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1	Effects of conservation agriculture maize-based cropping systems on soil health and crop performance in
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### 19 Abstract

20 Conservation agriculture (CA) is one strategy with which both sustainability and productivity can be achieved by 21 improving soil health. However, linkages between practices, soil health and cropping system performance 22 remain poorly disentangled. We assessed the relationships between soil health and cropping system performance 23 for three maize-based cropping systems in New Caledonia. Two CA systems, one with direct seeding into a 24 mixed species dead mulch (CA-DM) and one into a stylo living mulch (CA-LM), were compared to a 25 conventional tillage (CT) system. CA vs. CT experiment started in 2011, whereas the differentiation between 26 CA-DM and CA-LM was initiated in 2017 only. In 2018, soil health was evaluated using Biofunctool®, a set of 27 ten in-field tools that assess soil carbon transformation, structure maintenance and nutrient cycling functions. 28 The performance of the three cropping systems were assessed by monitoring weeds, maize growth and yield 29 components. Structural equation modelling (SEM) was used to disentangle the links between agricultural 30 management, soil health and cropping system performance. Soil structure maintenance and nutrient cycling 31 functions were higher under CA-DM and CA-LM than under CT, and carbon transformation function was higher 32 under CA-DM than under CT and CA-LM. Overall, the soil health index (SHI) was 1.3-fold higher under CA 33 systems than under CT. Cropping system management had both direct and indirect effects on soil functioning 34 and crop productivity leading to a 1.3-fold higher yield under CA than under CT. The direct and indirect effects 35 of CA systems on soil health had positive impacts on ecosystem services (i.e., productivity, weed regulation and 36 soil ecosystem services). Such integrative approaches that account for the relationships and possible trade-offs 37 between cropping system components enable a better understanding of the effects and the performance of 38 practices, and support adaptive agricultural management.

39

40 Keywords Cover crop; Living mulch; Magnesic fluvisol; No tillage; Soil functions; Systemic approach

#### 41 **1. Introduction**

42 Agricultural practices are key drivers of agroecosystem functions and their negative impacts have increased in 43 recent decades. Land use changes, intensive use of chemical inputs, and fragmentation of habitats have 44 contributed to the depletion of soil fertility, biodiversity, water quality and availability, and to the magnitude of 45 climate change (Foley et al., 2011; Rockström et al., 2017). These rapid changes have also had positive effects 46 including increasing food production at global scale, but significant trade-offs have been observed, to preserve 47 environmental integrity (Tilman et al., 2011). Soil is one of the key components of ecosystems and is under 48 serious pressure from human activities. To mitigate the negative impacts of agricultural systems, some 49 approaches promote agronomic technical levers such as soil conservation practices or agroforestry (Altieri and 50 Nicholls, 2013; Wezel and Soldat, 2009).

51 Agriculture represents less than two per cent of the gross domestic product of New Caledonia where the 52 economy is mainly driven by the nickel industry and the service sector (ISEE, 2016). However, islands in the 53 South Pacific need to increase their agricultural production to respond to population growth and to increasing 54 demand from the commercial sector (Murray, 2001; Naidu, 2010). Like in many developing countries, 55 agricultural intensification in these islands has had positive impacts on agricultural production and food security 56 (Naidu, 2010; van der Velde et al., 2007). Unfortunately, agricultural intensification has also had detrimental 57 impacts on soil and water resources, including significant soil erosion (Dugain, 1953; Losfeld et al., 2015), 58 especially in New Caledonia, a hotspot of biodiversity (Myers et al., 2000).

59 Conservation agriculture (CA) is a farming system that promotes minimum soil disturbance (*i.e.*, no tillage), 60 maintenance of a permanent soil cover, and diversification of plant species (FAO, 2014). Through the 61 application of these three principles, the maintenance and improvement of soil functioning is driven by (i) high 62 and continuous production of above and belowground biomass, (ii) a permanent soil cover which supports a 63 continuous flow of nutrients and organic compounds and improves the water balance, and (iii) enhanced soil 64 biological activity which regulates carbon transformation, soil structure maintenance, and improved nutrient 65 cycling (FAO, 2014; Hobbs et al., 2008; Scopel et al., 2013). CA is being promoted to improve the resilience of 66 cropping systems and reduce their negative externalities (Hobbs et al., 2008; Lal, 2015a; Séguy et al., 2006). CA 67 can help reduce physical, chemical and biological soil depletion and production costs (Palm et al., 2014; Scopel 68 et al., 2013; Sithole et al., 2016; Thierfelder and Wall, 2012). CA practices could thus be a promising way to 69 reduce the negative impacts of agriculture, especially on soil, while conserving production and ecosystem 70 services (Pittelkow et al., 2015; Verhulst et al., 2010).

71 The relationships among soil and crop management practices, soil health, crop performance and ecosystem 72 services under CA practices are poorly described in the literature (Palm et al., 2014; Ranaivoson et al., 2017; 73 Verhulst et al., 2010). Appropriate and sensitive indicators should be selected to assess agrosystem 74 multifunctionality. Soil health is defined as "the capacity of a soil to produce a good quantity and quality food 75 and fibre together with the delivery of other ecosystem services" (Kibblewhite et al., 2008). Although many 76 approaches are available to assess soil health, Thoumazeau et al. (2019b) proposed an integrative, 77 multifunctional, and easily transferable approach, named Biofunctool<sup>®</sup>. Biofunctool<sup>®</sup> makes it possible to assess 78 the three main soil functions linked to soil biological activities identified by Kibblewhite et al. (2008): (i) carbon 79 transformation, (ii) nutrient cycling, and (iii) soil structure maintenance with a core set of ten in-field and low-80 tech indicators. Weeds and crop development are key aspects to assess cropping system performance. Weeds are 81 indeed a major factor affecting yields (Teasdale et al., 2007) and weed control is one of the farmer's main 82 concerns in agricultural systems (Hobbs, 2007; Nichols et al., 2015; van Heemst, 1985). On the other hand, grain 83 yield is the main indicator used by farmers to assess the performance of their system. Combining these 84 measurements should help understand the synergies and trade-offs between the components that may affect 85 cropping system performance.

We hypothesise that CA practices have both direct and indirect effects on weeds and crop productivity by influencing soil health, thereby increasing the performance of CA compared to that of CT. The overall objective of the study was to conduct an integrative and quantified assessment of the relationships between contrasted maize-based cropping management (*i.e.*, conventional plough-based tillage (CT), and CA with a diversity of cover crops and managements), soil health and cropping system performance in New Caledonia.

## 91 **2.** Materials and methods

### 92 2.1. Site description

93 The study site is located at the Adecal Technopole Ouenghi experimental station in Boulouparis, South province, 94 New Caledonia (21°53'50" S, 166°06'45" E). The west coast of New Caledonia is characterised by a semi-arid 95 subtropical climate with a cool, dry season from May to September, and a warm, wet season from December to 96 April. Intense rainfall associated with thunderstorms peaking in austral summer are usually followed by recurrent 97 drought periods from October to November. Data from the Ouenghi Meteo-France station (21°55'42"S, 98 166°05'00"E; 3.5 km from the study site) were used to characterise the meteorological conditions. Mean annual 99 precipitation between 2011 and 2018 was 909 mm with most of the rainfall occurring from February to April. In 100 the same period, the monthly average minimum and maximum temperatures were 17 °C and 29 °C, respectively.

101 Soil is classified as a silty loam soil according to the USDA classification with 33.6% sand, 51.6% silt and 102 14.8% clay (Euro-analyse laboratory soil analysis, 2011). It is a magnesic alkaline soil (pH<sub>water</sub> = 8.1) with high 103 concentrations of  $Mg^{2+}$  (exchangeable magnesium accounts for 76% of cation exchange capacity) and Ca/Mg = 104 0.3 (K/Mg = 0.01). The average bulk density (in the 0-10 cm layer) was  $1.01 \pm 0.08$  g cm<sup>-3</sup> and soil organic 105

carbon (0-20 cm depth) was  $28.1 \pm 1.1$  g kg<sup>-1</sup> (LAMA laboratory soil analysis, 2017).

106 2.2. Experimental design

107 The experiment was set up in 2011 to study contrasted cropping systems representative of cereal production 108 along the west coast of New Caledonia characterised by short rotations and maize (Zea mays L.) grain as main 109 crop production. Two main periods characterize the experiment (Supplementary information, Table A.1). From 110 2011-2016, the cropping sequence was based on a succession cowpea-maize and cowpea-maize-sorghum under 111 two type of management: (i) conventional plough-based management (CT), and (ii) CA management based on 112 dead mulch. Cowpea (Vigna unguiculata L.) was used as a cover crop before maize in all treatments. The second 113 period started in 2017, when the cropping pattern was updated with a maize-based cropping system under three 114 different managements: (i) maize under CT, which is the main practice in the region, which represented a 115 continuation of the CT management of the first period, (ii) maize under CA with direct seeding in a dead mulch 116 (CA-DM), and (iii) maize under CA with direct seeding in a living mulch (CA-LM). CA-DM and CA-LM 117 represented the continuation of the plots under CA management in the first period. Crop residues were not 118 exported in all the cropping systems, and under CT, the soil was ploughed once a year to a depth of 25-30 cm 119 with a mouldboard plough. A randomised block design experiment was used consisting in the three treatments 120 with three replicates of plots measuring 1200 m<sup>2</sup> (50 m x 24 m) for each system (Supplementary information, 121 Fig. A.1).

122 In 2018, all cover crops were sown on the 24<sup>th</sup> of January with a no-till seeder (Semeato PD 17) (Supplementary 123 information, Table A.2). The cover crop used under CA-DM consisted of a mix of four species: sorghum 124 (Sorghum bicolor L. Moench, cv. sweet jumbo; sowing density 15 kg ha<sup>-1</sup>), sunnhemp (Crotalaria juncea L., cv. 125 crescent sunn; 10 kg ha<sup>-1</sup>), cowpea (Vigna unguiculata L. Walp., cv. ebony; 10 kg ha<sup>-1</sup>), and lablab (Lablab 126 purpureus L. Sweet, cv. highworth; 15 kg ha<sup>-1</sup>). The cover crop used under CA-LM was stylo (Stylosanthes 127 guianensis Aubl. Sw.; 10 kg ha<sup>-1</sup>). Under CT, the mouldboard plough was used on the 19<sup>th</sup> of March 2018 to a 128 depth of 25-30 cm, and the rotary cultivator on the 27th of April 2018 to a depth of 5-10 cm, before maize 129 sowing. Under CA-DM, the cover crop was terminated by rolling combined with herbicide spraying on the 20<sup>th</sup> 130 of April 2018, 15 days before the maize was sown. Under CA-LM, the maize was sown directly in standing 131green stylo. The aboveground biomass of the cover crops was assessed before maize was sown and ranged from132 $22.6 \pm 8.8 t_{dry matter (DM)} ha^{-1}$  to  $2.5 \pm 0.8 t_{DM} ha^{-1}$  under CA-DM and CA-LM, respectively. Under CA-DM, 100%133of the soil surface was covered by mulch at sowing and about 80% under CA-LM.

134 In all cropping systems, maize was grown during the dry, cool season (May-September) with 223 mm 135 cumulative precipitation during the crop cycle. Maize (cv. CS Frontal) was sown at 108000 kernels ha<sup>-1</sup> in 76-cm 136 rows on the 7th of May 2018, using a no-till seeder (Jumil JM3090 PD). A hose reel irrigation system was used 137 on 13 occasions to supply 290 mm of water. The water balance method was used to determine water amounts, 138 and irrigation uniformity was controlled by rain gauges. The nitrogen (N) fertilisation during the maize cycle 139 included 350 kg ha<sup>-1</sup> of urea (46% N) and 300 kg ha<sup>-1</sup> of ammonium sulphate (21% N) applied 17 and 51 days 140 after sowing (DAS), respectively. Herbicide treatments included pre- and post-emergence herbicides. Pre-141 emergence herbicides were applied immediately after sowing, while post-emergence herbicides were applied at 142 10 and 31 DAS.

# 143 2.3. Soil monitoring and analysis

144 Biofunctool<sup>®</sup> consists in a set of ten functional indicators that assess three main soil functions with (i) carbon 145 transformation, (ii) soil structure maintenance and (iii) nutrient cycling (Thoumazeau et al., 2019b). Four 146 indicators were used to assess the changes of the carbon transformation including the labile fraction of the soil 147 organic carbon (permanganate oxidizable carbon (POXC)) (Weil et al., 2003); the basal soil respiration 148 (SituResp<sup>®</sup>) (Thoumazeau et al., 2017); and the soil biological activity using the bait lamina test (scored from 0 149 [no degradation] to 1 [complete degradation]) (Törne, 1990; van Gestel et al., 2003) and the green tea bag (GTB) 150 score (adapted from Keuskamp et al. (2013)). The bait lamina consists of a plastic strip, comprising 16 small 151 holes, that was filled with an organic standard substrate, made of cellulose powder, bran flakes and active carbon 152 (70:27:3). Bait laminas were vertically inserted in the soil for seven days. For the analysis, we used the average 153 of lamina holes number 1 to 4 (0-2 cm) only, as it was the only depth that allowed us to significantly distinguish 154 the treatments (Supplementary information, Fig. A.2). The GTB indicator consisted in the decomposed fraction 155 of green tea after a burial period of 30 days.

We then used three indicators to study the impact of each cropping system on soil structure maintenance function by assessing soil aggregate water stability (AggSoil) at a depth of 0-10 cm (scored from 1 [poor] to 6 [high stability]) (Herrick et al., 2001), water infiltration (Beerkan) (Thoumazeau et al., 2019b), and soil structure (visual evaluation of soil structure (VESS)) in the 0-30 cm layer (scored from 1 [good] to 5 [poor soil structure]) (Guimarães et al., 2011). The VESS consists of visually assessing the size and porosity of aggregates, the strength of aggregates, the presence of roots and the colour of the soil. Finally, we used three indicators to study the impact of each cropping system on soil nutrient cycling function. We quantified available ammonium (N-NH<sub>4</sub><sup>+</sup>) and nitrate (N-NO<sub>3</sub><sup>-</sup>) in the soil after extraction with 1*M* KCl (Maynard et al., 1993; Thoumazeau et al., 2019b). Soil nitrate dynamics were evaluated using anion exchange membrane (AEM-NO<sub>3</sub><sup>-</sup>) placed horizontally at a depth of 8 cm for a 10 days burial period (Qian and Schoenau, 2002; Thoumazeau et al., 2019b).

Except for the VESS, soil samples were collected in June 2018 in the 0-10 cm soil layer. This soil layer was selected to fit with Biofunctool<sup>®</sup> approach that aims at integrating soil biological activities (Thoumazeau et al., 2019b). Also, early changes under CA mostly occur at the soil surface, making the top soil assessment highly relevant (de Moraes Sa and Lal, 2009). Three sampling points (internal replicates) were collected per plot giving a total of 27 soil samples for Biofunctool<sup>®</sup> analysis (except for available nitrogen (N-NH<sub>4</sub><sup>+</sup>, N-NO<sub>3</sub><sup>-</sup>) for which only one replicate per plot was analysed).

172 2.4. Agronomic data collection

Weed biomass was assessed using a quadrat sampling method at four maize stages: sowing, 6-leaf (25 DAS), flowering (80 DAS), and post-harvest. In each repetition (three repetitions per treatment), three quadrats of 0.25 m<sup>2</sup> were delimited to count weeds. Weed aboveground biomass was then determined for each sampling period after drying at 80 °C until constant mass was reached. Cumulative weed biomass per treatment was determined by adding the dry matter of the four sampling periods.

Maize density was monitored weekly in three subplots per repetition (three repetitions per treatment) on two contiguous maize rows two meters in length (3.04 m<sup>2</sup>) from emergence to the 8-leaf (35 DAS) stage. Maize density per treatment was the average of the maize counted during the successive sampling periods.

At harvest on the same subplots, thousand kernel weight (TKW) was measured at random from the grain lot of five maize plants per repetition (three repetitions per treatment). Three subsamples per repetition of one hundred kernels were dried at 80 °C until constant mass was reached and weighed. TKW was then standardized to 13% moisture content.

185 The yield was recorded from five plants randomly selected from three sub-plots per repetition (three repetitions

per treatment) following methodologies from Echarte et al. (2006) and Daei et al. (2009). The ears were counted,

187 and hand-shelled. The kernels of each ear were dried, and weighed. The grain yield was calculated as follows

188 and standardized to 13% moisture content:

189 Maize yield (t  $ha^{-1}$ ) = Maize density (plants  $m^2$ ) \* Number of ears per plant (ear plant<sup>-1</sup>) \*

190 Kernel weight per ear  $(g ear^{-1}) * 10^{-2}$ 

191 2.5. Statistical analysis

All statistical analyses were performed using R software 3.6.0 (R Development Core Team, 2008).

First, each Biofunctool<sup>®</sup> indicator was analysed separately using a linear-mixed effects model (package lme4, (Bates et al., 2015)). Treatment was defined as fixed factor and replicates (plots and internal replicates) as random factors. After checking the normality of the model residuals and the homoscedasticity of the variance residuals, ANOVAs were run using the car package (Fox and Weisberg, 2011). This was followed by a post-hoc mean comparison, using Tukey's test with Bonferroni adjustment (Hothorn et al., 2008).

198 After analysing each indicator separately, indicators were computed within a principal component analysis 199 (PCA) (FactoMineR package, (Lê et al., 2008)). The last step of analysis consisted in calculating the 200 Biofunctool® soil health index (SHI), according to the methodology defined by Obriot et al. (2016) and 201 Thoumazeau et al. (2019a). First, a weight was applied to the PCA variable to give the same weight to each soil 202 function. The scoring function of the indicators was based on the "more is better" response curve, except for the 203 VESS indicator where the "less is better" was used (Obriot et al., 2016). The SHI finally ranged from 0 (low) to 204 1 (high soil health). After calculation of the index, a variance analysis of the contribution of each soil function to 205 the final score was run using one-way ANOVA.

206 Next, we used SEM (Grace et al., 2012, 2007) to explicit relationships from a web of possible causal pathways, 207 including direct and indirect effects between practices (CT and CA systems), soil health and cropping systems 208 performance. CA-DM and CA-LM were grouped into a single cropping system modality (CA). A combination 209 of the aboveground biomass of the cover crops at maize sowing and the soil management practices (qualitative 210 data) was used to characterize cropping system practices for the SEM. The three Biofunctool® aggregated 211 functions (i.e., structure maintenance, nutrient cycling, and carbon transformation) were used as soil health 212 indicators. Cumulative weed aboveground biomass during the maize cycle, maize thousand kernel weight 213 (TKW) and grain yield were used as cropping system performance parameters for the SEM. Weeds are a major 214 factor that affects yields (Teasdale et al., 2007). TKW was used to assess maize growth performance, providing 215 insight into the strength of late competition (Meynard and David, 1992). Grain yield expresses the overall 216 conditions of the crop cycle, and is the main indicator used to assess system productivity. Strength and 217 directionality (positive or negative) of the relationship between variables are indicated through the path 218 coefficients. The SEM was performed using the piecewiseSEM package (Lefcheck, 2016).

219 **3. Results** 

220

) 3.1. Effects of the cropping systems on soil health

- 221 For carbon transformation, labile fraction of the soil organic carbon (POXC), basal soil respiration (SituResp<sup>®</sup>)
- values as well as bait lamina scores were significantly higher under the two CA cropping systems than under CT
- 223 (Table 1). The GTB score was significantly higher under CA-DM  $(0.46 \pm 0.03)$  than under CT  $(0.43 \pm 0.02)$  but
- did not significantly differ from CA-LM ( $0.45 \pm 0.02$ ).
- Concerning structure maintenance, the same trend was recorded for the three indicators (Table 2). Mean VESS scores were significantly lower for soils under CA ( $1.45 \pm 0.3$  and  $1.28 \pm 0.3$  for CA-DM and CA-LM, respectively) indicating a better soil structure than under CT soil ( $2.11 \pm 0.4$ ). Mean AggSoil scores were significantly lower under CT soil ( $1.22 \pm 0.4$ ) than CA soils ( $2.00 \pm 0.8$  and  $2.15 \pm 0.9$  for CA-DM and CA-LM, respectively). Finally, water infiltration was two-fold lower in soil under CT ( $93.4 \pm 20.5$  mL min<sup>-1</sup>) than in soil under CA ( $176.5 \pm 71.5$  and  $226.0 \pm 117.3$  mL min<sup>-1</sup> for CA-DM and CA-LM, respectively). No significant differences were found in VESS, AggSoil, and Beerkan scores between CA-DM and CA-LM.
- For nutrient cycling, the mean AEM-NO<sub>3</sub><sup>-</sup> score was two-fold higher under CT than under CA (20.4 ± 6.4 *vs.* 10.5 ± 4.0 and 9.8 ± 5.0  $\mu$ g cm<sup>-2</sup> d<sup>-1</sup> for CA-DM and CA-LM, respectively) (Table 3). In contrast, the concentration of N-NH<sub>4</sub><sup>+</sup> was two-fold higher under CA-DM than under CT (6.1 ± 0.2 mg kg<sup>-1</sup> *vs.* 2.6 ± 0.3 mg kg<sup>-1</sup>). The concentration of N-NO<sub>3</sub><sup>-</sup> tended to be higher under CA than under CT but the differences were not statistically significant.
- The PCA performed on the 10 functional indicators allowed to separate the treatments (Fig. 1). The differences between Biofunctool<sup>®</sup> indicators appeared mainly between the CT and CA cropping systems. Total variability was represented at 45.7% on the first axis and at 14.2% on the second axis. The difference in soil health between the two CA cropping systems and CT was mainly based on indicators linked with the first axis: AEM-NO<sub>3</sub><sup>-</sup> and N-NH<sub>4</sub><sup>+</sup> (nutrient cycling), VESS and AggSoil (structure maintenance), and POXC (carbon transformation).
- Biofunctool<sup>®</sup> SHI values for CA treatments were about 1.3-fold higher than under CT (mean value of 0.7 *vs.* 0.5) (Fig. 2). For the nutrient cycling and the structure maintenance functions, the main differences were observed between CT and CA with mean CA scores (CA-DM and CA-LM) 20% and 46% higher than under CT, respectively. Concerning soil carbon transformation function, only the CA-DM score was significantly higher than CA-LM and CT, representing an increase of 12%.
- 247 3.2. Performance of the cropping systems
- The cumulative aboveground weed biomass differed significantly among the three treatments with higher weed biomass under CT (mean value of  $1.4 \pm 0.7 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ ) and CA-DM ( $0.7 \pm 0.25 t_{DM} ha^{-1}$ ) than under CA-LM ( $0.2 \pm 0.3 t_{DM} ha^{-1}$ )
- $250 \qquad 0.3 \; t_{DM} \; ha^{\text{-1}}) \; (Table \; 4).$

- 251 Maize density differed significantly among the treatments: the maize plant population was higher under CA-LM
- 252  $(10.3 \pm 0.5 \text{ plants m}^2)$  than under CT  $(9.0 \pm 0.4 \text{ plants m}^2)$  and CA-DM  $(8.0 \pm 1.1 \text{ plants m}^2)$ , with a decrease at

emergence under CA-DM.

- 254 There was one ear per plant for all the maize plants sampled. The kernel weight per ear was significantly higher
- under CA-DM (158.6  $\pm$  25.5 g) than under CA-LM and CT (125.8  $\pm$  18.2 g and 107.8  $\pm$  21.0 g, respectively).
- 256 The TKW followed the same trend and was significantly higher under CA-DM ( $388.2 \pm 7.5$  g) than under both
- 257 CA-LM and CT ( $364.2 \pm 12.9$  g and  $355.1 \pm 16.3$  g, respectively).
- 258 Maize grain yields ranged from 9.7  $\pm$  2.0 t ha<sup>-1</sup> under CT to 12.7  $\pm$  2.9 t ha<sup>-1</sup> and 12.9  $\pm$  1.8 t ha<sup>-1</sup> under CA-DM
- and CA-LM, respectively, and were significantly higher under the two CA treatments than under CT.
- 260 3.3. Links between practices, soil health, and cropping system performance

261 The SEM fitness index was significant (Fisher's test P = 0.255), and six of the 21 relationships tested were 262 significant (Fig. 3). SEM revealed significant links between agricultural practices and soil health: CT had a 263 negative influence on soil structure maintenance (path coefficient = -0.55) while CA had positive effects on 264 carbon transformation and nutrient cycling (path coefficient = 0.38 and 0.33, respectively). SEM also confirmed 265 significant links between agricultural practices and cropping system performance: CT had a positive impact on 266 weed development with higher biomass collected (path coefficient = 0.40) whereas CA had a positive influence 267 on TKW (path coefficient = 0.46). Finally, SEM highlighted significant links between soil functions and 268 cropping system performance with a positive correlation between nutrient cycling and weed development (path 269 coefficient = 0.36). However, no significant indirect effects of soil health on maize crop performance emerged.

270 4. Discussion

It is worth noting that the results are based on the cumulative effects of the two distinct periods linked to changes in the experiment management strategy. The results of CT compared to CA are linked to a relatively long-term change (2011-2018), whereas the results that compare CA practices are linked to short-term changes (2017-2018).

275 4

4.1. Effects of CA cropping systems on soil functions

First, higher POXC and SituResp<sup>®</sup> scores were measured under CA treatments than under CT. POXC is sensitive to management practices, and mainly depends on the amount of residues returned to the soil (Bongiorno et al., 2019; Chan et al., 2002). Plant material including above- and below-ground biomass and living organisms mainly contribute to the labile carbon fraction. The higher basal soil respiration observed in soils under CA can be explained by the increased labile carbon fraction, which stimulated microbial pools and activity (Balota et al., 281 2004; Bongiorno et al., 2019). Bait laminas and GTB bioindicators showed greater biological activity in CA 282 cropping systems than under CT. Concerning laminas, feeding activity was mainly observed in the 0-2 cm layer. 283 This vertical feeding pattern has already been reported in the literature and the 0-2 cm layer was mentioned as a 284 key layer (Gongalsky et al., 2004; Hamel et al., 2007; Rożen et al., 2010). In our system, the vertical pattern can 285 be explained by the effects of cover crop residues on the soil surface and root systems of dead and living 286 mulches that may affect specific organisms such as earthworms (van Gestel et al., 2003) and soil mesofauna 287 (Helling et al., 1998), and then reflected in the bait lamina score. Concerning the GTB indicator, only CA-DM 288 had a higher score than CT. CA-DM thus enhanced decomposition of the green tea at a depth of 8 cm thanks to 289 soil biological activity (Tóth et al., 2018). The larger quantity of mulch under CA-DM (22.6  $t_{DM}$  ha<sup>-1</sup>) than under 290 CA-LM (2.5 t<sub>DM</sub> ha<sup>-1</sup>) may have had a short term positive effect on the environmental variables (e.g., soil 291 moisture) resulting in differences in soil biological activity (Arroita et al., 2013). The difference in mulch quality 292 (N contents: 1.14% and 2.82% of DM for CA-DM and CA-LM, respectively) is also an important factor that 293 may have influenced the activity under CA-DM compared with CA-LM (Lienhard et al., 2013; Nemergut et al., 294 2010; Pascault et al., 2010).

The VESS, Beerkan and AggSoil indicators were significantly improved by CA management. The absence of tillage combined with the presence of plant residues on the soil surface, and living or dead cover crop root systems globally improved the structure maintenance function (Indoria et al., 2017; Tivet et al., 2013). The addition of residues and mulches stimulated microbial activity, which, along with root exudates, enhanced aggregate stability (Lal, 2015b; Zuber et al., 2017). In contrast, tillage destroyed soil aggregates, thereby increasing slaking and pore clogging, which could reduce porosity and infiltration rates (Mitchell et al., 2017; Rosolem et al., 2016).

302 A higher concentration of NH4<sup>+</sup> and a trend (although not significant) of higher concentration of NO3<sup>-</sup> were 303 observed under CA. These results were linked to a better soil structure (AggSoil) enabling diversified pH-redox 304 (Eh) niches, and consequently diversified microbial communities (Husson et al., 2018). The soil nitrogen should 305 have therefore operated in a variety of forms from nitrate to ammonium in the 0-10 cm layer. The better soil 306 structure (AggSoil) explains the better water infiltration but also the fact that concentrations of both nitrate and 307 ammonium were higher under CA. In their study on a Red Oxisol in Cambodia, Pheap et al. (2019) also reported 308 higher concentrations of  $NO_3^-$  (although not significant) and  $NH_4^+$  under CA compared with CT. As ion 309 exchange membranes aim at mimicking plant-rooting systems, measurement of the AEM-NO<sub>3</sub><sup>-</sup> indicator 310 provided information on plant nutrient absorption and dynamics based on soil and crop management (Le Cadre 311 et al., 2018; Qian and Schoenau, 2002). Compared to other measurements such as nitrate and ammonium 312 extracted from the soil, the quantity of nitrate adsorbed on the membrane was two-fold higher under CT than 313 CA. Tillage may expose previously protected organic matter which may then serve as a substrate for microbial 314 growth (Rovira and Greacen, 1957), stimulating mineralisation and nitrification under an oxidized environment 315 (Calderón et al., 2001; Muruganandam et al., 2010), explaining higher nitrate dynamics under CT. However, this 316 tillage-induced nitrogen dynamics can lead to N losses through denitrification and nitrate leaching especially 317 under soil with poor soil structure, which could explain the smaller amounts of available N-NH<sub>4</sub><sup>+</sup> and N-NO<sub>3</sub><sup>-</sup> 318 from soil extraction measured under CT (Boulakia et al., 2019; Calderón et al., 2001; Chatskikh and Olesen, 319 2007; Ruan and Robertson, 2013). In addition, the results of AEM-NO<sub>3</sub><sup>-</sup> can be analysed in accordance with a 320 previous study conducted by Husson et al. (2018) who observed a reversed soil profile for the redox potential 321 when comparing CA to CT for four soil types in France. The authors observed lower redox potential on the soil 322 surface under CA which is likely to lead to a higher concentration of NH<sub>4</sub><sup>+</sup>, while limiting N leaching. Under CT, 323 they observed a higher redox potential on the soil surface (0-5 cm) and a strong decrease with depth creating an 324 electrical force which pushes the negative charges from the soil surface to depth. The higher oxidation on the top 325 soil under CT and the trend of Eh from the soil surface to depth may increase NO<sub>3</sub>- leaching. We can also note 326 that the NH<sub>4</sub><sup>+</sup>:NO<sub>3</sub><sup>-</sup> ratio is 27-73% under CA (average of CA-DM and CA-LM) and 20-80% under CT which 327 can lead to a physiological imbalance in the plant, alkalinize the rhizosphere, promoting fungi, viruses, bacteria 328 and insects (Husson et al., 2018). Considering these results and the key role of Eh to characterize soil health 329 (Cottes et al., 2020; Husson, 2013), it would appear judicious to consider the assessment of the redox potential 330 within the framework of Biofunctool<sup>®</sup>.

331 At multivariate and Biofunctool<sup>®</sup> index analysis scales, the results generally reflect the trend observed at 332 indicator scale, *i.e.*, the improvement in soil functioning was mainly observed between CT and the two CA 333 systems (CA-DM and CA-LM). The Biofunctool® index showed better soil health under CA than under CT. The 334 three soil functions also mainly reflected the difference between CT and CA. However, the carbon 335 transformation function under CA-LM did not differ significantly from that under CT. This may be directly 336 linked to the quality and the larger quantity of the biomass inputs under CA-DM than under CA-LM and CT, 337 although the living root biomass may have affected soil biological activity and carbon turnover under CA-LM. 338 Thus, no significant differences in SHI were observed between CA-DM and CA-LM probably due to the 339 relatively recent establishment of the CA-LM cropping system (2 years).

340 4.2. Effects of CA cropping systems on crop performance

341 CA has significant and positive effects on soil functions that are likely to produce similar or even higher crop 342 yields than CT (Thierfelder et al., 2015; Triplett and Dick, 2008). In this study, regardless of the cropping 343 system, maize yields were generally high compared to current average farm yield of 9 t ha<sup>-1</sup>. Moreover, maize 344 yields were 1.3-fold higher under CA-DM and CA-LM than under CT. These results are consistent with those of 345 other studies, in which the positive impact of CA on crop yield was also demonstrated (Lal, 2014; Pittelkow et 346 al., 2015; Ranaivoson et al., 2019; Rusinamhodzi et al., 2011). At the same time, these results contrast with other 347 studies with mixed conclusions (Erenstein et al., 2012; Pittelkow et al., 2015; Thierfelder et al., 2015) that may 348 arise from geographical and environmental patterns of CA implementation, duration, quality and quantity of the 349 biomass-C inputs (DeFelice et al., 2006; Fujisaki et al., 2018; Gruber et al., 2012; Thierfelder et al., 2015).

In the present experiment, the physical barrier of the high biomass input of the dead mulch under CA-DM has reduced seed-soil contact and promoted early season insect damage, decreasing final plant density. This observation is corroborated by previous studies, including those by Bezuidenhout et al. (2012) and Pantoja et al. (2015). In contrast, maize density with direct sowing in standing green stylo under CA-LM was higher than under CT because it avoids the formation of a slaking crust and provides better maize emergence conditions.

355 CA-DM produced higher yield as well as kernel weight and TKW. The large amount of cover crop residues 356 under CA-DM provided better growth conditions at grain filling and enhanced available resources for maize due 357 to less competition thanks to lower maize density and reduced weed development, increased soil water 358 infiltration and water holding capacity (Ranaivoson et al., 2017). In comparison, higher yield was also observed 359 under CA-LM compared with CT, while similar kernel weight and TKW values were observed for both 360 treatments. This suggests the same late cycle crop conditions as CT with advantages in the early stages due to 361 better weed control, reduced formation of a slaking crust (Scopel and Findeling, 2001; Sithole et al., 2016; 362 Verhulst et al., 2010), with higher maize density and complementarity of stylo and maize during the growth 363 period (Birteeb et al., 2011; Edye et al., 1977). Finally, the short period (2 cycles) of CA-LM practice may not be 364 sufficient for the soil to reach a new equilibrium and thus may not provide all support and provisioning services 365 (Gruber et al., 2012; He et al., 2011; Machado et al., 2008).

366

4.3. Systemic approach of CA cropping systems

367 SEM confirmed direct causal relationships of management practices on soil functioning revealed by 368 Biofunctool<sup>®</sup>. In the long term, CT exhibited negative effects on soil health impacting soil structure 369 maintenance, disrupting soil aggregation, exposing the labile carbon pool encapsulated within the aggregates to 370 microbial oxidation and reducing water infiltration (Mitchell et al., 2017). By contrast, CA positively influenced 371 carbon transformation and nutrient cycling functions. Several studies emphasized that CA systems contribute to 372 an accumulation of soil organic carbon (Cheesman et al., 2016; Lal, 2015c; Powlson et al., 2016), primarily due 373 to the continuous inputs of biomass (above and belowground), the quality of the inputs, and the protection of the 374 labile carbon pool from microbial transformation (Fujisaki et al., 2018; Virto et al., 2012). Concomitantly, a 375 higher soil available nitrogen concentration (N-NO<sub>3</sub><sup>-</sup>, N-NH<sub>4</sub><sup>+</sup>) was assessed under CA systems, promoting crop 376 growth supported by a higher structure maintenance function, and consequently limiting nitrogen losses 377 compared to CT (Calderón et al., 2001; Chatskikh and Olesen, 2007; Husson et al., 2018).

378 In the short term, management practices had direct effects on the performance of the cropping systems. During 379 the early stages of maize growth, more weeds was recorded under CT while the physical barrier and the 380 allelopathy effect of dead or living mulch under CA systems reduced weed pressure (Altieri et al., 2011; Burgos 381 and Talbert, 1996; Murphy et al., 2006). On the other hand, SEM highlighted a positive effect of CA systems on 382 TKW. The period from flowering to grain filling is highly sensitive to water stress, and the higher kernel weight 383 was the result of better conditions under CA (Bolaños and Edmeades, 1996; NeSmith and Ritchie, 1992). Mulch 384 was shown to be an effective way to reduce soil evaporation and to moderate the temperature at the surface of 385 the soil, which, along with the higher infiltration rate, improved water-use efficiency notably during the maize 386 grain filling period (Hartkamp et al., 2004).

387 4.4. Toward the quantification of linkages between soil health, productivity, and ecosystem services 388 The comprehensive links between agricultural practices, soil functions and ecosystem services (*i.e.*, productivity, 389 weed regulation, and soil ecosystem services) were analysed with the SEM approach. In our study, the link 390 between soil health and plant productivity was not significant and cropping system management was the main 391 direct factor explaining differences in yield components. However, with same fertilisation and irrigation 392 management, the CA cropping systems improved the overall crop conditions leading to a higher yield than under 393 CT. Further understanding of the indirect effects of agricultural practices and soil health on crop productivity are 394 needed. Long-term agronomic trial would make it possible to apply such a systemic approach and would be 395 particularly helpful in quantifying the links between system management, soil functioning and crop productivity. 396 Finally, we focussed on the links between soil functions, productivity, and weed regulation, but other ecosystem 397 services also need to be tackled, for example, pest regulation, pollination, or biodiversity maintenance (Chabert 398 and Sarthou, 2020).

399

400 **5.** Conclusions

401 The effects of three annual cropping systems (i.e., CT, CA-DM and CA-LM) on soil functioning were evaluated 402 using an integrative assessment of soil health. Higher structure maintenance (i.e., soil aggregation, water 403 infiltration, VESS) and nutrient cycling functions (i.e., NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>) were recorded under CA-DM and CA-LM, 404 and a higher carbon transformation function (i.e., labile-C, soil respiration, baits lamina, GTB) was assessed 405 under CA-DM. Overall, the soil health index (SHI) was 1.3-fold higher under CA systems than under CT 406 although it did not differ between CA-DM and CA-LM, probably because the two CA management practices 407 were recently established. By combining these results with the application of structural equation modelling 408 (SEM), we identified relationships between soil functions and cropping system performance that are sensitive to 409 cover crops and tillage practices. CA practices had both direct and indirect influence on soil health, thereby 410 improving yield system performance when compared to CT. These findings indicate that CA systems are 411 promising alternatives to the conventional plough-based system in the magnesic Fluvisol context of the west 412 coast of New Caledonia.

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## 714 Figure captions

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716 Fi	g. 1 Principal	component	analysis of	the effects	of the cropping	system on soil health.
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- a Variables factor map. POXC: Permanganate OXidizable Carbon, SituResp<sup>®</sup>: basal soil respiration, Laminas:
  lamina bait degradation, GTB: fraction of Green Tea Bag decomposed, VESS: Visual Evaluation of Soil
  Structure, Beerkan: water infiltration, AggSoil: soil aggregate water stability, AEMNO<sub>3</sub>: nitrate evaluated with
  anion exchange membrane, NNH<sub>4</sub>, NNO<sub>3</sub>: available ammonium and nitrate.
- b Individual factor map. CT: Conventional Tillage, CA: Conservation Agriculture with direct seeding in Dead
- 722 Mulch (CA-DM) or Living Mulch (CA-LM).

723 <u>Note:</u> AggSoil median score and 0-2cm depth laminas score were used to run the PCA.

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**Fig. 2** Biofunctool<sup>®</sup> Soil Health Index (SHI) per treatment. CT: Conventional Tillage, CA: Conservation Agriculture with direct seeding in Dead Mulch (CA-DM) or Living Mulch (CA-LM); n=9 for each treatment. Standard error of the index is given for each treatment. Different letters indicate significant differences at P < 0.05according to Tukey's test.

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730 Fig. 3 Structural Equation Modelling (SEM) linking the cropping system, soil health, and cropping system 731 performance (Fisher's C=14.76, df=12, P=0.26). CT: Conventional Tillage, CA: Conservation Agriculture 732 systems (direct seeding in dead mulch and living mulch not differentiated): characterised by the aboveground 733 biomass of the cover crops and the soil management practices. Weeds: Weed cumulative aboveground dry 734 matter during the crop cycle, Maize Yield: grain yield, TKW: Maize Thousand Kernel Weight. The arrows 735 indicate unidirectional relationships between the variables (direct effects of one variable on the others). Green 736 arrows indicate significant positive effects, red arrows indicate significant negative effects, and grey arrows 737 indicate non-significant relationships at P=0.05. Path coefficients are indicated adjacent to the corresponding 738 arrows. Arrow widths are proportional to the path coefficients.

**Table 1** Biofunctool® indicators of soil carbon transformation per treatment. CT: Conventional Tillage, CA:741Conservation Agriculture with direct seeding in Dead Mulch (CA-DM) or Living Mulch (CA-LM). POXC:742Permanganate OXidizable Carbon, SituResp®: basal soil respiration, Laminas: lamina bait degradation, GTB:743fraction of Green Tea Bag decomposed. The analysis was conducted in the 0-10 cm layer, except for laminas (in744the 0-2 cm layer) and GTB (at a depth of 8 cm); n=9 for each treatment; sd: standard deviation. Different letters745indicate significant differences according to Tukey's test (P < 0.05).

	Carbon transformation								
	POXC		SituResp®		Lam	inas	GTB		
Treatment	$(mg_C kg_{soil}^{-1})$		(Absorbance difference)		(Score)		(Score)		
	mean	sd	mean	sd	mean	sd	mean	sd	
СТ	1071 <b>a</b>	27	0.87 <b>a</b> 0.05		4.91 <b>a</b>	4.0	0.43 <b>a</b>	0.02	
CA-DM	1124 <b>b</b>	27	0.96 <b>b</b>	0.06	8.71 <b>b</b>	4.3	0.46 <b>b</b>	0.03	
CA-LM	1122 <b>b</b>	34	0.95 <b>b</b>	0.06	7.17 <b>b</b>	4.0	0.45 <b>ab</b>	0.02	
ANOVA	<i>P</i> <0.0	001	<i>P</i> <0.001		<i>P</i> <0.001		<i>P</i> <0.001		

Table 2 Biofunctool<sup>®</sup> indicators of soil structure maintenance per treatment. CT: Conventional Tillage, CA:
Conservation Agriculture with direct seeding in Dead Mulch (CA-DM) or Living Mulch (CA-LM). VESS:
Visual Evaluation of Soil Structure, Beerkan: water infiltration, AggSoil: soil aggregate water stability. The
analysis was made in the 0-10 cm layer, except for VESS (in the 0-30 cm layer); n=9 for each treatment; sd:
standard deviation. Different letters indicate significant differences according to Tukey's test.

	Structure maintenance							
	VESS		Beer	rkan	AggSoil			
Treatment	(Score)		(mL r	nin <sup>-1</sup> )	(Score)			
	mean	sd	mean	sd	median	sd		
СТ	2.11 <b>b</b>	0.4	93.4 <b>a</b>	20.5	1.22 <b>a</b>	0.4		
CA-DM	1.45 <b>a</b>	0.3	176.5 <b>b</b>	71.5	2.00 <b>b</b>	0.8		
CA-LM	1.28 <b>a</b>	0.3	226.0 <b>b</b>	117.3	2.15 <b>b</b>	0.9		
ANOVA	<i>P</i> <0.001		<i>P</i> <0	.001	<i>P</i> <0.001			

**Table 3** Biofunctool<sup>®</sup> indicators of soil nutrient cycling per treatment. CT: Conventional Tillage, CA: Conservation Agriculture with direct seeding in Dead Mulch (CA-DM) or Living Mulch (CA-LM). AEM-NO<sub>3</sub><sup>-</sup>: nitrate evaluated with anion exchange membrane, N-NH<sub>4</sub><sup>+</sup>, N-NO<sub>3</sub><sup>-</sup>: available ammonium and nitrate. The analysis was conducted in the 0-10 cm layer, except for AEM-NO<sub>3</sub><sup>-</sup> (at a depth of 8 cm); n=9 for each treatment except for N-NH<sub>4</sub><sup>+</sup> and N-NO<sub>3</sub><sup>-</sup> where n=3 per treatment (no internal replicates); sd: standard deviation. Different letters indicate significant differences according to Tukey's test.

	Nutrient cycling								
	AEM	-NO <sub>3</sub> -	N-N	O3 <sup>-</sup>	N-NH <sub>4</sub> <sup>+</sup>				
Treatment	$(\mu g_{N-NO3} cm^{-2} d^{-1})$		(mg l	kg⁻¹)	(mg kg <sup>-1</sup> )				
	mean	sd	mean	sd	mean	sd			
СТ	20.4 <b>b</b> 6.4		10.9 <b>ns</b>	4.1	2.6 <b>a</b>	0.3			
CA-DM	10.5 <b>a</b>	4.0	14.7 <b>ns</b>	2.2	6.1 <b>b</b>	0.2			
CA-LM	9.8 <b>a</b> 5.0		14.7 <b>ns</b>	3.2	4.7 <b>ab</b>	1.3			
ANOVA	<i>P</i> <0.001		<i>P=</i> (	).4	<i>P</i> <0.001				

Table 4 Cropping system performance indicators per treatment. CT: Conventional Tillage, CA: Conservation
Agriculture with direct seeding in Dead Mulch (CA-DM) or Living Mulch (CA-LM). Weeds: Weed cumulative
aboveground dry matter during crop cycle, Maize density: Maize plant population, Kernel weight: Total kernel
weight per maize ear, TKW: Maize Thousand Kernel Weight, Maize yield: grain yield; n=9 for each treatment;
sd: standard deviation. Different letters indicate significant differences according to Tukey's test.

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	Weeds		Maize density Kernel weig		weight	TKV	V	Yie	ld	
Treatment	$(t_{cumulative DM} ha^{-1})$		(plants m <sup>-2</sup> )		$(g ear^{-1})$		(g)		(t ha <sup>-1</sup> )	
	mean	sd	mean	sd	mean	sd	mean	sd	mean	sd
СТ	1.4 <b>c</b>	0.7	9.0 <b>b</b>	0.4	107.8 <b>a</b>	21.0	355.1 <b>a</b>	16.3	9.7 <b>a</b>	2.0
CA-DM	0.7 <b>b</b>	0.3	8.0 <b>a</b>	1.1	158.6 <b>b</b>	25.5	388.2 <b>b</b>	7.5	12.7 <b>b</b>	2.9
CA-LM	0.2 <b>a</b>	0.3	10.3 <b>c</b>	0.5	125.8 <b>a</b>	18.2	364.2 <b>a</b>	12.9	12.9 <b>b</b>	1.8
ANOVA	A P<0.001		<i>P</i> <0	.001	<i>P</i> <0.0	001	<i>P</i> <0.0	01	<i>P</i> <0.0	001





