, Stintzing, & Carle, 2006).

The high turbidity of the raw juice showed a significant insoluble fraction. Nevertheless, the insoluble solids in suspension represented a small mass fraction of the total dry matter (TDM). Indeed, total soluble solids (TSS) were of the same order of magnitude as TDM.

The juice was also characterized by an intense red color due to the presence of betacyanins. The attained concentrations were similar to those presented by many other studies (M. Castellar, Obón, & Fernández-López, 2006; R. Castellar, et al., 2003).

Following HPLC analysis, 3 majority peaks were observed at 484 nm (Fig. 1). Compounds 1 and 2 had a molecular weight identical toof 551.1511 and 551.1512 Da respectively that corresponded with the formula $C_{25}H_{26}N_2O_{13}$. The MSMS confirmed the structure of the betanin. The two compositions presented identical HRMS and MSMS were highly likely to be, the isomers: betanin, isobetanin.

Compound 3 gave an exact mass of 549.1399 Da which corresponded to the molecular formula $C_{25}H_{24}N_2O_{13}$. MSMS seemed to indicate that the double bond was in the nitrogen heterocycle of 6 carbon atoms with a characteristic fragment at 148 Da. The difference of -2 in mass with respect to the compounds 1 and 2 would result from oxidation, hence the presence of a double bond which is absent in betanin and neobetanin. In order to confirm this hypothesis, compound 3 was isolated by semi-preparatory HPLC to be analyzed by NMR. The HMBC experiment (1H-13C long distance) showed a correlation between quaternary C atom. Therefore, the position of the unsaturation was confirmed as being present on the cycle of 6. The presence of the double bond at scale 6 of the cycle also explained the equivalence of the 2H "pyridine" that appeared at the same chemical shift (7.90 ppm) for an integration of 2 which allowed identifying as the molecule as neobetanin.

Therefore, the three main betacyanins identified in the juice were betanin, isobetanin and neobetanin. Neobetanin (14, 15-dehydro-betanin) is a natural constituent of beet (*Beta vulgaris* L.) (Alard, Wray, Grotjahn, Reznik, & Strack, 1985; Kujala, Loponen, & Pihlaja, 2001) or cactus pear (*Opuntia* sp.) (Alard, et al., 1985; Castellanos-Santiago & Yahia, 2008; Wyler, 1986). It is formed by dehydrogenation of betanin (Wybraniec, Starzak, Skopińska, Nemzer, Pietrzkowski, & Michałowski, 2013).

These three compounds have already been identified in the cactus pear (Chauhan, Sheth, Rathod, Suhagia, & Maradia, 2013).

316 In order to study the impact of enzymatic hydrolysis on juice and microfiltration, a proportion

317 of the juice was treated with Ultrazym. The results showed that enzymatic treatment has no

318 significant impact on the physiochemical characteristics of the juice (Table 2) even though,

319 enzymatic treatment generally achieves a reduction of turbidity and an increase of TSS mainly

320 due to the hydrolysis of polysaccharides and the release of soluble compounds (F. Vaillant,

321 Millan, Jariel, Dornier, Decloux, & Reynes, 1999; Fabrice Vaillant, Pérez, Acosta, & Dornier,

322 2008).

323

324 **3.2   Membrane and TMP selection for clarification**

325

326 **3.2.1   Interest of the enzymatic liquefaction**

327 By filtering the raw juice without enzymatic liquefaction, the permeate flux was close to 40 L

328 $h^{-1} m^{-2}$ whatever the operating conditions (Fig. 2). In this case, the fluxes were constant during

329 filtration that attested membrane fouling reached a steady state very early. On the contrary,

330 flux behavoiur was completely modified by the enzymatic pretreatment. First, the flux was

331 significantly improved. Second they were much less stable, with a 35% drop after the first

332 hour of filtration, which indicated that fouling required more time for setting up. These

333 evolutions did not depend on the membrane nor the applied pressure. These results

334 corroborate those of numerous studies that showed that enzymatic treatment very often

335 improves membrane performance during fruit juice clarification (Bahçeci, 2012; Gökmen &

336 Çetinkaya, 2007; Ushikubo, Watanabe, & Viotto, 2007; Watanabe, Ushikubo, & Viotto,

337 2006). Indeed, the enzymatic liquefaction decreases the viscosity of the juice but also

338 modifies the fouling power of the suspension. It helps to solubilize part of the insoluble

339 fraction and modifies the colloidal fraction known to be often involved in fouling (Dahdouh,

340 Delalonde, Ricci, Servent, Dornier, & Wisniewski, 2016). These phenomena contribute to

341 decreasing the overall hydraulic resistance system and lead therefore to an increase in

342 transmembrane flux.

343 By comparing the average permeate fluxes calculated between 60 and 120 min of

344 microfiltration (a steady state is reached in all cases for fouling), the enzymatic hydrolysis

345 made it possible to multiply Jp by 2 to 2.6 (Table 3).

346 Retention and color parameters were also affected by enzymatic liquefaction (Table 3).

347 Retentions of dry matter and betacyanins decreased by a few percent and a few tenths of a

348 percent respectively. The decrease in the retention of the dry matter is probably due to the

349 solubilization of part of the insoluble fraction through enzymatic treatment. Betacyanins are

350 located within the vacuoles of plant cells. Enzymatic hydrolysis which contributes to the

351 deconstructing of pecto-cellulosic cell walls, support their release into the juice. After

352 enzymatic treatment, their apparent retention is lower because the amount of betacyanins

353 associated with the insoluble fraction, which is retained by the membrane, decreases. This

354 result is in line with the color measurements: the red coloring (a*) of the permeate of the

355 liquefied juice was greater compared to that of the raw juice. The other color parameters (L*,

356 b*) did not vary significantly.

357 The enzymatic treatment of the juice before microfiltration was therefore particularly

358 interesting for our application insofar as it allowed to double the flux of permeate as well as

359 reduce the retention of the desired solutes.

360

3.2.2 Effect of transmembrane pressure

362 The trend of the curves obtained were quite conventional in MFT (Fig. 3). With the exception

363 of the Pall 0.2 μm membrane, the average permeate flux continuously increased with the

364 transmembrane pressure. However, it was not proportional to TMP. In accordance with the

365 generalized Darcy's law, the permeate flux is a function of the ratio of the transmembrane

366 pressure over the permeate's viscosity and the total hydraulic resistance of the system

367 (membrane / fouling). As the resistance of the membrane is constant (incompressible

368 material), this behavior can be explained by an increase of the resistance generated by the

369 fouling when the pressure increases (compressibility of the external fouling on the surface of

370 the membrane, increase of the internal fouling in the porosity of the membrane material).

371 The best fluxes were obtained with the 0.2 μm Tami membrane and exceeded 100 kg h^{-1} m^{-2}

372 for a TMP of 2.8 bar. The high flux of permeate obtained under these conditions is interesting

373 for industrial application.

374 On the other hand, the TMP and the type of membrane do not have a significant impact on the

375 retention of the various compounds present in the juice. Retentions of dry matter and that of

376 betacyanins are very similar. They are on average 9.7%.

377 From the analysis of these results, in terms of membranes, flux and retentions, it is more

378 interesting to clarify the juice with the 0.2 μm Tami membrane at 3 bar TMP using a liquefied

379 feed juice. The selection of these operating conditions makes it possible to obtain a high

380 permeate flux (> 100 kg h^{-1} m^{-2}) and low retentions, in particular for betacyanins. These

381 results are interesting in the perspective of a clarification of the juice at an industrial level. In
382 addition, with the membrane sizes used, the permeate obtained is cold sterilized, which
383 ensures a low microbial load in the clarified juice. This microfiltration is well suited as a
384 pretreatment to nanofiltration in order to reduce the fouling properties of the juice by
385 eliminating the insoluble and colloidal parts without significantly modifying the solute
386 composition profile.

387 The Tami membrane with at 3 bar TMP was therefore logically chosen for clarification with
388 an increasing MRR.

389

3.3   Clarification using optimal operating conditions
391 Clarification of the liquefied juice was performed up to a MRR of 5.5 with the 0.2 μm Tami
392 membrane at 3 bar TMP (Fig. 4). As it is conventionally observed in crossflow
393 microfiltration, the flux gradually decreased according to the MRR. Up to an MRR of 3, the
394 flow droped by 38% and then gradually stabilized beyond an MRR of 3. This decrease, in
395 addition to the gradual buildup of the fouling, is attributed to the increase in the viscosity of
396 the juice and its fouling properties. The average permeate flux obtained between 3 and 5.5 of
397 MRR was 83 $kg.h^{-1}.m^{-2}$. The flux remained high even at an MRR of 5, which is not the case
398 during the clarification process of some fruit juices (Fabrice Vaillant, Millan, Dornier,
399 Decloux, & Reynes, 2001). Considering the high average flux, it would be possible to
400 improve the yield of the clarification by microfiltering the product at MRR greater than 6,
401 without drastic reduction in performance. These results are particularly promising for an
402 industrial scale up of the process.

403 The main characteristics of the microfiltered juice under these conditions are shown in Table
404 2. As expected, crossflow microfiltration made it possible to completely clarify the juice, with
405 the turbidity of the permeate reaching less than 1 NTU. The insoluble part contained in the
406 juice was completely eliminated by the process which explained the 9% retention of TDM.
407 The change in the color of the product, especially a*, was probably mainly related to its
408 clarification. With regard to solutes, the composition profile was only slightly modified by the
409 microfiltration. A retention of betacyanins of the order of 4% was highlighted. This could be
410 explained by the persistence in the liquefied juice of intact cell structures, in which
411 betacyanins would still be present. However, this slight retention does not question the value
412 of the process which makes it possible to clarify and sterilize the juice with good preservation
413 of betacyanins.

3.4 Concentration and purification by nanofiltration/ultrafiltration

3.4.1 Permeate flux

During ultra and nanofiltration of juice previously clarified by microfiltration, permeate fluxes only slightly varied over time when TMP was constant (Fig. 5). A slight tendency to decrease was noted but it did not exceed 10% after 45-50 min of pressure relief. At higher pressures, greater instability was demonstrated for Nadir membranes. It could be related to a greater sensitivity of these membranes to slight pressure fluctuations generated by the feed piston pump.

The average permeate fluxes calculated over the last 10 min of each pressure stage were used to compare the membranes with each other depending on the TMP. The permeate fluxes obtained during the ultra or nanofiltration tests, with 4 membranes of MWCO between 0.2 and 4 kDa, were very sensitive to the increase of the TMP (Fig. 6). From 5 bar, the evolution of average fluxes according to TMP was linear for all membranes ($r^2 \geq 0.99$) with slopes ranging from 1.05 to 2.66 $kg.h^{-1}.m^{-2}.bar^{-1}$. The sensitivity of the flux to the TMP was 2 times higher on the Nadir 4 kDa membrane, compared to the average sensitivity of the other membranes. This is probably related to its much higher MWCO than the others. Koch membrane 1 kDa is the least sensitive to pressure.

The ordinates at the origin of the flux regression lines for Nadir membranes 1 kDa and 4 kDa were positive, 18.8 and 12.7 $kg.h^{-1}.m^{-2}$ respectively; from this we deduced that the average sensitivity of the fluxes according to TMP for these membranes increased considerably at low pressure (TMP < 5 bar). This behavior is characteristic of ultrafiltration membranes in which convective transfers are predominant. In this case the increase of permeate flux with pressure is limited by the phenomena of concentration polarization along the surface of the membrane and by fouling. Nadir 1 kDa and 4 kDa membranes were more efficient in terms of flux; at 15 bar for example, their average permeate flux were respectively 41 and 54 $kg.h^{-1}.m^{-2}$.

On the other hand, Nadir 0.2 kDa and Koch 1 kDa membranes showed a characteristic behavior of nanofiltration membranes. In nanofiltration, the impact of the pressure on the flux of solvent, i.e. water in our case, could be represented through Eq. 4. Based on the methodology proposed by (Acosta, Vaillant, Pérez, & Dornier, 2017), the water permeability (L_w) was evaluated at 18.8 and 10.6 $kg\ h^{-1}.m^{-2}\ bar^{-1}$ respectively for the Nadir 0.2 kDa and

448   Koch 1 kDa membranes. A reflection coefficient σ of 0.54 was obtained for the 2 membranes.

449   In this case, an important part of the transfers through the membrane is probably related to

450   diffusion-solubilization phenomena.

451

$$J_w = L_w(TMP - \sigma\Delta\pi) \hspace{3cm} \text{Eq. 4}$$

452   with

J_w: Water flux (kg h^{-1} m^{-2})   σ: Reflexion coefficient

L_w: Water permeability (kg h^{-1} m^{-2} bar^{-1}) $\Delta\pi$: Difference of osmotic pressure between both sides of the membrane (bar)

1

2   Among the 4 ultra/nanofiltration membranes tested, the Koch 1 kDa lead to the lowest

3   permeate fluxes (10 kg.h^{-1}.m^{-2} at 15 bar). A comparison of the average fluxes obtained with

4   the Nadir 1 kDa and Koch 1 kDa membrane showed that the fluxes were not correlated to the

5   MWCO if the membranes are different. This is probably related to the structural differences

6   in the membranes. If we consider only the Nadir membranes which have *a priori* a similar

7   structure, we found that the fluxes were positively correlated with MWCO and with the

8   permeability to water.

9

10   **3.4.2   Solute retentions**

11   As it is conventionally observed in nanofiltration, the retention of all solutes increased with

12   TMP and tended asymptotically towards a maximum retention value (Fig. 6). For all

13   membranes, the retention of sugars and citric acid, solutes which are very much in the

14   majority of TDM, was lower (from 0.17 to 0.93) than that of betacyanins (from 0.81 to 1.00).

15   These differences in retention are most likely related to the difference in molar mass between

16   these two groups of compounds: 180 and 192 g mol^{-1} for sugars and acid and 549 to 550 g

17   mol^{-1} for betacyanins.

18   In all the cases tested, fructose and glucose were retained in the same way regardless of the

19   operating parameters. On the other hand, citric acid was more or less retained depending on

20   the case. Nadir 1 kDa and 4 kDa membranes retained more citric acid than sugars; the

21   retentions were almost equal with the Koch membrane 1 kDa; the retention of citric acid was

22   lower than that of sugars with Nadir 0.2 kDa membrane. For the same cutoff of 1 kDa, the

23   Koch membrane showed retentions 34% higher on average than the Nadir membrane. This

24 observation is related to the differences in structure and material between the 2 membranes as
25 already mentioned by comparing permeates fluxes.

26 Betacyanins were better retained than major solutes, probably because of their higher
27 molecular weight but also their overall positive charge. Indeed, the ionization of these
28 compounds probably contributes to increase their retention via repulsive electrostatic forces,
29 the membranes being rather positively charged at such pH (Conidi, Cassano, & Drioli, 2012).
30 Betacyanins were completely retained by Nadir 0.2 kDa membrane even at low TMP values.
31 At high TMP (> 15 bar), retentions greater than 96% were obtained with 1 kDa cutoff
32 membranes. In cases where the retention of betacyanins was lower, neobetanin was
33 systematically more retained than isobetanin and betanin. This result is surprising because
34 these 3 compounds have an extremely close molecular structure. This phenomenon could be
35 explained by slight variations in the physicochemical interactions between the pigments and
36 the membranes or with the other solutes present into the product.

37 Although it may happen that practically all the dry matter was retained, the membranes
38 selected in our study were selectively more permeable to major solutes (TDM) than to betanin
39 and isobetanin, which were in turn less retained than neobetanin. As the retention of each of
40 these solutes varied differently depending on the operating conditions, it was then possible to
41 aspire to different separation objectives:

42 1- concentration of the extract: We will seek in this case, a high retention of all the solutes
43 present. The retentions of all the solutes must be similar in order not to generate a distortion
44 of the composition profile;

45 2- Purification of betacyanins: It is about favoring the retention of betacyanins to the
46 detriment of that of the other solutes (sugars, acids) in order to increase the content of
47 pigment compared to TDM;

48 3- Fractionation of betacyanins: Profiling the differences in retention observed between
49 neobetanin and the other 2 betacyanins to separate them.

50 Regarding treating an extract rich in betacyanins as part of this study, the retention of
51 betacyanins $R_{\beta c}$ must be high in all cases. For the concentration of the extract, the difference
52 in retention between the dry matter and the betacyanins ($R_{TDM}-R_{\beta c}$) and the retention
53 difference between the betacyanins themselves ($R_{n\beta n}-R_{(\beta n+i\beta n)}$) must be as small as possible
54 (Eq. 3). On the contrary, for the purification, ($R_{TDM}-R_{\beta c}$) has to be maximized. Finally, to split

the betacyanins between them, it is the difference of retentions between neobetanin and betanin/isobetanin ($R_{n\beta n}-R_{(\beta n+i\beta n)}$) that must be sought to be maximized. It is therefore possible to represent the retention results in a 3D space to determine which operating conditions are more favorable for each of the 3 separation objectives described above (

Fig. 7).

The Fig. 8 shows the location of the different couples membrane/pressure tested in this 3D space. The 4 ultra/nanofiltration membranes chosen have quite different specificities. This is interesting insofar as all separation possibilities are conceivable for concentrating, purifying and / or fractioning betacyanins. The concentration of the extract can be implemented without surprise with the Nadir 0.2 kDa membrane but also with the Koch 1 kDa membrane with high transmembrane pressure. The Nadir 1 kDa and 4 kDa membranes are rather indicated for the simultaneous purification and fractionation of betacyanins, and this all the better as the transmembrane pressure is low. Finally, the Koch 1 kDa membrane has a potential for fractionation of betacyanins by limiting TDM losses when used at low pressure. Permeability fluxes obtained under these conditions are however very low.

Table 4 provides a summary of the optimal nanofiltration conditions depending on the targeted separation objective. The results show that for fractionation, the ideal is to operate at low pressure, while purification would require a little higher pressure.

3.5   Simulation of betacyanin separation by nanofiltration/ultrafiltration

The different situations presented in Table 4, were used to make simulations in order to evaluate the potentialities of using various membrane / pressure pairs. The simulation was conducted for MRR between 2 and 10, and diavolume DV up to 10, by calculating the betacyanin concentration factor ($CF_{\beta c}$, Eq. 2) and the separation factors (Eq.3) either betacyanins compared to TDM ($SF_{\beta c/TDM}$ which corresponds to a purification factor) or among betacyanins ($SF_{n\beta n/(\beta n+i\beta n)}$ which corresponds to a fractionation factor).

3.5.1   Concentration

In this case, there is no diafiltration step (DV = 0). The concentration factors obtained at a given MRR are very close for the 3 chosen membrane / TMP pairs. For example, with an MRR of 10, the membranes Nadir 0.2 kDa at 5 bar, Koch 1kDa at 15 bar and Nadir 1kDa at

87 25 bar make it possible to obtain $CF_{\beta c}$ of 9.9, 9.2 and 9.1 respectively. This is normal because

88 these membrane / TMP pairs lead to high retentions all greater than 0.96.

3.5.2 Purification

92 Fig. 9 shows the potential of the 3 selected membrane / TMP pairs to optimize the production

93 of purified betacyanin extracts by diafiltration. These charts, drawn for MRR and DV up to

94 10, allow visualizing the range of $CF_{\beta c}$ et $SF_{\beta c/TDM}$ that can be achieved using a given

95 membrane / TMP pair. These figures also make it possible to evaluate the MRR / DV pairs

96 that must be used to reach various levels of concentration and purification. By way of

97 illustration, with a Nadir 1 kDa membrane at 10 bar, to obtain a $SF_{\beta c/TDM}$ of 600 and a $CF_{\beta c}$ of

98 3, it would be necessary to work with approximately a DV of 9 and to fix the MRR at 7. The

99 order of magnitude of the purification factors varies greatly from membrane to membrane.

100 For the 3 selected case studies, we find that the Membrane / TMP combinations make it

101 possible to reach average concentration factors, less than 6, but with high purification factors.

102 The Nadir 4 kDa / 10 bar combination makes it possible to envisage a very thorough

103 purification of betacyanins (purification factor up to 3800). Finally, the combination Koch 1

104 kDa / 5 bar is very limited in terms of separation whereas Nadir 1 kDa / 10 bar is intermediate

105 and allows a good compromise between concentration and purification.

3.5.3 Betacyanins fractionation

109 Fig. 10 presenting the results of the simulation carried out with three membrane / TMP pairs

110 gives us information on the feasibility and efficiency of the fractionation. The Koch 1 kDa / 5

111 bar combination gives slightly higher $SF_{n\beta n/(\beta n+i\beta n)}$ than Nadir 1 kDa / 5 bar, similar

112 concentration factors and much lower purification factors. The Nadir 4 kDa / 5 bar

113 combination is limited in terms of fractionation but has good concentration and purification

114 factors. With these membranes, however, it is impossible to achieve a separation factor

115 greater than 3.3. This result has to be improved a priori to consider an industrial application,

116 by looking for other combinations membrane / TMP more appropriate to realize this type of

117 separation.

118 **4   Conclusion**
119

120   Crossflow microfiltration had great potential for remove insoluble and colloidal fractions
121   contained in cactus pear juice. Under the operating conditions tested, this first step made it
122   possible to clarify the product perfectly without substantially affecting its solute profile. In
123   particular, the retention of betacyanins was very limited, less than 10%, as for the total dry
124   matter. The Association with an enzymatic pretreatment of liquefaction was really interesting
125   because it multiplied permeate flux at least by 2 and decreased the retention of betacyanins.
126   The results were very encouraging for further development of the process on an industrial
127   scale. After microfiltration, different ways could be considered for the separation of
128   betacyanin by ultra or nanofiltration. By selecting different membrane/pressure settings and
129   adding a possible diafiltration stage, we have shown that it was possible to promote either the
130   concentration of all the betacyanins, or their purification from total dry matter, or even some
131   fractionation between them. The overall process that integrates all these unit operations is an
132   attractive alternative to produce, at low temperature, concentrated and purified betacyanin
133   extracts from cactus pear juice. It could be easily used to pre-concentrate or modify the solute
134   composition of the extract without thermal damage before final concentration (evaporation
135   under vacuu;, osmotic evaporation) or spray drying for example. For final validation and
136   better evaluation of the process from an economic point of view, further trials should be
137   performed now at a larger scale of production.

138
139

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290
291

292   Table 1. Main characteristics of the different membranes used.

293

	Manufacturer	Designation, material	Average pore size diameter (µm) or nominal molecular weight cut-off (kDa)	Measured initial water permeability* (kg h^{-1} bar^{-1} m^{-2})
Microfiltration	Orelis	Al$_2$O$_3$	0.1 µm	144 (10)
	Orelis	Al$_2$O$_3$	0.2 µm	158 (7)
	Tami	TiO$_2$	0.2 µm	170 (16)
	Pall Exekia	Al$_2$O$_3$	0.2 µm	112 (4)
Ultrafiltration	Microdyn Nadir	UH004, polyethersulphone	4 kDa	20 (2)
Nanofiltration	Microdyn Nadir	NP030, polyethersulphone	0.2 kDa	4 (0.5)
	Microdyn Nadir	NP010, polyethersulphone	1 kDa	11 (0.7)
	Koch	MPS 36, composite	1 kDa	7 (0.3)

294   *: average and standard deviation evaluated with 3 repetitions.

295

296 Table 2. Main characteristics of raw cactus pear juice, liquefied juice and microfiltered juice
297 (average and standard deviation evaluated with 3 replicates).

298

Component	Raw juice	Liquefied juice Ultrazym	Microfiltered juice Membrane Tami 0.2 μm TMP = 3 bar $3.0 \leq MRR \leq 5.5$
TDM (g.kg^{-1})	65.6 (0.5)	64.6 (0.4)	58.8 (0.7)
TSS (g.kg^{-1})	72 (1)	72 (1)	75 (1)
pH	3.35 (0.05)	3.32 (0.05)	3.38 (0.01)
Citric acid (g.kg^{-1})	12.4 (0.4)	12.4 (0.3)	12.9 (0.1)
Glucose (g.kg^{-1})	22.8 (0.1)	22.8 (0.1)	22.9 (0.2)
Fructose (g.kg^{-1})	22.8 (0.2)	22.9 (0.1)	22.9 (0.2)
Betacyanins (g.kg^{-1})	0.76 (0.02)	0.77 (0.01)	0.74 (0.04)
Turbidity (NTU)	1428 (37)	1430 (33)	< 1
Conductivity (mS.cm^{-1})	3.72 (0.22)	3.74 (0.12)	3.53 (0.21)
L*	8.89 (0.17)	8.87 (0.18)	9.68 (0.14)
a*	16.5 (0.4)	16.6 (0.5)	33.4 (3.0)
b*	-1.8 (0.1)	-2.6 (0.1)	-0.9 (0.2)

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Table 3. Permeate flux (Jp), retentions and color of permeate obtained during microfiltration of raw and liquefied cactus pear juice at MRR = 1 using different membrane / transmembrane pressure (TMP) combinations.

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| Membrane/TMP | Permeate flux (kg.h^{-1}.m^{-2}) | | Retentions | | | | Permeate colour (a*) | |
| | | | Total dry matter | | Betacyanins | | | |
	Raw juice	Liquefied juice	Raw juice	Liquefied juice	Raw juice	Liquefied juice	Raw juice	Liquefied juice
Orelis-0,1µm/1.78 bar	34.8 (1.5)	75.3 (3.3)	0.12	0.09	0.11	0.09	34.1	37.9
Orelis-0,2µm/3.32 bar	37.1 (1.8)	97.0 (4.0)	0.10	0.10	0.16	0.08	26.0	41.7
Pall-0,2µm/2.81 bar	34.0 (1.6)	75.8 (3.6)	0.08	0.05	0.15	0.05	23.3	37.9
Tami-0,2µm/2.29 bar	43.3 (1.5)	89.5 (2.4)	0.10	0.09	0.13	0.09	35.7	41.6

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308   Table 4. Selection of the optimal operating conditions for concentration, purification and
309   fractionation by nanofiltration of betacyanins present in microfiltered cactus pear juice.

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Membrane	Transmembrane pressure TMP (bar)		
	Concentration	Purification	Fractionation
Nadir 0.2 kDa	5	-	-
Koch 1 kDa	15	5	5
Nadir 1 kDa	25	10	5
Nadir 4 kDa	-	10	5

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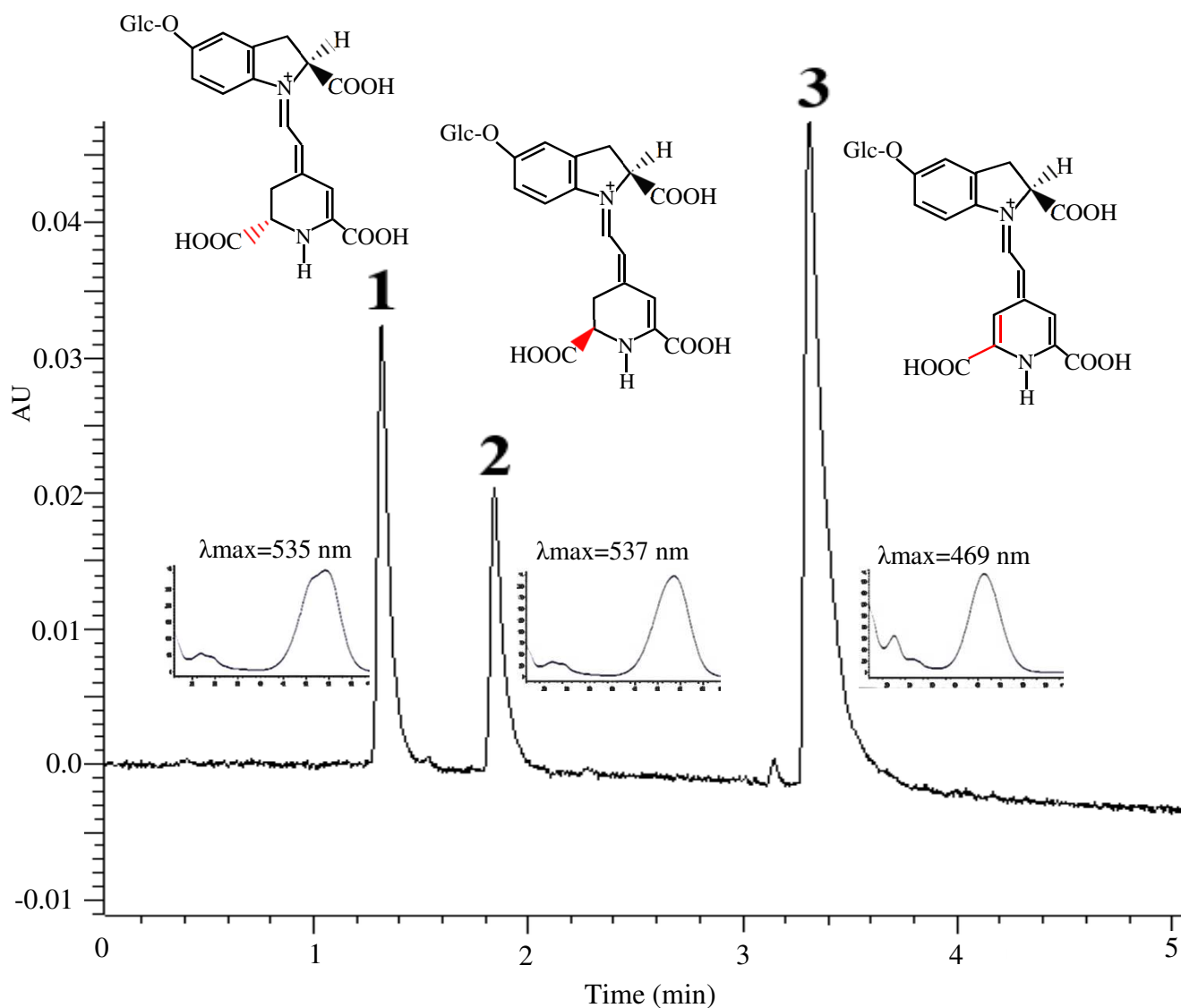
Fig. 1. HPLC chromatograms at 484 nm of the betacyanins extracted from raw cactus pear juice with their visible absorption spectrum: betanin (1), isobetanin (2), neobetanin (3).

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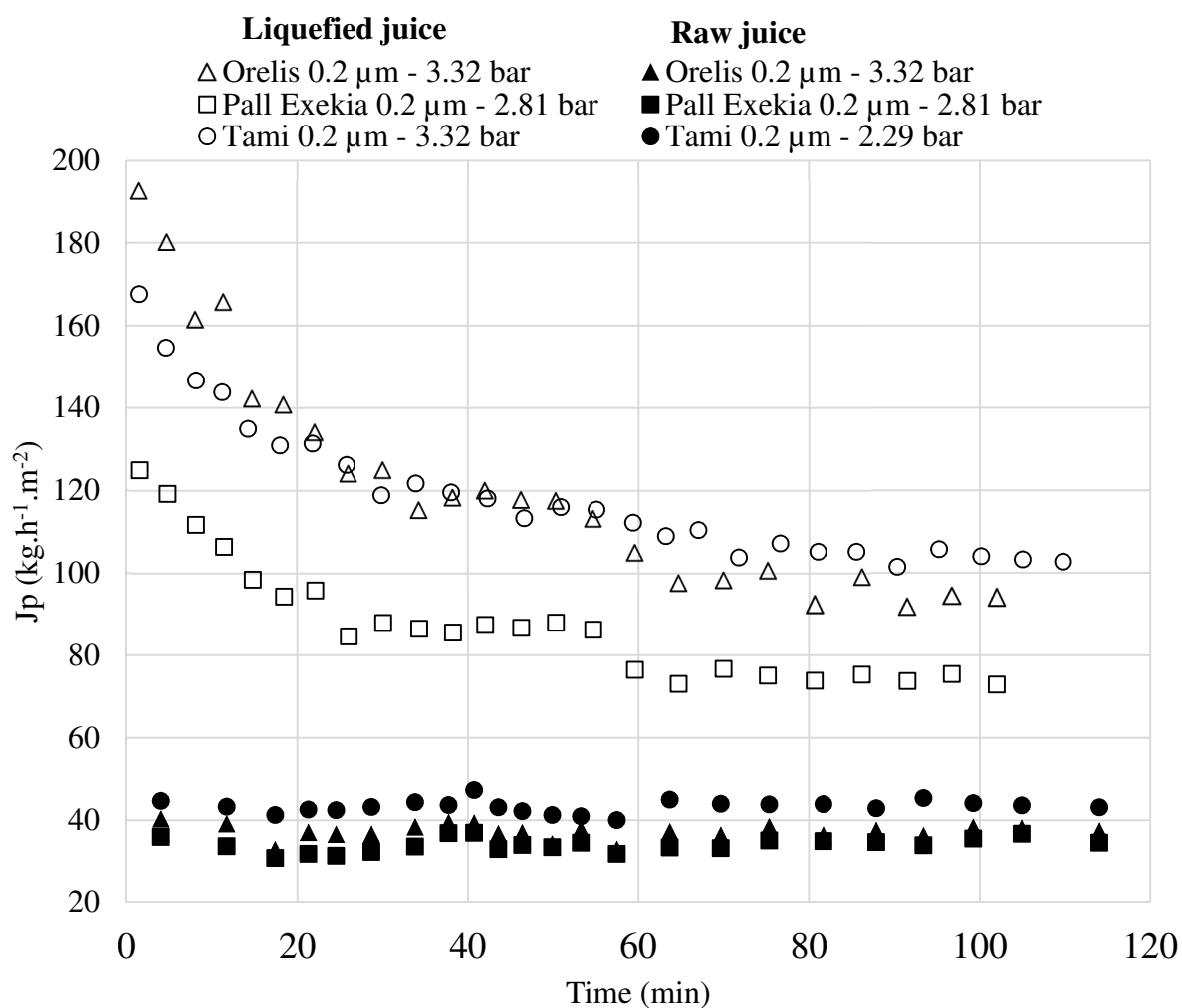
Fig. 2. Examples of permeate flux (Jp) evolution vs. time during the clarification of cactus pear juice by microfiltration at MRR = 1 with different membranes and operating conditions.

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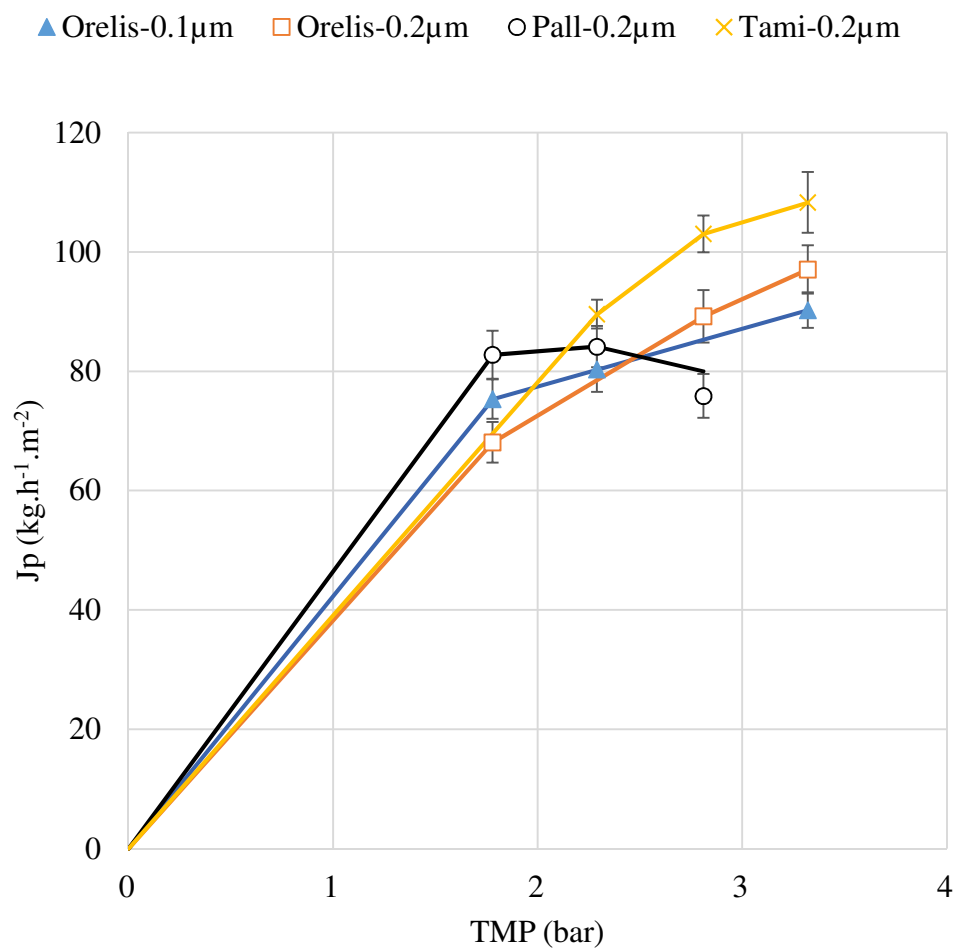
Fig. 3. Effect of transmembrane pressure (TMP) on permeate flux (Jp) during microfiltration of liquefied cactus pear juice using different membranes at MRR = 1.

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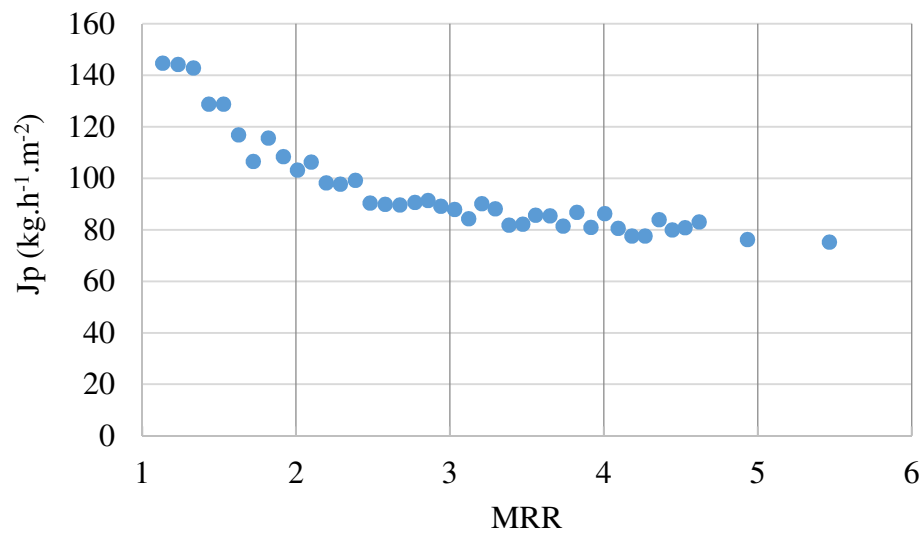
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334 Fig. 4. Permeate flux (Jp) vs. mass reduction ratio (MRR) during the clarification of liquefied
335   cactus pear juice by microfiltration (membrane Tami 0.2 µm, TMP = 3 bar).

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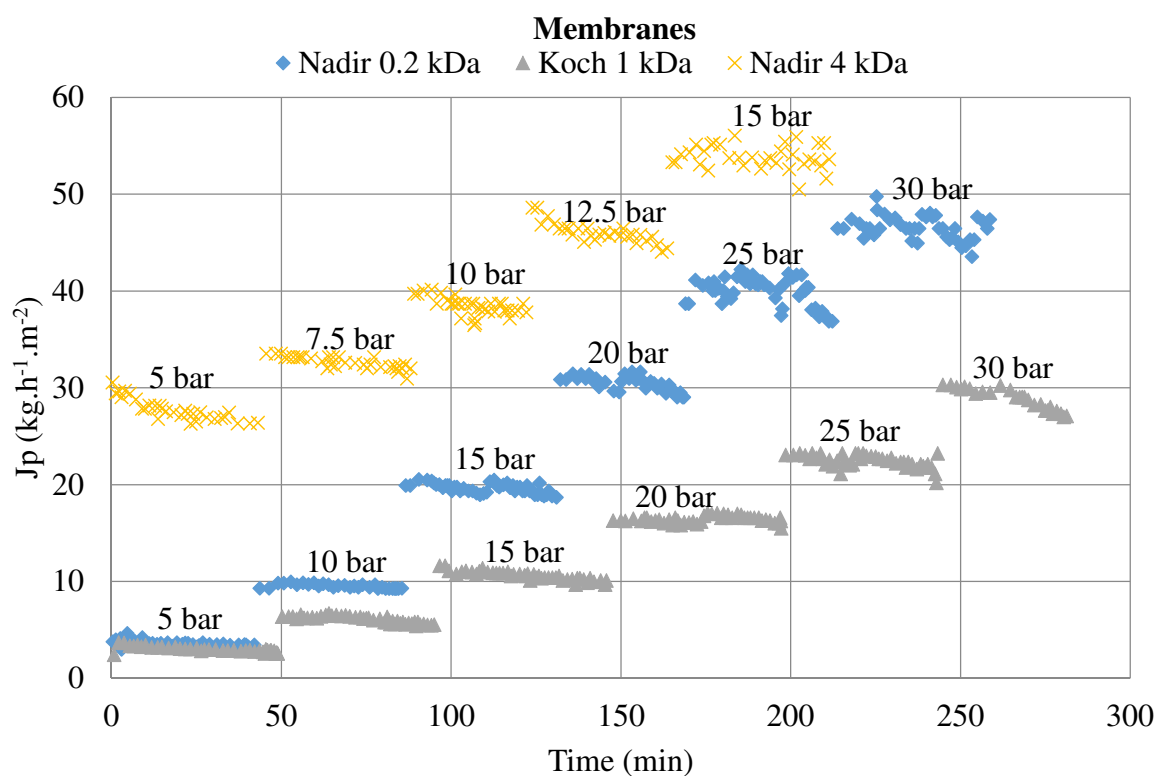
Fig. 5. Examples of the evolution of permeate flux (Jp) vs. time during ultra/nanofiltration of microfiltered cactus pear juice increasing transmembrane pressure.
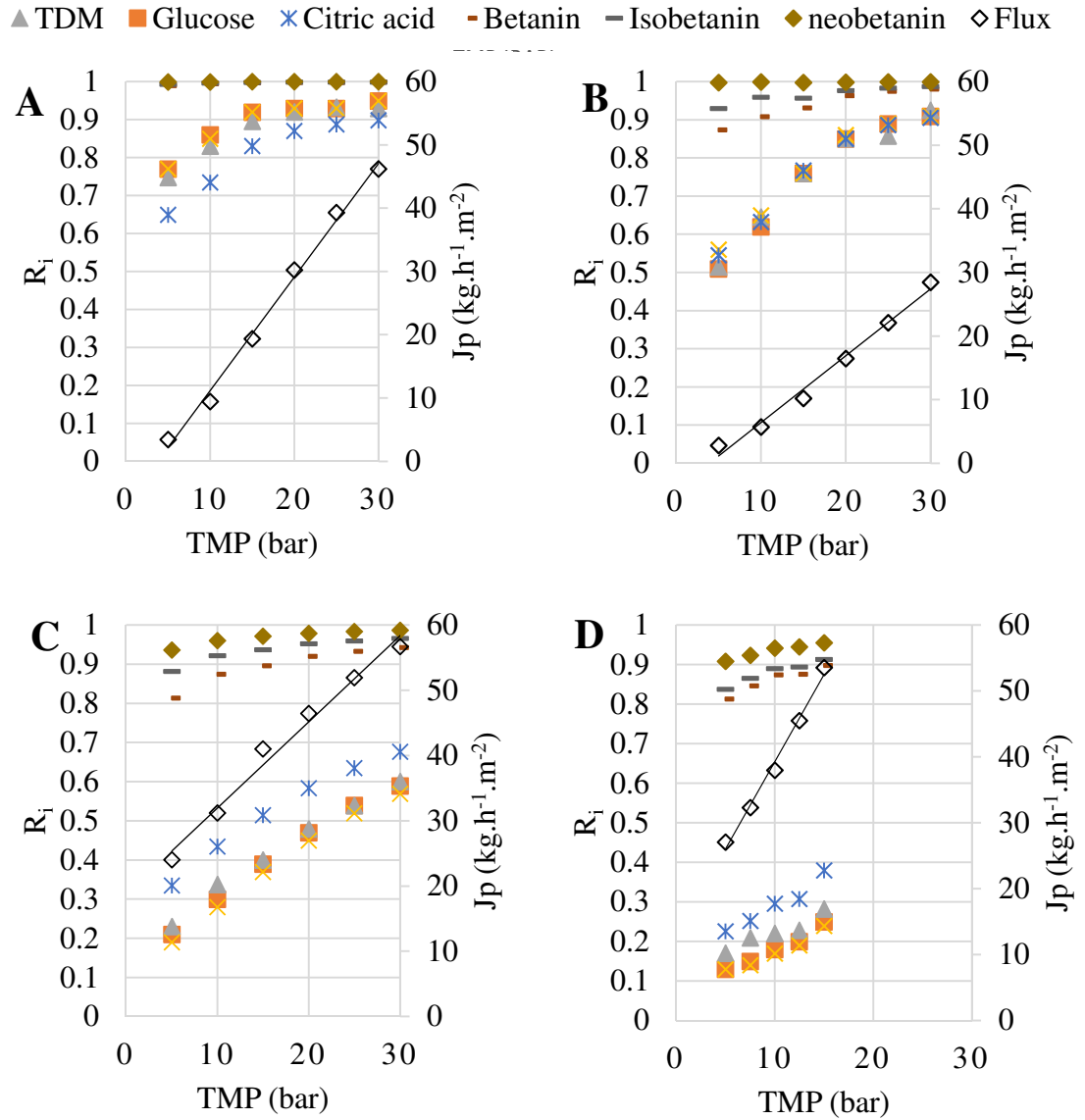
Fig. 6. Permeate flux (Jp) and solute retentions (R$_i$) obtained at different transmembrane pressures (TMP) during ultra/nanofiltration of microfiltered cactus pear juice using the membranes Nadir 0.2 kDa (A), Koch 1 kDa (B), Nadir 1 kDa (C) and Nadir 4 kDa (D).
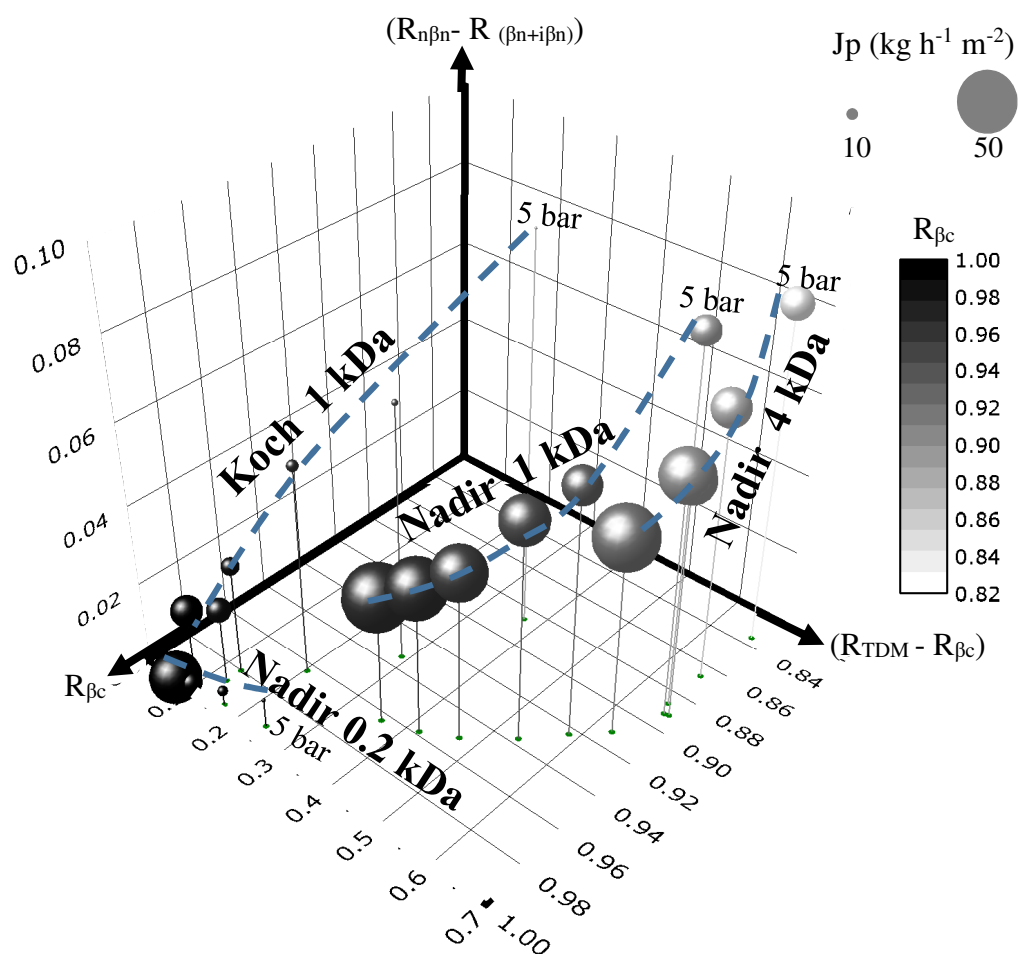
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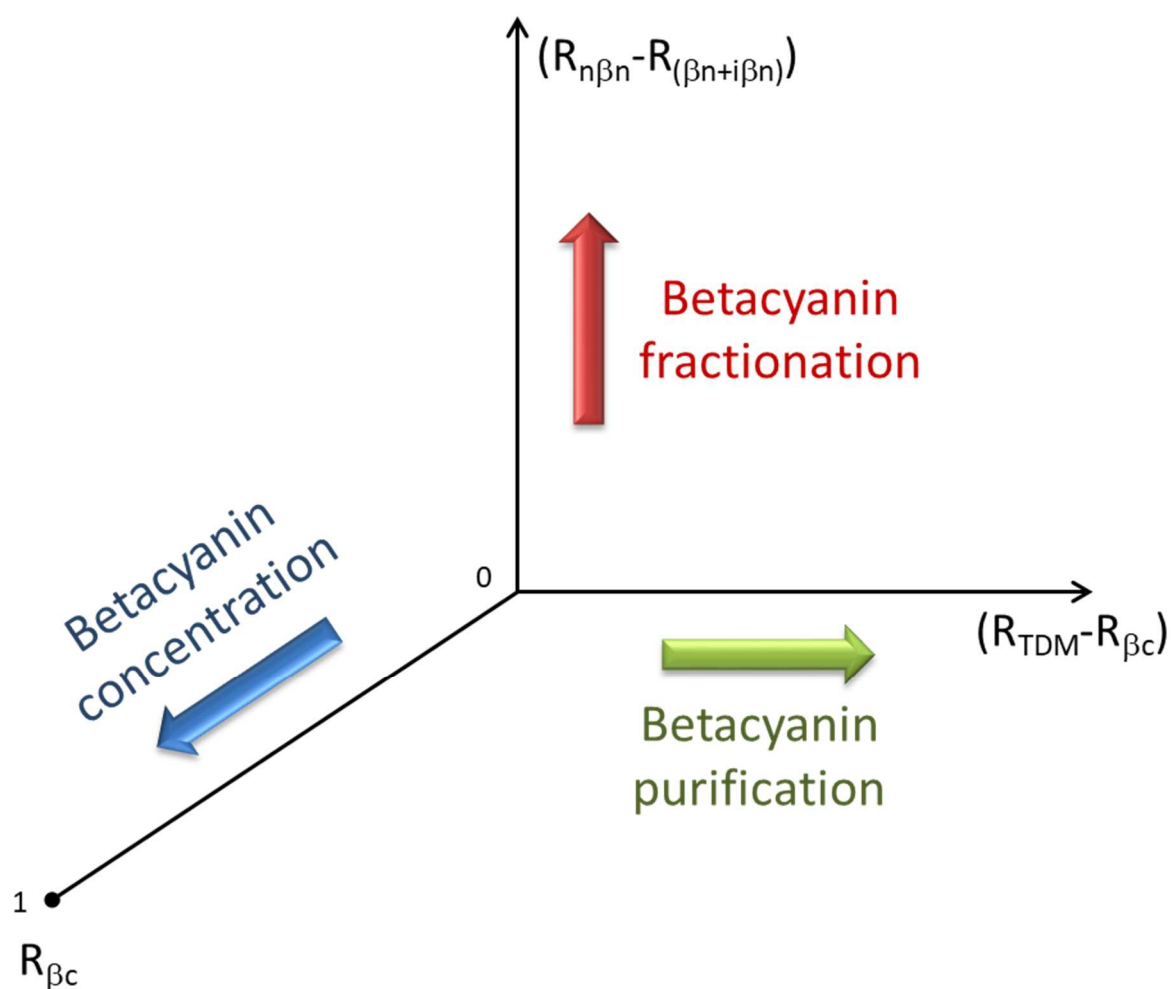
Fig. 7. Potential types of separation by nanofiltration that can be considered according to solute retentions R_i (βc total betacyanins, $n\beta n$ neobetanin, ($\beta n+i\beta n$) betanin and isobetanin, TDM total dry matter).
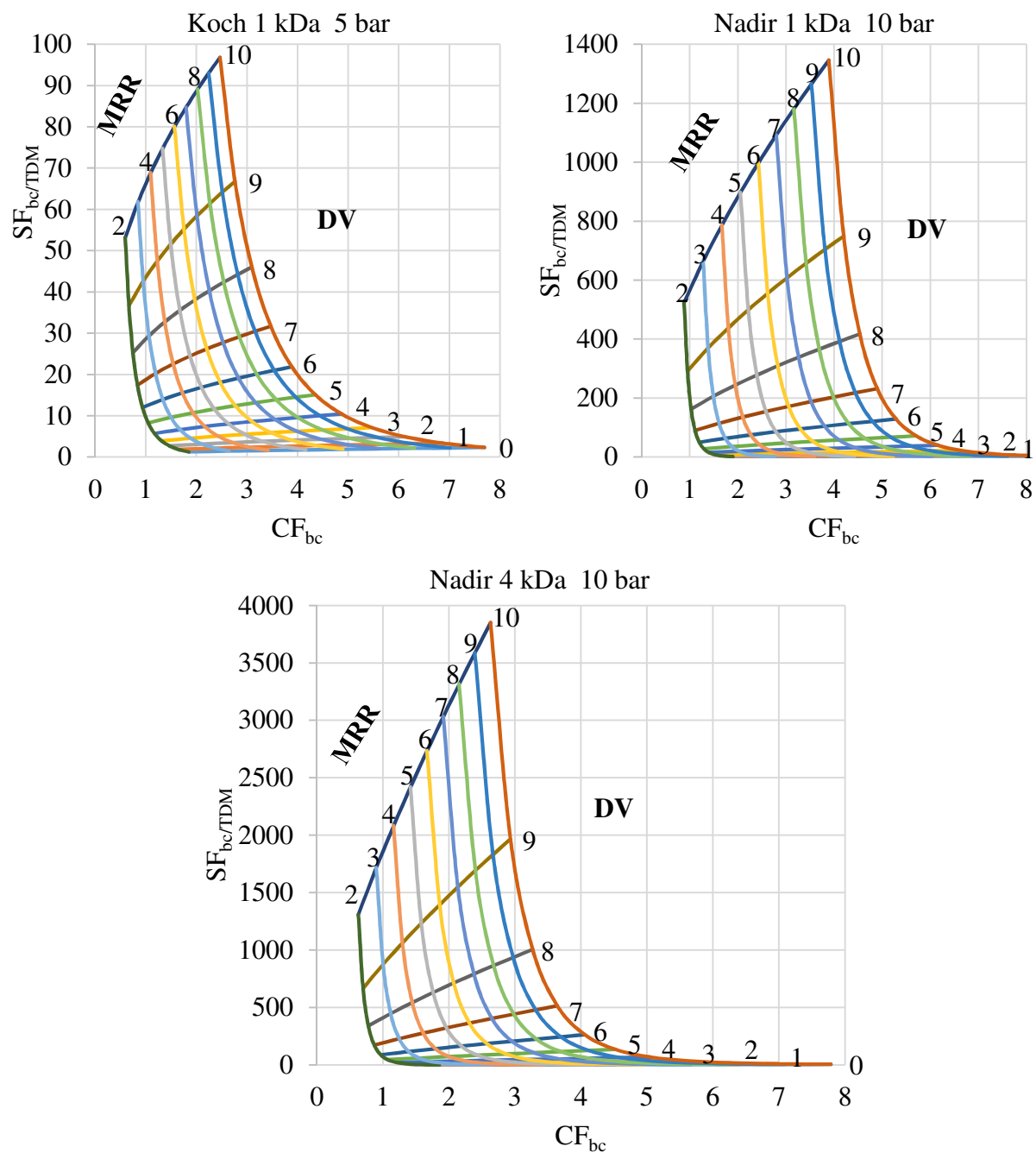
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Fig. 8. Representation of the different combinations membrane/pressure tested for ultra/nanofiltration of microfiltered cactus pear juice according to the solute retentions R_i (βc total betacyanins, $n\beta n$ neobetanin, ($\beta n+i\beta n$) betanin and isobetanin, TDM total dry matter) and permeate flux obtained (Jp).
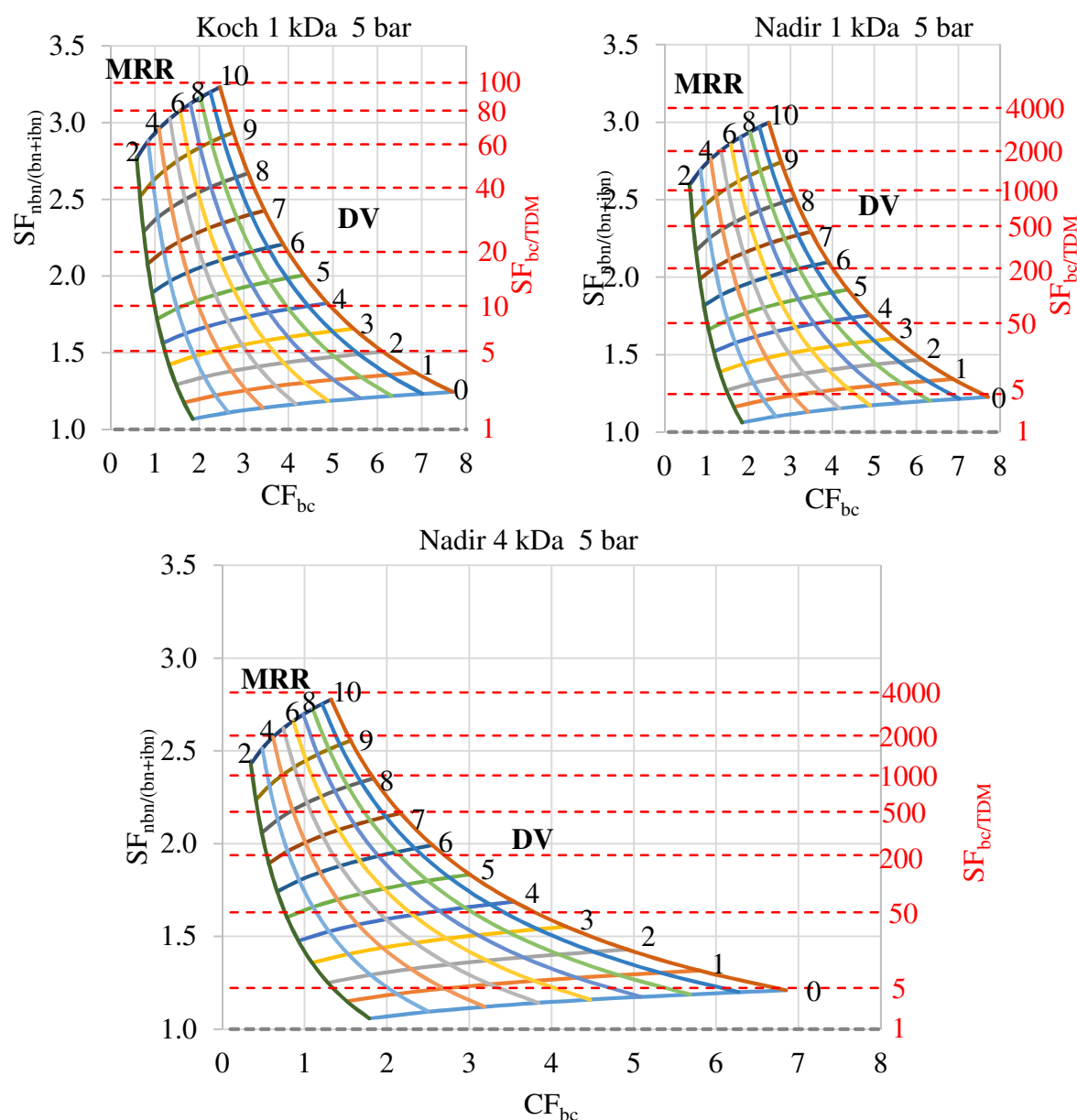
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Fig. 9. Concentration factor of betacyanins ($CF_{\beta c}$) and separation factor between betacyanins and total dry matter ($SF_{\beta c/TDM}$) achievable in the case of 3 membrane/TMP combinations according to the mass reduction ratio (MRR) and the diavolume (DV) for the purification by ultra/nanofiltration of betacyanins of microfiltered cactus pear juice.

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Fig. 10. Concentration factor of betacyanins ($CF_{\beta c}$) and separation factors between betacyanins and total dry matter ($SF_{\beta c/TDM}$) and between neobetanin and the other betacyanins ($SF_{n\beta n/(\beta n+i\beta n)}$) achievable in the case of 3 membrane/TMP combinations according to the mass reduction ratio (MRR) and the diavolume (DV) for the fractionation by ultra/nanofiltration of betacyanins of microfiltered cactus pear juice.